

**2016 WORLD SAILING REGULATIONS**

Updated With Changes Approved By Council November 2015

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## DEFINITIONS

Word	Meaning
Authority, National	National Authority as defined in Article 1.1.
Authority, Organizing	Organizing Authority as specified in RRS 89.1
Boat	Where the context so admits, 'boat' shall include sailboard, windsurfer, kiteboard and other sailing device.
Class	A Class of boat includes boats which conform to a physical specification intended to allow competitive racing among their Class under the Racing Rules of Sailing. Without limiting the generality of the foregoing, Classes include boats with one-design, restricted, and developmental specifications as these terms are applied generally.
Class, National	A National Class for the purposes of this Regulation is a class where the National Authority has substantial authority in the direction or management of the Class.
Class Association, World Sailing	World Sailing Class Association designated in accordance with Regulation 10.2.
Class/Owner's Association	A Class/Owners Association is an organization as defined in regulation 10.2.1(b).
Class Rules	Class Rules are rules as defined in The Equipment Rules of Sailing.
Class, World Sailing Classic	World Sailing Classic Classes as defined in Regulation 11.1.
Competitor	In addition to its natural meaning, a competitor in respect of any boat shall include any person who has the right to use the boat as owner or by charter, loan or otherwise.
Continents	Africa; Asia; Oceania; Europe; North America (including Grenada, Bermuda and the Islands of the Caribbean but excluding Central America) and the Islands of the Caribbean; Central and South America (including Mexico) <i>All as defined by the National Geographic Society in its Atlas of the World</i>
Country	Except in respect of references to Race Officials, for the purposes of these regulations and <i>The Racing Rules of Sailing</i> the country of a person shall be the area of jurisdiction of the member national authority of that person's principal residence For references to Race Officials, refer to Regulation 33.
Event Measurer	An Event Measurer in accordance with the Equipment Rules of Sailing C 4.6 is "A measurer appointed by a race committee".
Events, Class	A Class Event is an event as defined in Regulation 10.5(f).
Events, Graded	A World Sailing Graded Event is an event approved as such by World Sailing in accordance with Regulation 27 and included in the World Sailing calendar of events.
Events, International	An International Event is an event open to entries other than those from the national authority of the venue or any event organized in more than one country.
Events, World Sailing	World Sailing Events are as designated by Regulation 24.
Events, Major	Major Events are those designated by Regulation 25.8.12(a)
Events, National	A National Event is an event that is open only to the entries from the national authority of the venue.
Events, Recognized	Recognized Events are those designated by Regulation 25.8.17(b)
Group	A Group consists of the nations constituted as such under Article 40(a) and Schedule A of the Articles

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World Sailing Race Officials	For the purposes of these regulations an World Sailing Race Official is a Race Official appointed by World Sailing pursuant to Regulations 31 and Regulation 25.8
World Sailing Representative	For the purposes of these regulations an World Sailing Representative is a person who is elected, appointed or requested to act on behalf of World Sailing in any capacity. Specifically excluded are the World Sailing Officers of Honour.
Media Rights	Media Rights, as they apply to Regulation 25 are defined in Regulation 25.11.
Member	Other than a Full Member, as defined in Article 1.1.
Member, Full	As defined in Article 1.1.
Notice	Any communication sent to World Sailing addressed to and sent in the manner set out in World Sailing Regulation 15.2.
Oceanic	For the purposes of these Regulations, Oceanic is 'Any offshore race over 800 miles'.
Offshore Racing	Racing in any boats capable of complying with the requirements of Category 4 of the Offshore Special Regulations.
Rating or Handicap Systems and Class Associations	Groups with a supporting management and Owners Associations.
Racing Rules and RRS	The racing rules are the rules in the current book called <i>The Racing Rules of Sailing</i> . A particular racing rule, such as racing rule 10, On Opposite Tacks, is referred to as 'RRS 10'.
Regulations	As defined in Section 1 of the Articles.
Submission	A Submission is a request for Council to take action in the form and manner and at the times set out in Regulation 15.

**Words that are defined in the World Sailing Articles have the same meaning in the Regulations.**

**SECTION 1 - ADMINISTRATION AND INTERNAL GOVERNANCE****PART I - Membership and Council****1. WORLD SAILING MEMBERSHIP – FULL, ASSOCIATE AND CONTINENTAL**

- 1.1 An applicant for membership shall apply by completing the application form and questionnaire supplied by World Sailing in a suitably complete manner with all supplementary documents.
- 1.2 The applicant shall pay the required subscription (subject to any remission sanctioned by World Sailing).

***Where there is only one Applicant for Membership that is an existing National Organization representing sailors (Case 1)***

- 1.3 Where there is only one applicant for membership pursuant to Article 5.1 that is, an existing National Organization representing Sailors, the applicant organization shall meet all of the following criteria:

The applicant:

- (a) shall be organized on a national basis and capable of exercising its mandate wherever there is significant sailing activity;
- (b) shall be recognized by the National Sports Authority if there is one, whether it be government sponsored or not;
- (c) shall be recognized, either at the time of the application or within three months of becoming an World Sailing Member, by the National Olympic Committee if there is one;
- (d) represents officially through membership or affiliation, a significant majority of the yacht or sailing clubs and other sailing organizations of the country;
- (e) while not mandatory, it is expected that an applicant National Authority shall be a member of regional sailing organizations for which it is eligible;
- (f) while not mandatory, participation in international racing is desirable and encouraged.

***Challenging the Eligibility for Membership of a National Authority who is applying for Membership (Case 2)***

- 1.4. Where an organization claiming to be exercising the authority to regulate and manage the sport of sailing in one country is seeking membership in the Federation or in the case of two or more such organizations seeking membership in respect of the same country, before the membership of any of such applicant is accepted by the Council:
  - (a) if the Notices calling a pending meeting the Council have been mailed, the Council; or
  - (b) if such Notices have not been mailed, the Executive Committee shall determine whether there is a valid competition between organizations to become a Full Member for that country. The purpose of such determination is to ensure that only organizations having a valid claim to being a National Authority are given status as parties to the determination of competing applications.
- 1.5 The Executive Committee or the Council, whichever has the carriage of the matter, shall direct the applicants to meet the criteria of being a National Authority. The Chief Executive Officer on the completion of his information gathering shall report to the Executive Committee or to the Council as the case may be his opinion as to which, if

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any, of the organizations are qualified as applicants. The Executive Committee or the Council shall examine the material collected by the Chief Executive Officer and shall make a determination as to which applicants shall be determined to be Applicants with status in the process of determining the organization, if any, that shall be accepted as a Full Member representing the Country in question.

- 1.6 Once the number of Applicants has been determined, if there is only one Applicant it shall proceed as in the case of an uncontested application for Full Membership under Article 5.1 and Regulation 1.3.
- 1.7 If there is more than one Applicant the process is a Contested Application and the procedure set forth in Regulations 1.30 to 1.40 shall be followed with such changes as are necessary to suit the case. In particular, an Applicant's submission shall be in two parts: (a) an application to become a Full Member, and (b) a submission challenging any or all of the other Applicant's submissions. For the purposes of the procedure in Regulations 1.30 to 1.40, part (b) of each Applicant's submission shall be treated as a submission challenging the other Applicant's part (a) submission pursuant to Regulation 1.31.
- 1.7.1 In determining a Contested Application the Applicant parties, and any official or body of the World Sailing considering Applications or any material arising there from shall consider the criteria set forth in 1.8 and 1.9.

### ***Cases where there is either no Established National Organization meeting the above criteria or where there is a Contested Application (Case 3)***

- 1.8 The suggestions in this section will comprise the criteria for all other cases. These will principally be:
  - (a) cases where there is not a National Authority covering the nation (such as a Club or an organization or one or more clubs who organize racing for themselves or others);
  - (b) where there are competing bodies claiming jurisdiction over sailing in general or particular kinds of sailing;
  - (c) cases where government authority have attempted or succeeded in forcing sailors into a government-sponsored organization but where there are independent organizations of sailors existing.
- 1.9 In general it will not be possible to require all of the criteria necessary in Case 1 for cases in Case 2. The overriding principle will be to choose the organization which represents the most sailors, or the most active sailors, and can best provide the services expected of a Member National Authority. It will be of paramount concern that the organization is organized to provide adequate representation of the club or sailor members:
  - (a) In Case 3 described in 1.8(a) and 1.8(b), it is assumed there will be one or more competently organized sailing organizations in the nation. It will be necessary to balance the degree of representation of sailing activity and the capability to provide adequate services in deciding what organization should be recognized. It should be recognized that in some situations World Sailing should encourage a reorganization of sailing within a country and that no membership should be accepted until a reasonable solution is found. It should also be recognized that separate organizations which govern only one aspect of sailing can apply for affiliate membership without being the Member National Authority;
  - (b) Recognition by the National Sports Authority will be a major positive criteria for acceptance as a member but not absolutely mandatory;

- (c) Recognition by the International Olympic Committee will also be a major positive criteria for acceptance and, except in unusual cases (such as inability to afford contesting in the Olympics), would be mandatory;
- (d) Criteria 1.3(e) and 1.3(f) of Case 1 would be less important in Cases 1.8(a) and 1.8(b);
- (e) Cases described in 1.8(c) are probably not capable of having specific mandatory criteria applied in advance. The criteria in Cases 1.3(a) to (f) and 1.10 would need to be considered. While great consideration should be given to a body which is recognized by either the national sports organization and/or the International Olympic Committee, it should be recognized that this alone is not necessarily sufficient. It should be recognized that where there is existing conflict between organizations purporting to represent sailors as a national authority, World Sailing may wish to make suggestions to allow a membership to be accepted.

### ***Specific Requirements (applies to all applications under Regulations 1.3-1.9 inclusive)***

- 1.10. In addition to the criteria set out in Regulations 1.3 to 1.7.1, an applicant shall have a Constitution which has been passed by a majority of the members at a meeting duly called for that purpose. The Constitution shall provide, among other things, for:
  - (a) reasonable representation of all sailing bodies which are members. Without limiting the foregoing, members shall include yacht and sailing clubs, multi-sport clubs which provide sailing, class associations, specialized sailing and sailing associations; and
  - (b) at least one annual meeting of members for which notice is provided of the meeting and the business to be conducted at the meeting;
- 1.10.1 However, where the circumstances in the country concerned are such that the provisions of paragraph 1.10.(a) are not practical, World Sailing shall be satisfied that such other arrangements have been made that represent the best interests of every class of members referred to in it. Where practical to do so, the Constitution or documents witnessing such other arrangement shall be approved by the members at a meeting duly called for that purpose.
- 1.11 The Constitution or the document witnessing such other arrangements shall be approved by the Constitution Committee and referred to the Executive Committee for its approval and then to the Council for final approval.
- 1.12 Where the Constitution Committee considers that the applicant's constitution or other document does not meet either the requirements of Regulations 1.10(a) or 1.10(b) or where the Executive Committee or Council considers that for any other valid reason the applicant does not meet the requirements for full membership, the Executive Committee may recommend to the applicant that it becomes an Associate Member of World Sailing, in which case World Sailing shall provide the applicant with such advice and assistance as will enable it to apply for full membership in due course.

### ***MNA autonomy and independence***

- 1.13 Member National Authorities must comply with the following obligations:
  - (a) manage their internal affairs independently and ensure that no third party (whether state, government or private) interferes with their operations (however a Member National Authority may accept an appropriate level of control over its financial affairs in order to meet any conditions imposed on financial grants or allowances paid to it, provided that such control does not extend to interference in the Member National Authority's strategy or operations);

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- (b) remain autonomous and resist any political, religious or financial pressure that may infringe on their obligations to observe the World Sailing Constitution and Regulations;
  - (c) report any interference (or attempted interference) to the Chief Executive Officer as soon as reasonably practicable;
  - (d) make provision for an election or internal appointment system that ensures complete independence of the Member National Authority from state, government or other public authority interference (provided that a Member National Authority may, in its discretion, elect representatives from those authorities); and
  - (e) not permit state, government or other public authorities to appoint members of the executive board (or equivalent) of the Member National Authority (provided that the Member National Authority may, in its discretion, permit a limited number of non-voting positions on such board to external representatives).
- 1.14 A Member National Authority may apply to the Chief Executive Officer for exemption from an obligation in Regulation 1.13. The Chief Executive Officer shall investigate the circumstances of the application and make a recommendation to the Executive Committee. The Executive Committee may then exempt a Member National Authority from an obligation in Regulation 1.13 provided that it is satisfied that the principles of autonomy, independence and freedom from government interference remain in place for the Member National Authority in question. The Executive Committee may impose conditions on its approval and may withdraw its approval at any time.
- 1.15 If, upon proper inquiry, the Executive Committee concludes that a Member National Authority is in breach of its obligations under Regulation 1.13, it may:
- (a) issue a formal warning to the Member National Authority and require it to take such measures as are necessary to comply with its obligations within a certain period of time; and/or
  - (b) not recognize the decisions made by the Member National Authority or any elections or appointments made by it; and/or
  - (c) suspend the Member National Authority and report the suspension to Council at its next meeting for ratification; and/or
  - (d) recommend to Council that the Member National Authority's membership be cancelled under Article 15.4.

### ***Associate Membership***

- 1.16 Associate Membership is available to territorial entities that meet the criteria in Article 4 and are approved under Article 5.1. Subject to the Articles, the following criteria shall be used when determining applications for Associate Membership:
- (a) the territory in question is an integral part of, or has some dependent (e.g. colonial relationship) with a parent state;
  - (b) the territory is geographically separated from that parent state by the sea, without bordering, or being in close proximity to, it or its coastal waters;
  - (c) the applicant National Authority has autonomous governance of the sport of Sailing in the territory; and
  - (d) the Member National Authority of the parent state has granted its consent to the application.
- 1.17 Associate Members will receive different benefits to World Sailing Full Members, and should focus on the development of sailing within their immediate territorial waters. Associate Members shall be entitled to:



- (a) hold World Sailing Training Programmes (i.e. Race Officials, Learn-To-Sail Training Programmes);
  - (b) receive specified World Sailing publications;
  - (c) receive World Sailing mailings and circulars;
  - (d) participate in World Sailing Events, world and continental championships, and Regional Games, with the approval of the Council;
  - (e) attend open meetings of the General Assembly and the World Sailing Annual and Mid-Year Meetings as an observer.
- 1.18 Associate Members shall not be entitled to:
- (a) any services or information from World Sailing, apart from those detailed above, or as publicly available on the World Sailing website;
  - (b) make submissions to World Sailing;
  - (c) appoint Council Members;
  - (d) nominate individuals for the position of President or Vice-President, or committees;
  - (e) be represented at the General Assembly;
  - (f) participate in the Olympic Sailing Competition;
  - (g) supply services on a commercial basis except within their territory it represents and its own territorial waters.
- 1.19 Associate Members shall pay an annual subscription as determined by the Executive Committee, and every three years their membership shall be reviewed by the Executive Committee and shall continue only if it is satisfied that they still qualify for Associate Membership and have not abused their rights as such a member:
- 1.20 Application for Associate Member status shall be made to the Chief Executive Officer who shall place it before the Council. The Council shall take into consideration the status of the sport in the country and of the constitution of the National Authority making the application.
- 1.21 The membership of an Associate Member which has failed to pay its subscription for a period of at least three calendar months after the date due and which has failed to comply with its or his membership obligations in a material respect for a period of 30 days after being given notice thereof by the Executive Committee and required to remedy such failure shall be suspended until the next ordinary meeting of Council. At that meeting Council may reinstate, cancel or continue the suspension of membership on the recommendation of the Executive Committee.

### ***Continental Associations***

- 1.22 Continental Associations may apply to World Sailing for affiliate membership. On applying, it shall satisfy World Sailing that it complies with the following criteria:
- (a) that it represents a Continent;
  - (b) that at least two-thirds of the Member National Authorities existing in the Continent it represents are members;
  - (c) that its objects include:
    - (i) the promotion of the sport of sailing in its area of influence;
    - (ii) the co-ordination, together with local member national authorities of the competition calendars to avoid clash of dates of competitions;

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- (iii) the establishment of the basis for development and promotion of classes, which are popular in its territorial area, though not recognized by World Sailing;
    - (iv) the promotion of race officials' education and the encouragement of exchanges of race officials between countries in its area; and
    - (v) the co-ordination of competition activities with the Member National Authorities and the regional sports organizations within their regions which are responsible for organizing sports events in their region.
  - (d) that its Constitution has been passed by a majority of members at a meeting duly called for that purpose. The constitution shall provide, amongst other things, for:
    - (i) reasonable representation of all Member National Authorities that are members;
    - (ii) at least one annual general meeting each year; and
    - (iii) the election of officers.
  - (e) that the Constitution has been approved by the Constitution Committee and referred to the Executive Committee for their approval and finally to the Council for final approval.
- 1.23 Applications for Continental Affiliate Membership shall be made to the Chief Executive Officer who shall place it before the Executive Committee who will make a recommendation to Council.
- 1.24 Continental Affiliate Members shall pay an annual subscription of such sum as shall be designated by Executive Committee from time to time.
- 1.25 The Executive Committee may suspend the membership of a Member National Authority, a Continental Affiliate Member, or a World Sailing Class Association if the Chief Executive Officer certifies in writing that having been warned to make a payment at least 30 days previously and remains in arrears on all or any part of a subscription, fees, dues or other payments due to the Federation or any of its subsidiary companies or any of their predecessors. Additionally the Executive Committee may:
- (a) suspend a Member National Authority, an World Sailing Class Association or the rights of any of their members to participate in a World Sailing Event, Regional Games or World Championships; and/or
  - (b) suspend the right of a World Sailing Class Association to hold a World Championship.
- 1.26 Any suspension under Regulation 1.25 shall be reported by the Executive Committee to the next meeting of Council, which shall vote on whether or not to continue the suspension, or whether to cancel the relevant membership.
- 1.27. Additionally Council may suspend or cancel the membership of a Continental Affiliate Membership if:
- (a) in its view it has failed to comply with the objects set out in Regulation 1.22(c);
  - (b) its membership no longer complies with Regulation 1.22(b); or
  - (c) that another organisation exists which better represents the sport of sailing in the Continent concerned and that can better comply with the criteria set out in Regulation 1.22.

### ***Member National Authority Questionnaire***

- 1.29 In the second year following a General Assembly, the Chief Executive Officer shall undertake an audit of all Member National Authorities in order to collect relevant statistical and general sailing information. It shall be mandatory for each Member

National Authority to complete and return the questionnaire to the World Sailing Secretariat, within the timeframe determined by World Sailing.

### ***Member Challenging the Membership of a Full Member***

- 1.30 No submission for the cancellation of the membership of a Full Member pursuant to Articles 15 and 16 shall be laid before the Council unless it is made in writing by an organization authorized to make such a submission pursuant to Article 15 and has first been examined together with the evidence, arguments and allegations cited in support thereof, by the Constitution Committee.

The purpose of such an examination shall be to ensure that irrelevant matters shall be excluded from the deliberations of the Council and that the Full Member concerned should have a proper opportunity to be informed and to answer the case that has been submitted in support of the cancellation of the membership of that Full Member.

The Constitution Committee may also advise as to the details of the procedure to be followed in dealing with the submission provided that such advice is not contrary to the Articles and Regulations governing these proceedings.

- 1.31 A submission for the cancellation of the membership of a Full Member under Article 15 shall:
- (a) state the grounds upon which such cancellation is sought;
  - (b) include a summary of the allegations and evidence that will be cited in support of the submission; and
  - (c) include copies of any documentary evidence upon which it is intended to rely.
- 1.32 Notwithstanding Regulation 15.6, any such submission shall be submitted to the Chief Executive Officer so that it shall be received by him not less than ten weeks before the meeting of the Council at which the submission shall be considered.
- 1.33 The Chief Executive Officer shall forthwith send a copy of all material received by him in respect of the submission to the Full Member concerned by registered first class post.
- 1.34 The Full Member concerned shall submit to the Chief Executive Officer, within thirty days of having received the material referred to in Regulation 1.33, a reply to the submission which shall include a summary of the evidence and arguments which shall be cited against the submission, and copies of any documentary evidence upon which it is intended to rely.
- 1.35 The submission and reply (together with accompanying documents) shall be examined by the Constitution Committee which may direct that any arguments or evidence which is considered irrelevant for the purposes of the Council's deliberations shall be excluded or that documents shall be put forward in or accompanied by a summarized or abbreviated form.
- 1.36 The submission and reply (together with the accompanying documents) shall then, amended as necessary pursuant to the above examination by the Constitution Committee, be submitted to the Council. Each member of the Council shall be provided with copies of all the relevant documents at least seventy-two hours prior to the meeting at which the submission and the reply, if any, is to be considered.
- 1.37 At any such meeting of the Council the Full Member making the submission shall be given an opportunity to make an oral presentation (which may not introduce any new evidence or material not included in the written presentation or introduce any new argument not fairly raised in the written presentation), following which the Full Member which is the subject of the submission (the "Full Member concerned") shall be given an

opportunity to supplement its written reply and, in particular, to answer any of the points made in the said oral presentation.

- 1.38 A lawyer retained by the Federation shall be present at such meeting of the Council available to advise the Chairman upon procedural or legal matters that may arise during the course of the meeting. The Chairman may also call on the Chairman of the Constitution Committee (if he is a lawyer) or his nominee from among the legal members of the Constitution Committee to advise on matters arising out of the Committee's participation in the process of preparing the submission. The Chairman shall rule on any procedural matters that may arise, and his ruling thereon shall be final and binding.
- 1.39 The procedure to be followed in respect of any review by the General Meetings of the cancellation of the membership of a Full Member shall be similar to the procedure prescribed above in relation to a cancellation of membership.
- 1.40 Any request by the Full Member concerned for a review by the General Meetings shall be treated in the same manner as a submission referred to in Regulation 15. The Full Member who made the original submission for cancellation of the membership of the Full Member concerned shall be entitled to receive all the relevant documents and to address the General Meeting, and the Full member concerned shall be entitled to speak in reply.

## **2. REPRESENTATION ON COUNCIL**

### ***Representation of Full Members on Council***

- 2.1 The representation of Full Members on the Council through the Groups as referred to in Article 40(a) and (b) and Schedule A of the Articles of Association shall reflect the importance and the activity in the sport of sailing in different Regions of the World.
- 2.1.1 World Sailing shall publish from time to time its policy with regard to the representation on Council of the two genders and shall circulate Member National Authorities with the policy at the start of the nomination process.
- 2.2 To enable the distribution of seats of representatives of Full Members on the Council in accordance with the principle laid down in Regulation 2.1, the following system shall be used:
- (a) There shall be six Regions from which representatives of Full Members shall be appointed to the Council, based on the numbers of members (individuals) associated or affiliated with the Full Members in each Region. The Regions are:
    - (i) Africa,
    - (ii) Asia,
    - (iii) Oceania,
    - (iv) Europe,
    - (v) North America and the Caribbean,
    - (vi) Central and South America.
  - (b) Within each Region one or more Groups of Full Members from within that Region shall be formed. Each Group shall have at least one and, except for an exceptional Group representing an unusually large number of sailors, not more than three representatives on the Council, based on the number of members (individuals) associated or affiliated with the Full Members in that Region. The total number of Groups per Region shall not exceed the number of seats of that Region on the Council.

- (c) In exceptional cases a Full Member from within one Region can join a Group from within another Region. Any application for such re-allocation should be made by the Full Member to World Sailing in writing.
- 2.3 Groups of Full Members as described in Regulation 2.2(b) shall be formed on the basis of the following criteria (in order of priority):
  - (a) geography and racing co-operation;
  - (b) language;
  - (c) Regional Games;
  - (d) ethnic makeup;
  - (e) balance between large and small nations in a Group;
  - (f) economic interaction and co-operation; and
  - (g) any specific request of a Full Member made in writing.
- 2.4 Every four years, the representation of Full Members on the Council through the Groups as mentioned in Article 40(a) and (b) and Schedule A of the Articles of Association shall be reviewed by the Constitution Committee and any changes considered necessary shall be presented to the Council and the ordinary meeting of the General Assembly for their approval.
  - 2.4.1 At any other time on the initiative of the Executive Committee or the Constitution Committee itself, the representation of the Full Members on the Council through the groups as mentioned in Regulation 2.1 may be reviewed by the Constitution Committee and any changes considered necessary shall be presented to the Council to be dealt with in accordance with Article 40(b).
  - 2.4.2 If the provisions of Schedule A are changed by the Council pursuant to Article 40(b) in such a way that there are changes in the number of Groups or the number of the Council members assigned to any Groups, nominations by the Groups of Full Members to reflect the changes in Schedule A shall be notified to the Chief Executive Officer in the manner provided for in Article 40(c) for the next meeting of the Council after the Council has voted such changes in Schedule A.
  - 2.4.3 Any changes to Schedule A which require the type of changes referred to in Regulation 8.3 pursuant to Regulations 2.1 to 2.3 shall be made at the next Council meeting after the meeting which made the changes and the term of the Council members so affected shall be changed accordingly as provided in Article 40(c).
- 2.5 In conducting a review of the Groups, the Constitution Committee shall proceed as follows:
  - (a) the relevant information from each Full Member shall be accumulated by means of a written questionnaire;
  - (b) the number of seats representing Full Members on the Council shall be re-allocated according to Regulation 2.2(a);
  - (c) the maximum number of Groups per Region shall be determined, and if necessary, recommendations for re-allocation of Full Members to Groups shall be drawn up;
  - (d) Full Members concerned shall be asked to express themselves concerning their possible re-allocation to Groups; and
  - (e) if the above mentioned actions result in any necessary changes, they shall be presented to the Council and the next ordinary meeting of the General Assembly for approval.

### 3. COUNCIL RULES OF PROCEDURE

### 3.1 Agenda

3.1.1 The agenda for Council meetings shall be settled by the President and shall include the following items:

- (a) apologies;
- (b) minutes of the previous meeting and matters arising;
- (c) report on financial matters;
- (d) submissions, in accordance with Regulation 15;
- (e) committee reports and recommendations, including reports and recommendations from sub-committees, except per Regulation 6.9.6(d) the Special Regulations Sub-committee whose final approval comes from the Oceanic and Offshore Committee, on matters that:
  - (i) relate primarily to the sub-committee and not the parent committee
  - (ii) have been endorsed by the chairman of the parent committee; and
- (f) any other business.

3.1.2 Without restricting the power of Council to debate any matter it considers appropriate at any time, in normal circumstances the Mid-Year Meeting and other meetings of Council except the Annual Meeting shall be for Council to debate and vote on policy, and urgent matters.

3.1.3 The Annual Meeting shall be for all other matters.

### 3.2 Minutes

3.2.1 Minutes of each meeting shall be prepared by the Chief Executive Officer, whose draft shall be approved by the Chairman of the meeting. After such approval the draft will be circulated to all members of Council present at the meeting within three weeks of the meeting except in exceptional circumstances. Members of Council may propose amendments to any entry in the minutes which they do not consider constitute a fair and true representation of what took place at the meeting. The Chairman shall consider their objections but his decision as to the contents of the minutes to be published shall be final.

3.2.2 Council members wishing to propose amendments to the draft shall send them to the Chief Executive Officer within two weeks from the date the draft minutes were circulated to Council.

3.2.3 Once the Council minutes have been considered by Council and published, further amendments may only be proposed by a Council member and shall be put on the next Council agenda for consideration.

3.2.4 Except when a ballot is secret as provided in Article 46, any Full Member and any member of Council may request within twenty-eight days of the publication of the Council Minutes that a list of the names be published of Council Members who voted and how they voted on an issue specified in the request. That list shall be published by the Chief Executive Officer within fourteen days of the request being made and shall be attached to the minute dealing with the issue concerned.

### 3.3 Rules of Debate

3.3.1 Motions shall be proposed to the Council based on the submissions and recommendations received. Any matter proposed to the Council shall require to be seconded. The proposer will open the debate. At the end of this introduction, there will be an opportunity for questions to clarify any doubtful points.

- 3.3.2 Members of the Council will then be called upon to speak in turn. They shall address their remarks to the chair. Other members shall not interrupt. No member shall speak until called upon by the chair. Members, other than the proposer, will not normally be entitled to make more than one speech in each debate. There will be no fixed time limit but speeches are to be kept short.
- 3.3.3 When all members who wish to do so have spoken, the proposer will be given a chance to reply.
- 3.3.4 The Chairman shall state the final motion to be put to the vote.
- 3.3.5 The matter will then be voted on. A vote by members of the Council shall be made and in the case of a tie in votes, the Chairman shall have a second or casting vote.
- 3.3.6 Article 46 of the Constitution deals with ballots.
- 3.3.7 Apart from minor amendments, which may be accepted at the proposer's discretion, a matter will be passed, rejected or deferred. When a matter is deferred, the Council shall determine to which Committee the matter is deferred and the timeframe for the matter to be re-presented.
- 3.4 Attendance at Council Meetings
  - 3.4.1 Committee Chairmen who cannot attend a meeting should arrange to be represented by a deputy, who shall normally be the Vice-Chairman.
  - 3.4.2 A Council Member shall notify the Chief Executive Officer if he/she is unable to attend a Council meeting and may appoint an alternate in accordance Article 54 of the Constitution.
- 3.5 Financial or other interests
  - 3.5.1 In a debate on any matter in which a member of the Council has a personal vested interest, whether financial or otherwise, the member shall advise the Chairman of that fact, and of the exact nature of the interest, if practicable in advance of the Council meeting, or otherwise at the earliest reasonable opportunity in the course of the debate. Notification of the reasons of the said interest shall be reported to the Council unless there are compelling grounds why such reasons should remain confidential. According to the exact nature of the interest, the Chairman or Council may require the member concerned to leave the meeting for the whole or part of the debate. The Chairman may require the member to abstain from voting. The declaration and any decision by the Chairman shall be minuted.
- 3.6 Indemnity
  - 3.6.1 World Sailing will maintain a professional indemnity insurance policy to cover members of its Council when acting in an official capacity.
- 3.7 General
  - 3.7.1 In the event that these rules of procedure do not cover a particular situation or interpretation, the Chairman shall refer to general rules of procedure currently used in England.

## **PART II – Committees, Commission and Advisory Boards**

### **4. ELECTION OF PRESIDENT AND VICE-PRESIDENTS**

- 4.1 When there are more candidates for election as President or as Vice-Presidents than there are places available, members of the General Assembly shall be provided with ballot papers listing alphabetically the names of all candidates nominated in

accordance with Article 74 and certified to be eligible by the Election Committee in accordance with Article 76(a).

### 4.2 Election of the office of President

- (a) In an election for the office of President, a candidate must be elected by more than 50% of the votes and, if there are more than two candidates or a tie vote between two candidates, successive ballots may be necessary:
  - (i) the first ballot shall be taken and the members shall be directed to vote for one candidate only. The ballots shall be counted and the candidate with over 50% of the votes shall be declared the elected;
  - (ii) if no candidate receives more than 50% of the votes then a runoff vote will be held between the top two candidates. In the event there is a tie vote between the candidates in the second position, the runoff vote will be between the first candidate and those tied for second place;
  - (iii) in the runoff election, the candidate receiving more than 50% of the votes shall be declared the winner. If no candidate receives more than 50% of the vote, subsequent runoff elections will be held dropping out the person with the least number of votes until a candidate receiving more than 50% of the vote is declared elected.
- (b) A ballot marked for more than one candidate shall be treated as an invalid ballot.

### 4.3 Election for Vice-Presidents

- (a) At least two Vice-Presidents of each gender shall be elected. However if there are fewer than two candidates of one gender, the number of Vice-Presidents of the other gender shall be increased accordingly.
- (b) The ballot paper for the election of Vice-Presidents shall list all candidates and shall identify male and female candidates.
- (c) Members of the General Assembly shall vote in one ballot for their preferred seven candidates.

To be valid, a completed ballot paper shall have seven votes, including votes for at least two candidates of each gender. This requirement will be varied in accordance with Regulation 4.3(a) if there are fewer than two candidates of a gender
- (d) Counting the votes

Within candidates of the same gender, the two candidates receiving the most votes shall be elected (Stage 1). The remaining three Vice-Presidents shall be elected from all the candidates on the basis of total votes received (Stage 2). If there are fewer than two candidates of a gender, the number of candidates to be elected at Stage 1 shall be reduced accordingly and Stage 2 increased accordingly.
- (e) Breaking Ties:
  - (i) When there is a tie that needs to be broken, a separate run-off ballot shall be held between the tied candidates to break it. When a tie involves more than two candidates, and a run-off ballot only partially breaks that tie, another run-off ballot shall be held between those who are still tied.
  - (ii) When all candidates remain tied after a run-off ballot, a toss of a coin (or other game of chance) shall be used to break the tie.

### 4.4 All voting shall be by secret ballot.



- 4.5 The Election Committee shall require candidates for election to provide information for publication about their candidacy in such form as the Election Committee may from time to time require (which may include a manifesto and/or standard questionnaire concerning the candidates' experience, expertise and policies). Failure to provide such information shall not disqualify a candidate from election, but the Election Committee may publish a notice that the candidate has not complied with its requirements.

## 5. APPOINTMENT OF COMMITTEE MEMBERS

### 5.1 Nominations

- (a) Except in the case of the Audit Committee, nominations of candidates for membership of Committees and Sub-committees may be made by Member National Authorities.
- (b) The Audit Committee shall be appointed by the Executive Committee but no employee of World Sailing or its subsidiary and associated companies shall be appointed. In making the appointment the Executive Committee will take into account the need for experience in matters of finance and/or investment.
- (c) Nominations for the Para World Sailing Committee may only be made by Member National Authorities, who, in the assessment of that Committee, have disabled sailing programmes

- 5.1.1 In addition to provide for special representation required for organizations of sailors which are not directly represented through the process set out in Regulation 5.1 or for required specialty knowledge the following additional nominations may be made:

- (a) World Sailing Classes Committee
  - (i) An ICA Member may appoint one member to the World Sailing Classes Committee. The ICA Members which have specific fleets for disabled sailing may appoint a second member to the World Sailing Classes committee to represent the interests of disabled sailing. The names of these members shall be advised to the World Sailing Secretariat in writing not less than fourteen days prior to any meeting of the World Sailing Classes Committee. There shall be only one vote per class association so represented.
  - (ii) The Chairman and Vice-Chairman of the World Sailing Classes Committee shall be elected by the World Sailing Classes Committee at the meeting held during the session of an ordinary meeting of the General Assembly or at any other time should a vacancy arise.
  - (iii) The Women referred to in Article 36.1(g) may select a women's representative for membership of the World Sailing Classes Committee. The woman selected for this position shall be drawn from the women referred to in Article 36.1(g).
  - (iv) The World Sailing Classes Committee may nominate candidates for membership of the Equipment, Events, Equipment Control, International Measurers Sub-committee, Class Rules Sub-committee and Windsurfing and kiteboarding Committees.
- (b) Race Officials Committee
 

The Chairman of the Race Officials Committee may nominate candidates for membership in the Race Officials Committee and the International Judges, International Umpires, Race Management and International Measurers Sub-committees.
- (c) Regional Games Committee

- (i) Membership of the Regional Games Committee shall represent Regional Games organizations and specific geographic regions where Regional Games are likely to occur as defined from time to time by the Executive Committee.
- (ii) The Member National Authorities represented as defined according to Regulation 5.1.1(c)(i) may collectively nominate candidates for membership in the Regional Games Committee, however only one nominee for each Regional Games Organization or Regional Organization may be recommended by the Executive Committee to the Council as provided by Regulation 5.2.1. The Member National Authorities from a particular Regional Games Organization or regional organization shall agree on their representative to be nominated. The process of such nomination is to be decided by the collective Member National Authority members of the particular organization.
- (iii) Member National Authorities who are members of more than one Regional Games Organization or such regional organization as defined according to Regulation 5.1.1(c)(i) may participate in the selection of candidates in any of the organizations of which they are a member.
- (iv) The foregoing provisions do not prevent additional members being nominated for the Regional Games Committee by the process in Regulation 5.1.

### **Nomination Process**

- 5.1.2 All individuals nominated to a World Sailing committee must sign, prior to their nomination being accepted, the following 'release waiver' as set out in on the 'Committee Nomination Form':

*"In consideration of my appointment or election to the Executive Committee, Council or any World Sailing committee, sub-committee, commission or working party (collectively, World Sailing committee'), and the payment of £1.00, the receipt and sufficiency of which is hereby acknowledged, I assign and release to the International Sailing Federation (IOM) Ltd any*

*copyright or other rights I may have in Rules, Regulations, Class Rules, manuals, publications, or derivatives of any of these, to which I have contributed in my capacity as a member of an World Sailing committee."*

The forgoing assignment and release is irrevocable, and intended to be without restriction as to time or geography.

- 5.1.3 By signing the 'Committee Nomination Form' individuals also accept that the Federation may store their personal data electronically for use by World Sailing Limited and its subsidiaries.
- 5.1.4 All individuals nominated to a World Sailing committee must be able to communicate using e-mail.
- 5.1.5 All nominations for membership of committees shall be received by the Chief Executive Officer not later than 1200 hours UTC 1 August in the year of the General Assembly save that nominations from the World Sailing Classes Committee shall be received not later than the day before an ordinary meeting of the General Assembly.
- 5.1.6 A Member National Authority may nominate an individual who is neither a national nor resident of the nominating country, but before doing so shall obtain the consent of the individual's Member National Authority.

- 5.1.7 Nominating Member National Authorities must pay all the respective travel/accommodation cost for the Committee Member to attend World Sailing meetings or, as may be arranged between the Member National Authority and the delegate.

### ***Appointment Process***

- 5.2 The Executive Committee shall, four days before a General Assembly, publish a list of all those nominated for appointment as members of committees.

- 5.2.1 The Executive Committee shall, subject to any specific provisions in the Regulations relating to a particular committee and so far as is practicable, follow the following guidelines:

- (a) first consideration should be given to persons for their expertise rather than geographical location;
- (b) preference shall be given to those nominations from Member National Authorities whose nominations, taken together with other nominations from their Council group, include at least 25% of each gender;
- (c) not less than 25% of the members of each committee should be drawn from each gender;
- (d) not less than 20% of the chairmen and vice-chairmen of all committees should be drawn from each gender;
- (e) not more than two persons from any one Member National Authority should be included on any committee;
- (f) there should not be a set number of members of any committee, but ordinarily each committee shall not exceed eighteen (including any ex officio members);
- (g) there should not be a maximum period of time for any individual to sit on a particular committee; and
- (h) consideration should be given to the relevant sailing experience of nominees.

- 5.2.2 Following the General Assembly, the committees shall be appointed in the following manner:

- (a) within 60 days of the General Assembly, the new Executive Committee shall publish the proposed members of the committees (including the chairman and vice-chairman of each committee) and that list shall be circulated to Council;
- (b) within 10 days of the circulation of the list to Council, any member of Council may propose an addition or deletion to the list provided that:
  - (i) any proposed name to be added must have been originally nominated in accordance with Regulation 5.1;
  - (ii) any proposal must be in writing and be received by the Chief Executive Officer within the 10 day period;
  - (iii) the proposal must be supported by at least two other Council members from two other Council groups;
- (c) following the deadline for the receipt of amendments, the Council shall vote on whether or not to approve the Executive Committee's nominations and any amendments proposed;
- (d) if the Council rejects the nominations of the Executive Committee, the Executive Committee shall submit a revised list within 14 days and the process above shall be repeated; and

- (e) if by the first day of February following the General Assembly (or such later date determined by the President) the Council has not approved or rejected the list, the list shall be deemed approved by the Council.
- 5.2.3 Re-nominations, taken from those names nominated in due time under Regulation 5.1, 5.1.1 and 5.1.5 may be made by members of the Council in writing at least 30 hours before commencement of the General Assembly.
- 5.2.4 The new Executive Committee formed by the election of officers at the General Assembly shall, having considered any re-nominations, put its recommendations for the appointment of Chairmen, Vice-Chairmen and members of committees to the new Council within 60 days of the General Assembly. The Council via email vote shall confirm the recommendations.
- 5.2.5 The new Council may delete names from or add names to the list, provided that the names added had been nominated in accordance with Regulations 5.1, 5.1.1 and 5.1.5.

### ***Vacancies in Committee Membership***

- 5.3 A vacancy in a committee or sub-committee caused by resignation, lengthy inability to attend, incapacity or death may be filled at any Council meeting. The notification of the Chief Executive Officer referred to in Article 54 may be made at any time up to six weeks before the start of the next Council meeting.
- 5.3.1 The Chief Executive Officer shall prepare a list of those duly nominated to that committee or sub-committee at the last General Assembly, who are still willing to serve. The Chief Executive Officer shall provide that list to the Executive Committee and to Council. The Executive Committee shall choose from it a suitable nominee to fill each vacancy using the criteria listed in Regulation 5.2.1 and shall recommend the names of such candidates to Council.
- 5.3.2 The Council may approve the candidate or candidates submitted to fill the vacancy or may substitute the names of other nominees on the list

### ***Responsibility of Committee Members***

- 5.4 Under Article 5.1, once appointed, a committee member becomes automatically an 'World Sailing Member' and therefore a member of the Federation. The obligations of Articles 6 to 9 therefore apply. Committee members will also understand that he or she has been appointed in a voluntary capacity.
- 5.4.1 Any work undertaken in his or her capacity as a committee member shall belong to the Federation in accordance with Regulation 5.1.2.
- 5.4.2 A committee member will respect and keep confidential any information which he or she receives as a result of being a member of the Federation, at least until that information becomes public.
- 5.4.3 Any input by a committee member to the work of the Federation should be offered in the interests of the sport worldwide and, therefore, not nationally biased.
- 5.4.4 A committee member is expected to be at all properly scheduled meetings. Should two or more consecutive meeting be missed, the Executive Committee will review his or her membership.
- 5.4.5 A committee member is responsible for his or her own medical/travel insurance.
- 5.4.6 A committee member's appointment will be withdrawn if he or she is no longer a member of his/her respective Member National Authority, or a constituent member of a Member National Authority.

- 5.4.7 When it is brought to the attention of the Executive Committee that a committee chairman or vice-chairman is not fulfilling his or her obligations as chairman or vice-chairman the Executive Committee may make a recommendation to Council.

### ***Sub-committees and Working Parties***

- 5.5 A Committee may have one or more sub-committees appointed in accordance with Regulations 5.1, 5.1.1, 5.1.5 and Article 42.
- 5.5.1 Working parties
- (a) A Committee may appoint working parties to deal with particular subjects.
  - (b) The appointing committee shall assign members of the committee to the working party, but may include any other person where it needs specific expertise.
  - (c) Council may appoint a joint working party from two or more committees with the consent of the Chairmen of those Committees.
  - (d) The appointing Committee shall select the working party for a set project or period. Unless dismissed earlier, membership of working parties automatically finishes in line with the term of appointment of the appointing committee.
  - (e) Working parties shall work under a standard terms of reference as follows:
    - (i) members of the working party may work by e-mail;
    - (ii) where meetings are necessary, working parties will use the offices of the World Sailing Secretariat;
    - (iii) at the first meeting of the appointing committee after the working party's appointment, the members will present a defined set of objectives for their work; and
    - (iv) the working party will present a record of its work at each subsequent meeting of the appointing committee.
- 5.5.2 Sub-committee and working parties shall not be entitled to financial or extra-ordinary assistance from the Secretariat without prior approval by the Executive Committee.

### ***Committee Terms of Office***

- 5.6 The ordinary term of office for a committee member shall be 4 years from 1 February following the last ordinary General Assembly.

## **6 COMMITTEES**

### **Audit Committee**

- 6.1 Audit Committee

### ***Constitution of the committee***

- 6.1.1 The Audit Committee shall consist of at least four members. The majority of members shall be financially literate and at least one member shall have an accounting or financial background. The members shall include:
- (a) a Chairman who shall be appointed by the Executive Committee and will not be a member of the Executive Committee;
  - (b) the Vice President responsible for finance;
  - (c) one Vice President; and
  - (c) one other member who may be a member of Council but not a member of the Executive Committee.

- 6.1.2 The Committee shall meet at least twice in every year and the quorum shall be two (one of whom shall not be a member of the Executive Committee).
- 6.1.2.1 The President, the Chief Executive Officer and the external auditor shall attend meetings when invited by the Committee. Other members of the Executive Committee may be invited to attend all or part of the Committee's meetings.
- 6.1.2.2 The Committee shall meet with the external auditor at least once a year without management present.
- 6.1.3 The Committee shall have authority from the Executive Committee to:
- (a) investigate any activity of World Sailing and its subsidiaries within its functions and responsibilities;
  - (b) seek any information it requires from any employee of World Sailing or its subsidiaries and all employees shall be directed to cooperate with any request made by the Committee acting within its terms of reference; and
  - (c) obtain outside legal or other independent professional advice as it requires. Such advisors may attend meetings as the Committee considers advisable.

### ***Terms of reference***

#### 6.1.4 Responsibilities

The duties of the Committee are as follows:

- (a) ensure that appropriate financial reporting and internal control processes are in place and monitor those processes so that the Executive Committee is properly and regularly informed on financial matters;
- (b) recommend to the Executive Committee the appointment, tenure and remuneration of the external auditor;
- (c) assess the independence of the external auditor;
- (d) review the external auditor's annual audit plan and reports;
- (e) review the draft annual financial reports for compliance with relevant laws and regulations and recommend approval to the Executive Committee;
- (f) review the findings of the external auditor and ensure recommendations are actioned by management;
- (g) review the effectiveness of internal controls and their application;
- (h) review the financial management;
- (i) review the risk management plan;
- (j) review the management policies and processes to ensure their suitability to achieve compliance with risk management policy, codes of conduct and any statutory and regulatory requirements;
- (k) supervise any special investigations requested by the Executive Committee on matters relevant to the Committee's role and responsibilities; and
- (l) examine any other matters referred to it by the Executive Committee.

#### 6.1.5 Reporting Procedures

- (a) The Committee shall, after each meeting, report the Committee's findings and recommendations to the Executive Committee.
- (b) The Committee shall conduct a review of its objectives and activities every two years.

- (c) The Committee will prepare an annual report to the Executive Committee on all matters relevant to the Committee's role and responsibilities.
- (d) The Chairman shall attend Council meetings and the AGM and shall answer questions, through the President, on the Audit Committee's activities and their responsibilities.

### **Constitution Committee**

#### **6.2 Constitution Committee**

##### ***Constituting the committee***

- 6.2.1 The Constitution Committee has been established by the Council pursuant to Article 42.
- 6.2.2 The Constitution Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

##### ***Terms of reference***

- 6.2.3 The Constitution Committee shall:
  - (a) review the structure, Constitution, Regulations, of the Federation and recommend such changes to the Council as may be necessary;
  - (b) review the terms of reference of committees, when appropriate;
  - (c) review all submissions affecting the Constitution and Regulations;
  - (d) recommend the groupings of Member National Authorities and the assignment of Members to appropriate groups;
  - (e) recommend the subscription categories into which each Member National Authority should fall, having regard to the active sailing and racing in the country represented by the Member National Authority and the latest Member National Authority Questionnaire issued by the Chief Executive Officer;
  - (f) review items on the agendas of the Council and General Assembly dealing with political matters;
  - (g) advise, in conjunction with counsel for the Federation, the President and the Council in the event of a proceedings under Article 14 of the Constitution;
  - (h) provide liaison with any committees or working parties dealing with matters affecting the Constitution and Regulations;
  - (i) be the sole body responsible for interpreting the Constitution and Regulations of World Sailing and ensure that World Sailing operates consistently within its Constitution and Regulations.

### **Development and Youth Committee**

#### **6.3 Development and Youth Committee**

##### ***Constituting the committee***

- 6.3.1 The Development and Youth Committee has been established by the Council pursuant to Article 42.
- 6.3.2 The Development and Youth Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

##### ***Terms of reference***

### 6.3.3 The Development and Youth Committee shall:

- (a) be responsible for the appointment and removal of \*World Sailing nominated experts to run World Sailing technical courses for coaches;
- (b) recommend to the Council the World Sailing Development Plan, agree priorities and monitor progress;
- (c) recommend to the Council strategic development issues, budget requirements and policy;
- (d) ensure that the World Sailing Development Plan is consistent with the World Sailing Strategic Plan;
- (e) ensure that the World Sailing Development Plan and other Committee activities are focused on achieving the stated objectives of the Committee; and
- (f) consult with other World Sailing Committees and other organisations on issues relevant to Development.

\*The list of World Sailing nominated experts can be found in the Training and Development section of the Yearbook.

### **Para World Sailing Committee**

#### 6.4 Para World Sailing Committee

6.4.1 The Para World Sailing Committee has been established by Council pursuant to Article 42.

6.4.2 The Para World Sailing Committee shall consist of a Chairman, a Vice-Chairman and not more than eight members that shall be appointed by Council from time to time.

6.4.3 Regulation 5.1(c) applies to the nomination of members of the Committee.

6.4.4 Until 1 February 2017 and notwithstanding Regulation 5, the chairman and members of the Para World Sailing Committee shall be the IFDS President and members of the IFDS Executive Committee respectively (as they were at the time of the adoption of this Regulation 6.4.4). This Regulation will cease to apply on 1 February 2017.

### ***Terms of reference***

#### 6.4.5 The Para World Sailing Committee shall:

- (a) be responsible for the Paralympic Sailing Programme including events and equipment selection;
- (b) be responsible for Para World Sailing Championships;
- (c) develop and monitor the World Sailing Disabled Sailor Ranking System;
- (d) provide one of its members to be a representative on the International Paralympic Committee;
- (e) monitor the activities of Paralympic sailors and assist World Sailing and its committees in the administration of the disabled sailor classification programme, classifier instruction and evaluation, and to recommendations to the Race Officials Committee on the appointment and administration of International Classifiers;
- (f) promote, assess and support programmes for disabled sailing run by MNA's;
- (g) decide the criteria for and advise upon the development of equipment, aids and adaptations for disabled and Paralympic format sailing;
- (h) liaise with the IFDS Trust;



- (i) liaise with all other relevant World Sailing committees as necessary or appropriate to ensure consistency across World Sailing processes and activities with disabled sailing and
- (j) appoint the Para World Sailing Committee representatives to the Equipment Committee and the Equipment Control Sub-committee.

## **Equipment Committee and Sub-committees**

### 6.5 Equipment Committee

#### ***Constituting the committee***

6.5.1 The Equipment Committee has been established by the Council pursuant to Article 42.

6.5.2 The Equipment Committee shall consist of:

- (a) a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

In appointing committee members the following experience and competencies shall be properly represented: centreboard boats, keelboats, multihulls, offshore, windsurfing and kiteboarding;

- (b) In addition, specialist expertise will be represented as follows:
  - (i) a representative of the Equipment Control Committee – normally the Chairman;
  - (ii) a representative of the Class Rules Committee – normally the Chairman;
  - (iii) a representative of the Special Regulations Committee – normally the Chairman;
  - (iv) a representative of the Oceanic and Offshore Committee;
  - (v) a representative of the Windsurfing and Kiteboarding Committee;
  - (vi) a representative of the World Sailing Classes Committee;
  - (vii) a representative of the Racing Rules Committee.
  - (viii) a representative of the Para World Sailing Committee.

6.5.3 The Chairman of the Equipment Committee may, for the purposes of liaison, appoint himself/herself or another member of the committee as an ex-officio, non-voting member of the Race Officials Committee.

#### ***Terms of reference***

6.5.4 The Equipment Committee shall:

- (a) be responsible for recommending to Council planning and policy recommendations on equipment matters;
- (b) consider submissions on equipment matters and make recommendations thereon to the Council;
- (c) be responsible for recommending equipment policy with regard to the Olympic Sailing Competition and at World Sailing Events, conducting equipment trials or other evaluation, and making recommendations to Council on any change or evolution of Olympic Equipment, in accordance with the strategy and timescales in Regulation 23;
- (d) review applications by classes for World Sailing status and make recommendations thereon to Council;

- (e) maintain a liaison with and be responsible for the supervision of the constitutions of World Sailing Classes and to develop the concept of these classes to help them promote world sailing;
- (f) periodically review the World Sailing Classes and make recommendations where thought appropriate for the withdrawal of World Sailing status;
- (g) liaise with other World Sailing committees on matters affecting equipment.

### 6.5.5 Class Rules Sub-committee

#### ***Constituting the committee***

6.5.5.1 The Class Rules Sub-committee has been established as a Sub-committee of the Equipment Committee by the Council pursuant to Article 42.

In appointing committee members the following experience and competencies shall be properly represented: centreboard boats, keelboats, multihulls, offshore and windsurfing.

6.5.5.2 The Class Rules Sub-committee shall consist of:

- (a) a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.
- (b) In addition, specialist expertise will be represented as follows:
  - (i) a representative of the Equipment Control Committee;
  - (ii) a representative of the Special Regulations;
  - (iii) a representative of the World Sailing Classes Committee;
  - (iv) a representative of the Oceanic and Offshore Committee;
  - (v) a representative of the Racing Rules Committee.

#### ***Terms of reference***

6.5.5.3 The Class Rules Sub-committee shall:

- (a) establish, maintain and publish the policies for the approval process of proposed class rules changes referred to in Regulation 10.11.4;
- (b) review class rules change applications referred to it in accordance with Regulation 10.11.5;
- (c) monitor and advise the process reviewing the format and content of class rules of classes applying for World Sailing status;
- (d) keep under review and, where appropriate, recommend changes to class rules to Class Associations;
- (e) give interpretations of class rules of World Sailing Classes in accordance with Regulation 10.12; and
- (f) liaise with the Equipment Committee, the Equipment Control Committee, the Special Regulations Committee and other Committees on matters affecting class rules.

### 6.5.6 Equipment Control Sub-committee

#### ***Constituting the committee***

6.5.6.1 The Equipment Control Sub-committee has been established as a Sub-committee of the Equipment Committee by the Council pursuant to Article 42.

6.5.6.2 The Equipment Control Sub-committee shall consist of:

- (a) a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.
- (b) In addition, specialist expertise will be represented as follows:
  - (i) a representative of the Class Rules Committee;
  - (ii) a representative of the Special Regulations Committee;
  - (iii) a representative of the Windsurfing and Kiteboarding Committee;
  - (iv) a representative of the Oceanic and Offshore Committee;
  - (v) a representative of the World Sailing Classes Committee;
  - (vi) a representative of the Racing Rules Committee;
  - (vii) a representative of the International Measurers Sub-committee;
  - (viii) a representative of the Para World Sailing Committee .

6.5.6.3 The Chairman of the Equipment Control Sub-committee may, for the purposes of liaison, appoint himself/herself or another member of the committee as an ex-officio, non-voting member of the International Measurers Sub-committee.

6.5.6.4 The Chairman of the Equipment Control Sub-committee shall appoint the members and chairman of the ERS Working Party.

## ***Terms of reference***

6.5.6.5 The Equipment Control Sub-committee shall:

- (a) formulate, revise and publish the Equipment Rules of Sailing (ERS) every four years after approval by the Council, in accordance with Regulations 29.1 and 29.2;
- (b) approve and publish interpretations of the ERS submitted in accordance with Regulation 29.3;
- (c) ensure uniformity of rules for equipment control for all World Sailing classes recommending in particular:
  - (i) uniform certification control procedures and methods;
  - (i) uniform format and working of class rules;
- (d) keep under constant review:
  - (i) the World Sailing Guide to Equipment Control;
  - (ii) the World Sailing Standard Class Rules;
- (e) work for improvement of the standard of certification control in Member National Authorities particularly through:
  - (i) arranging equipment control courses and symposia;
  - (ii) developing and maintaining the World Sailing In-house Certification system;
- (f) keep building processes under review and through inspection of licensed builder's premises maintain standards of construction;
- (g) liaise with relevant World Sailing committees in all matters affecting equipment control.

*Equipment Rules of Sailing Administration: See Section 2, Regulation 29*

## **Events Committee and Sub-committees**

6.6 Events Committee

### ***Constituting the committee***

- 6.6.1 The Events Committee has been established by the Council pursuant to Article 42.
- 6.6.2 The Events Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

### ***Terms of reference***

- 6.6.3 The Events Committee shall:
- (a) every four years, at the meeting immediately after the Olympic Games, provide to Council a review of the Olympic Sailing Competition, and identify possible opportunities for change to World Sailing's Olympic strategy, or to Olympic Sailing Events or Equipment;
  - (b) recommend to Council any change to Olympic Events, and any change or evolution of Olympic Equipment, in accordance with the strategy and timescales in Regulation 23.1
  - (c) in conjunction with the President and Chief Executive Officer recommend to Council the format of courses to be sailed at the Olympic Sailing Competition;
  - (d) recommend to Council the policy (and implementing actions) to be used in World Sailing Events and other major international events, including the Olympic Qualification system and events;
  - (e) recommend to Council the policy (and implementing actions) to be used concerning the World Sailing Calendar and World Sailing Ranking Lists;
  - (f) in matters concerning equipment, Olympic or otherwise, liaise with the Equipment Committee.
- 6.6.4 Sail Rankings Sub-committee

### ***Constituting the committee***

- 6.6.4.1 The Sail Rankings Sub-committee has been established as a Sub-committee of the Events Committee by the Council pursuant to Article 42.
- 6.6.4.2 The Sail Rankings Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

### ***Terms of reference***

- 6.6.4.3 The Sail Rankings Sub-committee shall:
- (a) monitor and develop World Sailing Ranking System;
  - (b) make recommendations to the Events Committee on how to administer and control the World Sailing Rankings;
  - (c) make recommendations to the Events Committee on how to promote the World Sailing Rankings;
  - (d) decide on the grading of events for the World Sailing Rankings.
  - (e) through the Chief Executive Officer, maintain a close liaison with sailors, organizers, Olympic Classes and Member National Authorities concerning World Sailing Ranking issues.
- 6.6.5 Team Racing Sub-committee

### ***Constituting the committee***

6.6.5.1 The Team Racing Sub-committee has been established as a Sub-committee of the Events Committee by the Council pursuant to Article 42.

6.6.5.2 The Team Racing Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

### ***Terms of reference***

6.6.5.3 The Team Racing Sub-committee shall:

- (a) be responsible for the planning and approval for the conduct of the World Sailing Team Racing World Championship;
- (b) review and maintain the conditions and requirements for the selection of countries and venues to host the World Sailing Team Racing World Championship;
- (c) liaise with the Chief Executive Officer on matters regarding finance, sponsorship and the contractual arrangements with the host MNA, organizers and suppliers of equipment
- (d) be responsible for developing and implementing strategies concerning the equipment to be used in the World Sailing Team Racing World Championship;
- (e) be responsible for promoting the World Sailing Team Racing World Championship as the premier Team Racing event in order to encourage as many worldwide countries as possible to participate;
- (f) keep under constant review the Championship Guidelines and other relevant information to maintain and improve the quality and standards of the World Sailing Team Racing World Championship;
- (g) make recommendations to the Events Committee on the promotion and co-ordination of team race sailing throughout the world; and
- (h) monitor and develop all technical aspects of team race sailing organization in conjunction with relevant World Sailing Committees and make any necessary recommendations to the Events Committee.

6.6.5.4 Team Racing Definition:

Team Racing is a discipline of sailing. Team Racing and Team Sailing have the same meaning. A team race consists of two or more boats sailing as a team against the same number of boats from an opposing team. The boats used by the competitors are of the same class and should be equalized to the highest possible standard.

6.6.6 Youth World Championship Sub-committee

### ***Constituting the committee***

6.6.6.1 The Youth World Championship Sub-committee has been established as a Sub-committee of the Events Committee by the Council pursuant to Article 42.

6.6.6.2 The Youth World Championship Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

### ***Terms of reference***

6.6.6.3 The Youth World Championship Sub-committee shall:

- (a) be responsible for the planning and approval for the arrangements of the World Sailing Youth Sailing World Championship (YSWC) each year;

- (b) review and maintain the conditions and requirements for the selection of countries and venues to host the World Sailing Youth Sailing World Championship;
- (c) liaise with the Chief Executive Officer on matters regarding finance, sponsorship and the contractual arrangements with the host MNA, organizers and suppliers of equipment;
- (d) be responsible for developing and implementing strategies concerning the equipment to be used in the World Sailing Youth Sailing World Championship;
- (e) be responsible for promoting the World Sailing Youth Sailing World Championship as the premier Youth Sailing Event in order to encourage as many countries as possible to participate; and
- (f) keep under constant review the Championship Guidelines and other relevant information to maintain and improve the quality and standards of the World Sailing Youth Sailing World Championship.

### **World Sailing Classes Committee and Sub-committee**

#### **6.7 World Sailing Classes Committee**

##### ***Constituting the committee***

- 6.7.1 The World Sailing Classes Committee has been established by the Council pursuant to Article 42.
- 6.7.2 The World Sailing Classes Committee shall consist of a Chairman, a Vice-Chairman and one member that shall be appointed by each of the World Sailing Classes from time to time.

##### ***Terms of reference***

- 6.7.3 The World Sailing Classes Committee shall:
  - (a) maintain a close liaison with all World Sailing Classes and sailors;
  - (b) promote the welfare and growth of World Sailing Classes;
  - (c) debate issues, excluding class rule matters, from World Sailing class associations and make recommendations thereon;
  - (d) debate other matters that may be relevant to World Sailing Classes' interests;
  - (e) review all relevant agenda items and comment to appropriate committees of the Council;
  - (f) propose members to the Equipment Committee, Events Committee, Equipment Control Sub-committee, Class Rules Sub-committee, International Measurers Sub-committee, Windsurfing and Kiteboarding Committee. The member proposed to the Events Committee shall be a representative from an Olympic class.

#### **6.7.4 Olympic Classes Sub-committee**

##### ***Constituting the committee***

- 6.7.4.1 The Olympic Classes Sub-committee has been established as a sub-committee of the World Sailing Classes Committee by the Council pursuant to Article 42.
- 6.7.4.2 The Olympic Classes Sub-committee shall consist of members appointed from time to time by the Classes selected as equipment for the next Olympic Sailing Competition. Each class may appoint one member. The Chairman and Vice-Chairman shall be selected from the members by the Sub-committee.

### ***Terms of reference***

6.7.4.3 The Olympic Classes Sub-committee shall:

- (a) maintain a close liaison with the Olympic Classes and its sailors;
- (b) promote the welfare and growth of the Olympic Classes;
- (c) debate issues, other than class rules, raised by the Olympic Classes and make recommendations thereon to the World Sailing Classes Committee;
- (d) debate other matters that may be relevant to the Olympic Classes' interests;
- (e) review all relevant agenda items and comment to appropriate committees of the Council.

### **Match Racing Committee and Sub-committee**

6.8 Match Racing Committee

#### ***Constituting the committee***

6.8.1 The Match Racing Committee has been established by the Council pursuant to Article 42.

6.8.2 The Match Racing Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### ***Terms of reference***

6.8.3 The Match Racing Committee shall:

- (a) Promote and co-ordinate match racing throughout the world;
- (b) be responsible for planning, promoting and approving the arrangement for the World Championships of Match Racing and the World Sailing Nations Cup and, in liaison with the World Match Racing Tour Advisory Committee, the World Match Racing Tour;
- (c) With the recommendation of the Match Race Rankings Sub-committee administer, promote and control the World Match Race Ranking System;
- (d) monitor and develop all technical aspects of match racing organization in conjunction with relevant World Sailing Committees.
- (e) Liaise with the Events Committee regarding Olympic Match Racing;
- (f) Through its Match Racing Rankings Sub-Committee and the Chief Executive Officer maintain a close liaison with sailors, organizers, classes and Member National Authorities concerning World Match Race Rankings and Qualification issues.

6.8.4 Match Race Rankings Sub-committee

#### ***Constituting the committee***

6.8.4.1 The Match Race Rankings Sub-committee has been established as a Sub-committee of the Match Racing Committee by the Council pursuant to Article 42.

6.8.4.2 The Match Race Rankings Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### ***Terms of reference***

6.8.4.3 The Match Race Rankings Sub-committee shall:

- (a) monitor and develop the Match Race Ranking System;
- (b) make recommendations to the Match Racing Committee on how to administer and control the Match Race Rankings;
- (c) make recommendations to the Match Racing Committee on how to promote the Match Race Rankings;
- (d) decide on the grading of events for the Match Race Rankings.
- (e) through the Chief Executive Officer, maintain a close liaison with sailors, organizers and Member National Authorities concerning World Match Race Ranking issues.

### **Oceanic and Offshore Committee and Sub-committee**

#### **6.9 Oceanic and Offshore Committee**

##### ***Constituting the committee***

- 6.9.1 The Oceanic and Offshore Committee has been established by the Council pursuant to Article 42.
- 6.9.2 The Oceanic and Offshore Committee shall consist of a Chairman, a Vice-Chairman, the Chairmen of its sub-committees and not more than 14 other members that shall be appointed by the Council from time to time as provided by Article 42. Members shall have expertise in Oceanic or Offshore racing, and shall have relevant experience and current involvement in such racing or its administration. The Chairmen of the International Regulations and Sailor Classification Commissions shall also be members of the committee.
- 6.9.3 The Committee shall have the following Sub-committees, namely the Special Regulations and Empirical Handicap.
- 6.9.4 The Chairman of the Committee shall appoint a group of the committee's members to work with the secretariat as the Oceanic Panel.
- 6.9.5 The Chairman shall appoint the members of groups or working parties to liaise with Offshore Classes, rating systems and cruising groups and clubs.

##### ***Terms of Reference***

- 6.9.6 The Committee shall:
  - (a) be responsible to Council for planning and policy recommendations on Oceanic and Offshore matters.
  - (b) promote Oceanic and Offshore racing and cruising throughout the world.
  - (c) monitor safety experience in Oceanic and Offshore racing and cruising.
  - (d) on behalf of the Council adopt and publish the World Sailing Special Regulations.
  - (e) set criteria for designation as International or Recognised Rating Systems and Offshore Classes, review applications and make recommendations on them to Council. Promote dual-purpose cruiser/racer boats in competition.
  - (f) promote and maintain a calendar of Oceanic and Offshore Events and races within the overall World Sailing Calendar, and assist organisers to achieve an orderly schedule.
  - (g) monitor the activities of all elements of Oceanic and Offshore Racing, conducted in one design or level rating classes of boats, as well as under handicap or rating systems, and report to Council making any appropriate recommendations.
- 6.9.7 Empirical Handicap Sub-committee



### ***Constituting the committee***

- 6.9.7.1 The Empirical Handicap Sub-committee has been established as a Sub-committee of the Oceanic and Offshore Committee by the Council pursuant to Article 42.
- 6.9.7.2 The Empirical Handicap Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

### ***Terms of reference***

- 6.9.7.3 The Empirical Handicap Sub-committee shall:
- (a) encourage research and development in the application of empirical methods for performance handicapping of sailboats and monitor the use of such methods;
  - (b) develop and promote standard parameters and notations for boat descriptions to facilitate global exchange of boat data between empirical handicapping systems;
  - (c) establish and promote criteria for best practices for empirical handicapping systems based on liaison with administrators of such systems worldwide;
  - (d) maintain liaison with Member National Authorities and relevant World Sailing committees and sub-committees.
- 6.9.8 Special Regulations Sub-committee

### ***Constituting the committee***

- 6.9.8.1 The Special Regulations Sub-committee has been established as a Sub-committee of the Oceanic and Offshore Committee by the Council pursuant to Article 42.
- 6.9.8.2 The Special Regulations Sub-committee shall comprise as follows:
- (a) Chairman and not more than eight members that shall be appointed by the Council from time to time as provided by Article 42; and
  - (b) a representative of the Offshore Racing Congress.

### ***Terms of reference***

- 6.9.8.3 The Special Regulations Sub-committee shall:
- (a) be responsible for the maintenance, revision and changes to the World Sailing Offshore Special Regulations governing offshore racing, under licence from ORC Ltd. Such changes be biennial with revised editions published in January of each even year, except that matters of an urgent nature affecting safety may be dealt with by changes to the Regulations on a shorter time scale;
  - (b) monitor developments in offshore racing relative to the standards of safety and seaworthiness.

## **Race Officials Committee and Sub-committees**

- 6.10 Race Officials Committee

### ***Constituting the committee***

- 6.10.1 The Race Officials Committee has been established by the Council pursuant to Article 42.
- 6.10.2 The Race Officials Committee shall consist of a Chairman, a Vice-Chairman, the Chairmen of its Sub-committees and the number of other members that shall be appointed by the Council from time to time as provided by Article 42.

## REGULATIONS

- 6.10.3 The Race Officials Committee shall have the following Sub-committees: International Judges, International Umpires, International Measurers and Race Management Sub-committee as provided in Regulations 6.9.7, 6.9.8, 6.9.9 and 6.9.10.
- 6.10.4 The Chairman of the Race Officials Committee shall, together with the Chairman of the Racing Rules Committee, appoint the members of the Racing Rules Question and Answer Panel and the chairman of the panel.
- 6.10.5 The Chairman of the Race Officials Committee may, for the purposes of communication and cooperation, appoint himself/herself or another member of the Committee or its Sub-committees as an ex-officio, non-voting member of the Racing Rules Committee. Such appointee shall, if not already a member of the Race Officials Committee, be an ex-officio, non-voting member of that committee.

### ***Terms of Reference***

- 6.10.6 The Race Officials Committee shall:
- (a) be responsible for the education and certification of World Sailing Race Officials to the highest caliber for the sport of sailing;
  - (b) maintain an oversight management of its four Sub-committees: the International Judges, the International Umpires, the Race Management and the International Measurers Sub-committee and liaise with committees responsible for any other World Sailing Race Official disciplines;
  - (c) approve policies for the conduct and processes of World Sailing Race Officials recommended by the responsible committees and Sub-committees;
  - (d) (working together with the Racing Rules Committee) publish on the World Sailing website the World Sailing Racing Rules Questions and Answers;
  - (e) develop a relationship with organizations that have resources and World Sailing Associations and make recommendations to the Council on matters relating to the training and certification of World Sailing Race Officials;
  - (f) liaise with such other World Sailing committees as may be beneficial for achieving Committee goals;
  - (g) determine whether or not there is a conflict of interest in response to a request for clarification from World Sailing Race Officials;
  - (h) in conjunction with the Executive Committee deal with reports about the conduct and competence of World Sailing Race Officials and make commendations and administer sanctions where appropriate.

### 6.10.7 International Judges Sub-committee

#### ***Constituting the committee***

- 6.10.7.1 The International Judges Sub-committee, which shall administer the International Judges programme, has been established by the Council as a Sub-committee of the Race Officials Committee pursuant to Article 42.
- 6.10.7.2 The International Judges Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### ***Terms of reference***

- 6.10.7.3 The International Judges Sub-committee shall:
- (a) administer the International Judges Programme;
  - (b) recommend to the Race Officials Committee the appointment of International Judges in accordance with these regulations;

- (c) disseminate information to and communicate with International Judges and Member National Authorities;
- (d) be responsible for the instruction and evaluation of International Judges and candidates to become International Judges, which shall include:
  - (i) the development and conduct of seminars to train and qualify International Judges and candidates to become International Judges; and
  - (ii) the formulation of the examinations which applicants must pass to qualify as International Judges and, if required, the establishment of the criteria for a performance assessment and its administration;
- (e) assist Member National Authorities in training and in developing national judges programmes;
- (f) recommend policies regarding the conduct of International Judges and International Juries;
- (g) administer programmes to promote uniform application and consistent interpretation of the Racing Rules and other World Sailing documents by International Judges;
- (h) propose rule changes and submit interpretations of the Racing Rules to the Racing Rules Committee, the Race Officials Committee and other appropriate bodies;
- (i) develop and administer a procedure for the grouping and classification of International Judges according to their abilities and to place them in groups based on agreed criteria;
- (j) update International Judges Sub-committee documents, such as the Judging Manual, the International Judge application form and International Judges' Reference Form;
- (k) address questions from International Judges;
- (l) deal with such matters as any World Sailing Committee may request.

*International Judges Programme Administration: See Part VI, Regulation 31*

### 6.10.8 International Measurers Sub-committee

#### ***Constituting the committee***

6.10.8.1 The International Measurers Sub-committee (IMSC), which shall be responsible for administering the International Measurers Programme, has been established by the Council as a Sub-committee of the Race Officials Committee (ROC) pursuant to Article 42.

6.10.8.2 The International Measurers Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### ***Terms of reference***

6.10.8.3 The International Measurers Sub-committee shall:

- (a) administer the International Measurers Programme including the review and maintenance of high standards of equipment inspection and uniform application of World Sailing standards by International Measurers at events;
- (b) recommend to the Race Officials Committee the appointment of International Measurers in accordance with these regulations;

- (c) disseminate information to and communicate with International Measurers and Member National Authorities;
- (d) be responsible with the relevant classes for the instruction and evaluation of International Measurers and candidates to become an International Measurer, which shall include:
  - (i) the development and conduct of seminars, related manuals and materials to train and qualify International Measurers and candidates to become International Measurers; and
  - (ii) the formulation of the examinations which applicants must pass to qualify as International Measurers and, if required, the establishment of the criteria for a performance assessment and its administration;
- (e) assist Member National Authorities in training and in developing national measurer / equipment inspector programmes;
- (f) recommend policies regarding the conduct of International Measurers;
- (g) administer programmes to promote uniform application and consistent interpretation of the Racing Rules of Sailing and other World Sailing documents by International Measurers;
- (h) propose rule changes and submit interpretations of the Racing Rules and the Equipment Rules of Sailing to the Racing Rules Committee, the Race Officials Committee and the Equipment Control Sub-committee and other appropriate bodies;
- (i) develop and administer a procedure for the grouping and classification of International Measurers according to their abilities and to place them in groups based on agreed criteria;
- (j) update International Measurers Sub-committee documents, such as the Championship Equipment Inspection Report, the International Measurers Manual and the International Measurer application form and comment to the relevant committee on any World Sailing document;
- (k) consider and recommend equipment inspection policies for the Olympic Sailing Competition and World Sailing Events, in consultation with the Equipment Committee;
- (l) address questions from International Measurers;
- (m) deal with such matters as any World Sailing committee may request;
- (n) liaise with the Para World Sailing Committee on technical issues regarding equipment adaptations.

*International Measurers Programme Administration: See Part VI, Regulation 31*

### 6.10.9 International Umpires Sub-committee

#### **Constituting the committee**

6.10.9.1 The International Umpires Sub-committee, which shall administer the International Umpires programme, has been established by the Council as a Sub-committee of the Race Officials Committee pursuant to Article 42.

6.10.9.2 The International Umpires Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### **Terms of reference**

6.10.9.3 The International Umpires Sub-committee shall:

- (a) administer the International Umpires Programme;
- (b) recommend to the Race Officials Committee the appointment of International Umpires in accordance with these regulations;
- (c) disseminate information to and communicate with International Umpires and Member National Authorities;
- (d) be responsible for the instruction and evaluation of International Umpires and candidates to become International Umpires, which shall include:
  - (i) the development and conduct of seminars to train and qualify International Umpires and candidates to become International Umpires; and
  - (ii) the formulation of the examinations and establishment of the criteria for the performance assessment which applicants must pass to qualify as International Umpires;
- (e) assist Member National Authorities in training and in developing national umpires programmes;
- (f) recommend policies regarding the conduct of International Umpires;
- (g) administer programmes to promote uniform application and consistent interpretation of the Racing Rules and other World Sailing documents by International Umpires;
- (h) propose rule changes and submit interpretations of the Racing Rules and calls for match racing and team racing to the Racing Rules Committee;
- (i) develop and administer a procedure for the grouping and classification of International Umpires according to their abilities and to place them in groups based on agreed criteria;
- (j) update International Umpires Sub-committee documents, such as the Umpiring and Match Racing Manual, the Umpiring and Team Racing Manual, Performance Assessment forms and the International Umpire application form;
- (k) address questions from International Umpires;
- (l) deal with such matters as any World Sailing Committee may request.

*International Umpires Programme Administration: See Part VI, Regulation 31*

### 6.10.10 Race Management Sub-committee

#### ***Constituting the committee***

6.10.10.1 The Race Management Sub-committee, which shall administer the International Race Officers programme has been established by the Council as a Sub-committee of the Race Officials Committee pursuant to Article 42.

6.10.10.2 The Race Management Sub-committee shall consist of a Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

#### ***Terms of reference***

6.10.10.3 The Race Management Sub-committee shall:

- (a) administer the International Race Officers Programme;
- (b) recommend to the Race Officials Committee the appointment of International Race Officers in accordance with these regulations;
- (c) disseminate information to and communicate with International Race Officers and Member National Authorities;

- (d) be responsible for the instruction and evaluation of International Race Officers and candidates to become an International Race Officer, which shall include:
  - (i) the development and conduct of seminars to train and qualify International Race Officers and candidates to become International Race Officers; and
  - (ii) the formulation of the examinations which applicants must pass to qualify as International Race Officers and, if required, the establishment of the criteria for a performance assessment and its administration;
- (e) assist Member National Authorities in training and in developing national Race Officers programmes;
- (f) recommend policies regarding the conduct of International Race Officers;
- (g) administer programmes to promote uniform application and consistent interpretation of the Racing Rules and other World Sailing documents by International Race Officers;
- (h) propose rule changes and submit interpretations of the Racing Rules to the Racing Rules Committee, the Race Officials Committee and other appropriate bodies;
- (i) recommend to the Racing Rules Committee standards for the Notice of Race Guide, and the Sailing Instructions Guide to achieve a high and uniform standard;
- (j) update International Race Officers Sub-committee documents, such as the Umpiring and Match Racing Manual, Performance Assessment forms and the International Race Officer application form;
- (k) develop and administer a procedure for the grouping and classification of International Race Officers according to their abilities and to place them in groups based on agreed criteria;
- (l) work towards standardization and improvement of sailing instructions;
- (m) consider and propose race management policies for the Olympic Sailing Competition and World Sailing Events;
- (n) address questions from International Race Officers;
- (o) deal with such matters as any World Sailing committee may request.

*Race Management Programme Administration: See Part VI, Regulation 31*

### **Racing Rules Committee**

#### **6.11 Racing Rules Committee**

##### ***Constituting the Committee; Other Appointments***

- 6.11.1 The Racing Rules Committee has been established by the Council pursuant to Article 42.
- 6.11.2 The Racing Rules Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42. Members should have thorough knowledge of the Racing Rules and extensive experience as competitors or as race officials.
- 6.11.3 The Chairman of the Racing Rules Committee shall appoint the members and chairmen of the Racing Rules Committee Working Party, the Case Book Working Party, the Match and Team Racing Rules Working Party, the Match Racing Rapid Response Call Panel, and the Team Racing Rapid Response Call Panel.

- 6.11.4 The Chairman of the Racing Rules Committee shall, together with the Chairman of the Race Officials Committee, appoint the members of the Racing Rules Q&A Panel and the chairman of the panel. At least one member of the Case Book Working Party and of the Match and Team Racing Rules Working Party shall be on the panel.
- 6.11.5 The Chairman of the Racing Rules Committee shall appoint the Racing Rules Committee representatives to the Equipment Committee, the Class Rules Sub-Committee and the Equipment Control Sub-Committee.
- 6.11.6 The Chairman of the Racing Rules Committee may, for the purposes of communication and cooperation, appoint himself/herself or another member of the committee as an ex-officio, non-voting member of the Race Officials Committee.

## **Terms of Reference**

- 6.11.7 The Racing Rules Committee shall:
  - (a) formulate, revise and publish *The Racing Rules of Sailing* every four years after approval by the Council, in accordance with Regulation 28.1.1;
  - (b) consider submissions that propose or suggest changes in the Racing Rules in accordance with Regulation 28.2.1;
  - (c) consider recommendations from and decisions of other committees that might affect the Racing Rules;
  - (d) include in the Racing Rules the following:
    - (i) the authority given to national authorities, organizing authorities, race committees, protest committees, umpires and measurers in the conducting of races;
    - (ii) procedures to be used when conducting races;
    - (iii) the responsibilities of boats, competitors and boat owners before, during and after racing;
    - (iv) rules that apply when boats meet;
    - (v) methods of dealing with breaches of rules and the imposition of penalties;
    - (vi) other disciplinary measures;
    - (vii) provisions for appealing decisions of protest committees; and
    - (viii) references to appropriate regulations, including the Eligibility, Advertising and Anti-Doping Codes.
  - (e) approve and publish interpretations of the Racing Rules and calls for match and team racing submitted in accordance with Regulation 26.3;
  - (f) approve the sailing instructions for the Olympic Sailing Competition;
  - (g) (working together with the Race Officials Committee) publish on the World Sailing website the World Sailing Racing Rules Questions and Answers;
  - (h) publish on the World Sailing website the World Sailing Match Racing Rapid Response Calls and the World Sailing Team Racing Rapid Response Calls;
  - (i) communicate and cooperate with other committees on matters related to the Racing Rules;
  - (j) work for continued improvement of rule observance;
  - (k) work for standardization and improvement of notices of race and sailing instructions;
  - (l) consider and debate other subjects related to the Racing Rules; and

- (m) formulate and revise the Introductory Rules for Racing.

*Racing Rules Administration - See Part VI, Regulation 28.*

### **Regional Games Committee**

#### **6.12 Regional Games**

##### ***Constituting the committee***

- 6.12.1 The Regional Games Committee has been established by the Council pursuant to Article 42.
- 6.12.2 The Regional Games Committee shall consist of a Chairman, Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

##### ***Terms of Reference***

- 6.12.3 The Regional Games Committee shall:
  - (a) encourage and promote:
    - (i) sailing at any Games that already include sailing in their sports programme;
    - (ii) the inclusion of sailing on the sports programme in all major Regional Games and as many other Games as is feasible;
  - (b) use the inclusion of sailing in Regional Games to promote the sport of sailing generally throughout the various regions;
  - (c) use the technical and instructional resources of the World Sailing to maintain and improve the standard of competitive sailing and the standards of technical supervision at Regional Games to a level that these events could serve as qualifying events for the Olympics and Paralympics;
  - (d) provide guidance on the format and programme of the Regional Games sailing events and Regional Sailing Championships;
  - (e) encourage and promote the organization of Regional Sailing Championships in addition to the Regional Games;
  - (f) encourage particularly the full participation of women and youth in all Regional Games sailing events.

### **Windsurfing & Kiteboarding Committee**

#### **6.13 Windsurfing and Kiteboarding Committee**

##### ***Constituting the committee***

- 6.13.1 The Windsurfing and Kiteboarding Committee has been established by the Council on matters affecting the ISAF windsurfing and kiteboarding classes pursuant to Article 42.
- 6.13.2 The Windsurfing and Kiteboarding Committee shall consist of a Chairman, a Vice-Chairman and the number of members that shall be appointed by the Council from time to time as provided by Article 42.

##### ***Terms of reference***

- 6.13.3 The Windsurfing and Kiteboarding Committee shall:
  - (a) consider and make recommendations to the Council on matters affecting the World Sailing kiteboarding and windsurfing classes;



- (b) liaise with the Equipment Control Sub-committee and other committees on matters affecting windsurfing and kiteboarding classes;
- (c) propose changes to the Windsurfing or kiteboarding Competition Rules to the Racing Rules Committee when appropriate; and
- (d) monitor and develop all technical aspects of windsurfing and kiteboarding in conjunction with relevant World Sailing committees.

### **7. COMMITTEE RULES OF PROCEDURE**

#### **7.1 Meetings**

- 7.1.1 All World Sailing committees and sub-committees shall meet at least once a year. Commissions and working parties shall meet as required and in accordance with their terms of reference. Additional meetings may take place by electronic means if appropriate. In this regulation, where the context so permits, references to committees shall include sub-committees, commissions and working parties.
- 7.1.2 Committees shall meet to discuss matters within their terms of reference and shall delegate to such sub-committees or working parties as may be determined in accordance with the World Sailing regulations all matters within the terms of reference of those sub-committees.
- 7.1.3 Committee chair shall report twice yearly to Council, if not in attendance at a meeting of Council then in writing.

#### **7.2 Agenda**

- 7.2.1 The agenda for Committee meetings shall, so far as is possible, comprise the following items:
  - (a) apologies.
  - (b) minutes of the previous meeting and matters arising;
  - (c) submissions that are allocated to it as 'reporting committee' or 'other committee';
  - (d) work in progress;
  - (e) other issues within the Committee's terms of reference; and
  - (f) any other business.
- 7.2.2 The agenda for Committee meetings shall be closed at such time as shall be determined by the Chief Executive Officer, which shall normally be four weeks before the meeting. The Committee Chairman and Chief Executive Officer shall prepare the Agenda with the Chief Executive Officer having final authorisation for publication. Committee Members may propose items for the agenda to the Chairman, but his decision as to what items shall be included shall be final.

#### **7.3 Minutes**

- 7.3.1 Minutes of each meeting shall be prepared by the Chief Executive Officer, whose draft shall be approved by the Chairman of the meeting. After such approval the draft will be circulated to all Committee Members present at the meeting within three weeks of the meeting except in exceptional circumstances. Committee Members may propose amendments to any entry in the minutes which they do not consider constitutes a fair and true representation of what took place at the meeting. The Chairman of the meeting shall consider their proposals but his decision as to the contents of the minutes to be published shall be final at that stage and shall be circulated.
- 7.3.2 Committee Members still wishing to propose amendments shall send them to the Chief Executive Officer within two weeks from the date they were circulated. The proposed

amendments shall be put on the agenda of the next Committee meeting for consideration.

- 7.3.3 Any notes of the occurrences at meetings made by or on behalf of the Chief Executive Officer whether electronic or otherwise shall be retained by him until the end of the next meeting of the Committee and the Chief Executive Officer shall disclose them to any Committee Member who wishes to propose amendments in accordance with Regulation 7.3.2.

### 7.4 Committee Decisions

- 7.4.1 Except where a Committee is empowered by its terms of reference to make decisions on its own authority, the function of a Committee is to advise Council as to the action Council should take.

### 7.5 Rules of Debate

- 7.5.1 In debate members of the Committee may speak in turn. They shall address their remarks to the chair. Other members shall not interrupt. No member shall speak until called upon by the chair. Members will not normally be expected to make more than one speech in each debate. There will be no fixed time limit but speeches are to be kept short.
- 7.5.2 The Chairman shall state the final motion to be put to the vote.
- 7.5.3 The matter will then be voted on. A vote by members of the Committee shall be made and in the case of a tie in votes, the Chairman of the meeting shall have a second or casting vote.
- 7.5.4 At the request of a Committee Member the minutes shall detail the names of the Committee Members who voted for or against, or abstained from, the motion except when Regulation 7.5.5 has been invoked.
- 7.5.5 At the request of a Committee Member, and if duly seconded and passed, a vote may be taken by secret ballot.

### 7.6 Attendance at Committee Meetings

- 7.6.1 In the event that the Chairman cannot attend a meeting, the Vice-Chairman shall automatically take the chair. If the Vice-Chairman is not available, it shall be the responsibility of the World Sailing President to appoint an alternate.
- 7.6.2 Committee Members shall notify the Chief Executive Officer if they are unable to attend a Committee Meeting. If a Committee Member does not attend two consecutive Committee Meetings without proper reason, then his/her Committee membership will be reviewed in accordance with Regulation 5.4.4.
- 7.6.3 In the case of a member of a committee or a sub-committee being unable to attend a meeting, the appointment of Alternates may only be made with the approval of the Executive Committee or the President. In the case of a vacancy in a committee or sub-committee caused by resignation, lengthy inability to attend however caused, or incapacity, the Chairman of such committee or sub-committee shall advise the Chief Executive Officer of the vacancy which may be filled in accordance with the provisions of Regulation 5.3.
- 7.6.4 The quorum for meetings of a Committee is one third of the number of members of that Committee, except the Executive Committee for which the quorum is one half of its members entitled to vote and if a meeting takes place by electronic means (see Regulation 7.1.1) any decision shall be determined by the votes of the majority of members who respond within the timescale prescribed by the Chairman of that Committee which shall be not less than 7 days.

## 7.7 Financial or other interests

- 7.7.1 In a debate on any matter in which a member of the Committee has a personal vested interest, whether financial or otherwise, the member shall advise the Chairman of that fact, and of the exact nature of the interest, if practicable in advance of the Committee meeting, or otherwise at the earliest reasonable opportunity in the course of the debate. Notification of the reasons of the said interest shall be reported to the Committee unless there are compelling grounds why such reasons should remain confidential. According to the exact nature of the interest, the Chairman or the Committee may require the member concerned to leave the meeting for the whole or part of the debate. The Chairman may require the member to abstain from voting. The declaration and any decision by the Chairman shall be minuted.

## 8. COMMISSIONS - GENERALLY

- 8.1 Commissions are specialized bodies created to advise on specific areas of professional or technical expertise. Although a commission may be created for a temporary period this would not normally be for an anticipated period of less than eighteen months.
- 8.2 Subject to Regulations 8.9, 8.14 and 8.15, the appointment and removal of members of commissions is the responsibility of the Executive Committee (including the appointment of a chairman and vice-chairman).
- 8.3 The Executive Committee may recommend to Council for its approval the creation of a commission for a temporary or permanent period and a duration to be specified.
- 8.4 The purposes of the Commission shall be expressed by terms of reference. On acceptance by the Council of the Executive Committee's recommendation for the establishment of the commission and its terms of reference, the Commission is created either for a specified or indefinite period.
- 8.5 A commission shall report as set out in its terms of reference. Where a commission reports to the Executive Committee, the report shall be confidential until such time as the Executive Committee determines otherwise.

### Medical Commission

#### 8.6 Medical Commission

#### ***Constituting the Commission***

- 8.6.1 The Medical Commission has been established to provide medical advice on sailing matters.

#### ***Terms of Reference***

- 8.6.2 The Medical Commission shall:
- (a) inform and advise the Executive Committee in respect of the implications and implementation of World Anti-Doping Code, and all its provisions;
  - (b) ensure that such annual changes as are made to the World Anti-Doping Code List of Prohibited Substances and Methods are published and made known to Member National Authorities, so that these may be disseminated to competitive sailors worldwide;
  - (c) advise and assist the Executive Committee in the practical enforcement of the World Anti-Doping Code;
  - (d) in accordance with the procedures in the World Anti-Doping Code International Standard for Therapeutic Use Exemptions, be the Therapeutic Use Exemption Committee (TUEC) appointed to:

- (i) consider and process, via the Secretariat, requests from competitors for dispensation for TUE and issue such Certifications of Approval;
  - (ii) report to WADA, through the ADAMs system, the granting of all TUES;
- (e) consider and advise the Executive Committee on medical matters affecting all branches of sailing served by World Sailing;
- (f) if requested by the Executive Committee, make investigations into aspects of sailing which have or may have an effect upon the physical or mental health of sailors.

### **International Regulations Commission**

#### **8.7 International Regulations Commission**

##### ***Constituting the Commission***

- 8.7.1 The International Regulations Commission has been established to provide advice on matters related to the regulation of recreational boats or craft by government actions or otherwise.

##### ***Terms of Reference***

- 8.7.2 The Commission shall report to the Executive Committee and the Oceanic and Offshore Committee.

- 8.7.3 The Commission shall:

- (a) monitor any legislation or actions by international or national institutions and national Governments or other similar bodies which affect the navigation, manning, construction, equipment, safety and use of recreational craft or the environment and take (in conjunction with National Authorities and other bodies representative of the users of all types of recreational craft) appropriate action in regard thereto;
- (b) represent the interest of World Sailing, its Member National Authorities and all users with IMO and other international or national Institutions which concern navigation, manning, construction, equipment, safety and use of recreational craft in conjunction with, if appropriate, National Authorities and other bodies representative of the users of all types of recreational craft;
- (c) liaise with relevant World Sailing committees in all matters affected by its work; and
- (d) request Member National Authorities to report annually:
  - (i) whether the conditions for the free exercise of sailing have been changed;
  - (ii) the actions that should be taken to improve the situation.

- 8.8 Reserved for future use

### **Athletes' Commission**

#### **8.9 The Athletes' Commission**

##### ***Constituting the Commission***

- 8.9.1 The Athletes' Commission is a non-political consultative body that offers advice and assistance to World Sailing on matters and issues of relevance to Olympic sailors, and acts as a link between active Olympic sailors and World Sailing.

- 8.9.2 Members of the Athletes' Commission shall be decided as follows:

- (a) the Athletes' Commission shall comprise eight members; the term of membership shall be eight years;

- (b) there shall be a maximum of one member from any Olympic sailing Event;
- (c) four members shall retire, and four new members shall join, following elections to be held at each Olympic Sailing Competition;
- (d) only Olympians from the current or immediately previous Olympic Sailing Competition shall be eligible to become members;
- (e) three new members shall be elected by the sailors participating at each Olympic Sailing Competition. One further sailor shall then be appointed by the President to assist a good balance between regions, genders and Events;
- (f) in the event of a resignation during a member's first four years, a replacement member shall be elected at, or appointed after the next Olympic Sailing Competition to serve a four year term;
- (g) candidates for membership shall pledge to represent all Olympic sailors;
- (h) members shall elect a Chairman and Vice-Chairman from among their members to serve in that role for four years at their first meeting following the Olympics;
- (i) The President may, at the request of the Chairman of the Commission, appoint as additional honorary members former Olympians whose expertise or experience will be of value to the Commission in the execution of its responsibilities.

### ***Terms of Reference***

8.9.3 The Athletes' Commission shall be responsible to the Executive Committee.

8.9.4 The Athletes' Commission shall:

- (a) present the Olympic athletes' points of view to the Executive Committee through recommendations and proposals;
- (b) promote respect of the rights of athletes within the sport and draw up recommendations to that effect;
- (c) promote high standards of sportsmanship and ethical performance when competing and participating;
- (d) reflect the views of the Olympic athletes competing and participating at the highest level and establish relationships to promote feedback to the Executive Committee, and other committees and working groups, on matters concerning Olympic athletes; and
- (e) liaise with the Executive Committee on World Sailing Events to help World Sailing respond to the needs of the Olympic athletes.

### **Sailor Classification Commission**

8.10 The Sailor Classification Commission

#### ***Constituting the Commission***

8.10.1 The Sailor Classification Commission has been established to provide technical advice on Regulation 22, the World Sailing Sailor Classification Code.

#### ***Terms of Reference***

8.10.2 The Sailor Classification Commission shall:

- (a) inform and advise the Executive Committee in respect of the implications and implementation of the World Sailing Sailor Classification Code, and all its provisions;

- (b) shall present proposed changes to the World Sailing Sailor Classification Code to the Executive Committee for approval;
- (c) shall ensure approved changes to the World Sailing Sailor Classification Code are published and made known to Member National Authorities, so that these may be disseminated to competitive sailors worldwide;
- (d) liaise with events and classes that use the World Sailing Sailor Classification Code;
- (e) consider and process and manage, via the World Sailing website, applications and appeals from competitors for classification and to assign such certifications of Classification group;
- (f) liaise with the Oceanic and Offshore Committee and other World Sailing committees to ensure the correct application of the Classification Code;
- (g) maintain a list of Sailors and their assigned classification group on the World Sailing website;
- (h) be convened annually, when necessary, in order to consider any issues or proposed changes to the World Sailing Classification Code; and
- (i) publish and maintain up to date on the World Sailing website a set of Frequently Asked Questions (FAQs) to assist sailors in understanding the Code and its interpretations. It may be changed at any time.

### **Coaches Commission**

#### 8.11 Coaches Commission

##### ***Constituting the Commission***

- 8.11.1 The Coaches Commission has been established by the Executive Committee to advise on coaching in the sport in accordance with Regulation 8.11.2.

##### ***Terms of Reference***

- 8.11.2 The Coaches Commission shall:

- (a) maintain a close liaison with coaches in the sport;
- (b) debate coaching issues and make recommendations thereon to the Executive Committee;
- (c) Inform and advise the Executive Committee in respect of the implications and implementation of an World Sailing Coaches' Code of Conduct, and all its provisions;
- (d) shall present and consider proposed changes to the World Sailing Coaches' Code of Conduct to the Executive Committee for approval;
- (e) inform and advise the Executive Committee in respect of the implications and implementation of educational material for coaches; and
- (f) debate other matters that may be relevant to the coaches' interest;
- (g) develop educational materials for coaches.

### **Information Technology and Tracking Commission**

#### 8.12 Information Technology and Tracking Commission

##### ***Constituting the Commission***

- 8.12.1 The Information Technology and Tracking Commission has been established by the Executive Committee for the purpose of advising the Executive Committee on the matters set out in Regulation 18.12.2.

### ***Terms of Reference***

8.12.2 The Information Technology and Tracking Commission shall:

- (a) inform and advise the Executive Committee in respect of information and communication technologies issues affecting the sport of Sailing generally; and
- (b) recommend technology-based methods and processes to gather and publish information about sailing regattas; and
- (c) inform and advise the Executive Committee on tracking technology, for the purpose of the presentation of the sport of Sailing;
- (d) inform and advise the Executive Committee on tracking technology, for the improvement of the work of race officials (in cooperation with the Race Officials Committee).

### **Judicial Board**

8.13 Judicial Board

**Note:** *The Judicial Board is authorized by, and its functions and procedures are provided for in Articles 77 - 81. It does not therefore operate under a delegation of power from the Council. This is appropriate because it exercises quasi-judicial functions.*

### **Ethics Commission**

8.14 Ethics Commission

#### ***Constituting the Commission***

8.14.1 The Ethics Commission has been established by Council for the purpose set out in Regulation 8.14.8.

8.14.2 Regulation 8.2 does not apply to the appointment or removal of members of the Commission. Council shall appoint the members of the Commission on the nomination of the Executive Committee. There shall be at least seven members of the Commission, including at least two members of the Constitution Committee. Council shall appoint the Chairman and Vice-Chairman of the Commission on the nomination of the Executive Committee.

8.14.3 Notwithstanding Regulation 8.2, a member of the Commission may be removed by Council. 8.14.4 A member of the Commission shall not hear any case before the Judicial Board concerning an investigation carried out by the Ethics Commission.

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8.14.5 Not used.

#### ***Terms of reference***

8.14.6 The Commission shall report to the Executive Committee, except where any issue or report concerns a member of the Executive Committee, in which case the Commission shall report to Council.

8.14.7 The Commission may adopt its own rules of procedure to govern its meetings and operations.

8.14.8 The Commission shall:

- (a) promote ethical behaviour within World Sailing and the sport of sailing generally;
- (b) keep the World Sailing Code of Ethics under review and propose any amendments it may consider necessary;

- (c) carry out investigations into complaints submitted under the World Sailing Code of Ethics and make reports to the Executive Committee (or Council if a report concerns a member of the Executive Committee);
- (d) advise World Sailing and its members on the proper application of the Code of Ethics (including by issuing guidance); and
- (e) provide confidential guidance on request concerning a questions of ethics.

### 9. WOMEN'S FORUM

#### *Constituting the Forum*

- 9.1 The Women's Forum has been established by the Council pursuant to its power of delegation.
- 9.2 The membership of the Women's Forum shall consist of a Chairman who shall be the women's representative on the Council from time to time, a Vice-Chairman, and all women who are from time to time members of World Sailing committees, sub-committees and commissions.
- 9.3 Reserved for future use
- 9.4 Reserved for future use
- 9.5 Reserved for future use

#### *Objectives*

- 9.6 The Women's Forum shall:
  - (a) promote participation by women in all aspects of sailing and sailing administration;
  - (b) act as an advisory group to all World Sailing committees on issues relating to women;
  - (c) facilitate open discussion on issues relating to women arising from submissions, proposals and the agendas of World Sailing committees, then report any conclusions and recommendations to the committees and the Council;
  - (d) maintain a close liaison with all women sailors and administrators;
  - (e) keep updated on activities and initiatives affecting women arising from the International Olympic Committee, International Federations, MNAs, World Sailing Classes, World Sailing Affiliate Members and other internal and external organizations;
  - (f) identify and encourage women to serve on World Sailing committees and sub-committees;
  - (g) educate and otherwise assist women in the work they do for World Sailing and its members;
  - (h) provide for discussion and exchange of ideas on matters of expertise, experience, interests and support between all women members of World Sailing committees;
  - (i) disseminate information affecting women in all areas of the sport, relating to the training, promotion and empowerment of women in sailing; and develop and propose policies and best practice on issues relating to women in all areas of the sport.



### PART III – Classes and Rating Systems

#### 10. WORLD SAILING CLASS-ASSOCIATIONS

- 10.1 Classes which offer a high standard of international competitive sailing and satisfy the respective criteria set out below may be designated as a World Sailing Class Association.

##### Obtaining designation as a World Sailing Class Association

- 10.2 To be designated as a World Sailing Class Association, a class shall be recommended for designation by the Equipment Committee, and for Offshore Racing Classes also by the Oceanic and Offshore Committee to the Council and must receive a majority vote of the Council.
- 10.2.1 In order to be so designated a Class must be able to meet the following criteria, detailed in an application to the Chief Executive Officer, for consideration by Council at the next scheduled meeting,
- (a) an active Class/Owners Association;
  - (b) a Constitution passed by a pre-existing Class/Owners Association and approved by the Equipment Committee and the Constitution Committee containing at least:
    - (i) the name of the class,
    - (ii) provision for control by a Class/Owners Association,
    - (iii) an elected Board and Executive Committee,
    - (iv) an Executive Committee, including at least the Executive Officers, and
    - (v) a statement of the objectives of the class;
  - (c) a set of class rules in the World Sailing Standard Class Rules format, and adopting the Equipment Rules of Sailing, approved by the Equipment Committee. The Equipment Committee may approve an exemption to either requirement if in its opinion the class rules are satisfactory and well established;
  - (d) demonstrated, either by confirmation from the requisite number of Member National Authorities (MNAs) set out below or a list of registered boat owners, that it is 'actively racing' with at least the following global distribution:

	Designation as an World Sailing Class		Entitled to World Championship
Category according to Hull Length	Boats per MNA	No. of MNAs	No. of Continents
Boats up to 6.0m	15	5	2
Boats >6.0m to 9.0m	7	4	2
Boats >9.0m to 12.0m	6	3	2
Boats >12.0m to 15.0m	4	3	2
Boats >15.0m	2	3	2

## REGULATIONS

- (e) the requirements of 10.2.1(d) may be waived by the Council, upon recommendation of the Equipment Committee, when considering a class which serves a unique aspect of sailing.

For the purposes of these regulations “continent” means any one of Europe, North America, South America, Asia, Africa and Oceania.

- (f) paid an application fee as established by the Council from time to time;
- (g) its constitution and class rules available on the World Sailing website or with a link from the World Sailing website.

10.3 There shall be an executed agreement between World Sailing Limited., the Class/Owners Association and where relevant the Trademark, Trade Name and the Copyright Owner. This agreement shall include at a minimum the following matters:

- (a) define, if any, the ownership of the Copyright, Trade Name and Trademark and establish the rights granted and the responsibilities, obligations and restrictions that apply to the use of such rights generally and among the parties to the agreement;
- (b) where a licensed builder system is to be adopted, establish the procedure for granting licences and the control of the licensed builders;
- (c) agree on the amount of the World Sailing fee for each boat which is based on 0.4% on the first £20,000 then 0.2% on the next £70,000 and 0.1% on the amount above £90,000 of the average retail price of a complete new boat without sails as a guideline for negotiation;
- (d) define the method of issuing and using World Sailing plaques, if any, Sail numbers, Measurement forms, Measurement certificates, changes to class rules and any other documentation affecting the ownership and the use of the boat; and
- (e) provide that the Class organization and members of the class shall act in accordance with the World Sailing Constitution, Rules and Regulations.

### ***World Championships for World Sailing Classes***

10.4 To maintain the right to hold a World Championship, a class that holds a world championship shall:

- (a) Send a report on that championship to the World Sailing Secretariat prior to February in the following year, including information required by World Sailing. This report may be included in the annual report from the class required under regulation 10.5(e). Failure of a class to submit this report will result in World Sailing reviewing the class's right to hold world championship, notwithstanding other regulations below. The information required by World Sailing shall include:
  - (i) the number of entries, countries, and continents represented;
  - (ii) a full set of results;
  - (iii) all world champions designated where more than one world champion is declared at an event (such as age or gender) and the number of entries, countries and continents eligible to compete for each title;
  - (iv) a report on the event organization, the overall quality of the event and the standards of the racing;
  - (v) a report on the nature and quality of support supplied by World Sailing and World Sailing officials
  - (vi) the suitability of the venue (ashore and afloat) for future world championship;

## REGULATIONS

- (vii) any recommendations for the class or for World Sailing when organizing future world championship.
- (b) Meet or exceed the following participation levels for two of the last three World Championships (except that for multihull boats up to 6.0m, the required number of entries is 25):

Category according to Hull Length	Number of Entries	No. of Countries	No. of Continents
Boats up to 6.0m	30	5	2
Boats >6.0m to 9.0m	25	4	2
Boats >9.0m to 12.0m	20	3	2
Boats >12.0m to 15.0m	12	3	2
Boats >15.0m	8	3	2

If a Class has failed to meet this requirement for two of their last three World Championships, it may only call its next such event a World Championships and award World Champion titles if the participation levels at that event meet this requirement.

Before World Sailing rescinds any right to host a world championship, the Class shall be given notice in writing and shall be offered the right to appeal any decision to Council.

### ***Regulations on Administering World Sailing Classes***

- 10.5 To maintain its World Sailing designation, a World Sailing Class shall:
- (a) Use the approved World Sailing Classes Logo in all Class Rules (it may also use the logo in other class publications, advertising or promotions);
  - (b) properly administer its affairs and maintain its objectives in accordance with its Constitution, Class Rules, its Agreement with World Sailing and these Regulations;
  - (c) provide World Sailing with up-to-date copies of all Class publications including the Constitution, Class rules, Measurement Forms, One Design Specifications and Class Newsletter, if any, and unless otherwise stated in the Agreement, the Class shall be responsible for regular updating and publishing all of the above mentioned publications and ensuring that updated class rules are published before the rule amendments come into effect;
  - (d) send World Sailing the dates for the next year's major championships (world and continental) by 1 August each year. No alteration in the championship dates are to be allowed after the annual World Sailing meeting without the approval of World Sailing, the host National Authority and the Class/Owners Association;
  - (e) send World Sailing a completed annual report on the activities of the Class, success in maintaining its objectives, current membership, boat registration and financial status prior to February each year (third party ratification may be required to substantiate these figures). Failure to file a complete annual report shall automatically result in a review of the World Sailing recognition of that class;

## REGULATIONS

- (f) not permit the organizers to amend, suspend or override the Class Rules in the notice of race or sailing instructions for Class events without the prior approval of ISAF, a Class event being an event initiated and controlled by the Class/Owners Association without any alteration to the Class Rules;
  - (g) ensure that its equipment control procedures properly maintain the objectives of the class rules and shall:
    - (i) appoint a technical and/or equipment control committee;
    - (ii) appoint a technical representative who is a member of the class technical/equipment control committee and authorized to discuss class technical matters with World Sailing;
    - (iii) maintain a register of the names and addresses of all Official Measurers approved for the class, a copy of which shall be supplied to World Sailing;
    - (iv) request all Official Measurers approved for the class to be members of both their National Authority and class equipment control networks if these exist; and
    - (v) keep all Official Measurers approved for the class informed with respect to class rule changes and interpretations, and, if practical:
      - 1. have sufficient World Sailing recognized class International Measurers (see Regulation 31.13) to represent the class regionally;
      - 2. ensure that at least one class International Measurer attends each World Sailing equipment inspection symposium;
      - 3. have at least one class International Measurer present at the class World Championships;
      - 4. organize regular class equipment inspection seminars to train class equipment inspectors with the class International Measurers as instructors; and
      - 5. ensure only class International Measurers to measure prototypes of moulded production boats.
- 10.6 Use its best efforts to ensure that the National Association is affiliated to the relevant National Authority. Class/Owners Associations shall:
- (a) require that the boat owner(s) and/or the sailor(s), as prescribed by the relevant Class Rules, shall be members of their relevant National Class Association, if any, before racing, and
  - (b) annually charge, or cause their relevant National Class Associations to charge, as appropriate, the boat owner(s) and/or the sailor(s) only in accordance with the relevant Class Rules or regulation(s) of that World Sailing Class.
- 10.7 When a licensed builder system is adopted, gain the approval of World Sailing and the relevant Member National Authority before appointing licensed builders.
- 10.8 Require that all new boats shall have paid a class fee to World Sailing in accordance with the requirements agreed with World Sailing, such payment to be evidenced by affixing a World Sailing plaque to the boats or such other methods of evidencing the payment as shall be agreed by World Sailing;
- 10.8.1 require the organizing authority organizing its world championship to include in the Notice of Race a provision that no boat over 25ft LOA first registered ten years or less before 1 January of the year in which the event is held shall be registered as an entry unless she produces evidence of such payment by display of a plaque or otherwise;
- 10.8.2 require that the organizing authority agrees to reject or cancel any entry if such evidence is not provided.

- 10.9 Obtain the approval of the Member National Authority governing the proposed venue of its ensuing World Championships.
- 10.10 Appoint an international jury for the World Championships unless otherwise agreed with World Sailing.

### ***Class Rule Changes***

- 10.11 World Sailing Class Associations shall change their Class Rules in accordance with the following procedures (unless otherwise approved by World Sailing and provided for in the agreement defined in Regulation 10.3):
  - 10.11.1 Before applying to World Sailing for approval, the Class/Owners Association is encouraged to consult with the Chief Executive Officer who shall respond in a timely manner and shall have approved the changes in accordance with its constitution and its class rules.
  - 10.11.2 Then the Class/Owners Association shall apply for approval of the changes in writing to the Chief Executive Officer and the application shall include:
    - (a) the changes required;
    - (b) a statement of the reasons for requiring the change;
    - (c) a certificate that the changes have been duly approved by the Class/Owners Association; and
    - (d) the date when the changes are to take effect.
  - 10.11.3 When the application is received by the Chief Executive Officer, in the case of changes not relating to a modification of the Advertising Code, he shall consider it as soon as practicable.
  - 10.11.4 The Chief Executive Officer may in consultation with the Class Rules Sub-Committee approve or reject the changes using processes determined by the Class Rules Sub-committee or return them to the Class/Owners Association with comments for revision. However before rejecting them the Chief Executive Officer shall report back to the Class/Owners Association, who shall be entitled to comment on such views within thirty days of such report. Any such comments shall be considered before a final decision is made.
  - 10.11.5 If an application is rejected the Class/Owners Association may request that the application is referred to the Class Rules Sub-committee for further review. If the Class Rules Sub-committee reject the application the Class/Owners Association may require that the application is referred to Council for review. Council's decision shall be final
  - 10.11.6 Once a change has been approved, World Sailing shall notify the Class/Owners Association, all Member National Authorities, all International Measurers and all licensed builders. The Class/Owners Association shall inform its members.
  - 10.11.7 The Chief Executive Officer shall present a report of the changes approved each month to the Class Rules Sub-committee and a report to the Equipment Committee on the changes approved since the previous Equipment Committee meeting.
  - 10.11.8 Class Rules shall not modify the Advertising Code. In the case of an application for prohibited or limiting advertising in accordance with Regulation 20.5.1 the procedure required above shall apply except that the Executive Committee shall take the place of the Chief Executive Officer and the Class Rules Sub-committee.
  - 10.11.9 Changes to manufacturing/building specification shall be handled as per Class Rule changes except that for equipment with a confidential building specification the change shall be handled by the Chief Executive Officer after consultation with the Chairman of the Class Rules Sub-committee (or if he is conflicted, another committee member).

### ***Class Rule Interpretations***

- 10.12 World Sailing Class Association rule interpretations shall be made in accordance with the following procedures unless otherwise provided for in the agreement defined in Regulation 10.3. Any alternative procedure shall be approved by World Sailing. Such interpretative changes shall not be used to change an existing rule. The Class shall immediately advise World Sailing of any interpretations issued.
- 10.12.1 World Sailing may only make interpretations in accordance with the following procedures:
- (a) requests for an interpretation may be made to World Sailing from the following sources only: Member National Authorities, World Sailing Class/Owners Associations, copyright owners, trade name and trade mark owners, manufacturers of World Sailing Class Associations and International Measurers;
  - (b) an interpretation shall only clarify an existing class rule and shall not change the class rules;
  - (c) a request received by World Sailing from one of those sources shall be acknowledged and immediately sent to the Class/Owners Association;
  - (d) the validity of the interpretation or any alternative interpretation shall be decided by a panel formed by the Chairman or Vice-Chairman of the Class Rules Sub-committee, the technical representative of the Class/Owners Association and a nominated member of the World Sailing technical staff;
  - (e) interpretations of the Class rules made by the Sub-committee shall be distributed by World Sailing as provided in Regulation 10.11.6; and
  - (f) rule interpretations shall have the status of a class rule and, unless otherwise sanctioned in the Agreement, shall remain valid for a maximum period of 2 years or until superseded by a Class Rule change or modification carried out following the procedures of this Regulation.

### ***Review of World Sailing Class Association Status***

- 10.13 World Sailing Class Associations shall be subject to regular review by the Equipment Committee (at least once every three years).
- 10.13.1 In the review the Equipment Committee shall establish whether or not:
- (a) the World Sailing Class Association /Owners Association has fulfilled its Agreement with World Sailing, properly administering its affairs and maintained the agreed objectives, and whether the original reasons for granting World Sailing Class Association Status still apply; and either
  - (b) the class is actively racing pursuant to the relevant requirements of 10.2.1(d) (The recommended guidelines to 'actively racing' is considered to be at least the same number of registered boats as required for the new classes in that category seeking designated status); or
  - (c) is continuing to provide a significant contribution to the development of the sport, e.g. technical innovation; and
  - (d) that the class rules continue to support the objectives of the class and provide a reasonable framework for the technical control of the class.
- 10.13.2 If a Class fails to meet the above criteria, on the recommendation of the Equipment Committee, the Class shall be reviewed by the Council, and its status as a World Sailing Class may be withdrawn.
- 10.13.3 If a Class is to have its status reviewed by the Council, the Class/Owners Association shall be notified and entitled to representation at the Council meeting.

### ***Existing Agreements***

- 10.14 In the case of agreements between World Sailing and World Sailing Class Associations and licensed builders and trade mark, trade name and copyright owners in existence prior to 30 November 1993, nothing shall be deemed to change the effect or validity of such agreements until the agreements are amended or replaced between the relevant parties, except that the review of Classes shall be carried out in accordance with the provision of Regulation 10.13 herein and the World Sailing Status may be withdrawn.

### ***Television Rights***

- 10.15 Media Rights granted to World Sailing Classes are detailed in Regulation 25.11.
- 10.16 When an World Sailing Class has been in arrears on all or part of its subscription, fees, dues or other payments due to the Federation or any of its subsidiary companies or any of their predecessors for a period of 30 days, upon certification of the same by the Chief Executive Officer, World Sailing may suspend or cancel the World Sailing Class's right and the right of its members:
- (a) to participate in World Sailing events; or
  - (b) to hold a World Championship.

### ***Fees***

- 10.17 Pursuant to Article 10, the Executive Committee shall set the Annual Class fees which World Sailing Class Associations shall pay. Such fees shall accrue from the date that the class's application for World Sailing Class Association status is received at the World Sailing Secretariat, but such accrued fees shall not be payable until the earlier of the date when the World Sailing status is granted or until the next annual class fees are payable.
- 10.17.1 Pursuant to Article 13, and as an alternative to the penalties for World Sailing Class Associations in arrears of payments due provided for in Article 13, the Executive Committee may suspend any or all of the rights of such Class to hold World Championships or be selected for an World Sailing event.

## **11. WORLD SAILING CLASSIC CLASSES**

- 11.1 World Sailing Classic Class status is available to existing World Sailing classes of historical importance that have shown exceptional significance in sailing through competition or equipment development, and still maintain active International Racing.
- 11.2 The Equipment Committee may recommend a Class that does not meet the requirements in 10.2.1(d) for Classic Class status based upon the contribution of the class as described in Regulation 11.1.
- 11.3 World Sailing Classic Classes are subject to all other rights and requirements of World Sailing Classes.

## **12. WORLD SAILING INTERNATIONAL OR RECOGNIZED RATING SYSTEMS**

### ***Obtaining Designation as an International or Recognized Rating System***

- 12.1 To be designated an international or recognized rating system, the applicant shall be recommended for designation by the World Sailing Oceanic and Offshore Committee to Council and must receive a majority vote of Council.
- 12.2 In order to be so designated the rating system must have:
- (a) an active structure which represents the interests of the certificate holders;
  - (b) the capability of operating and managing the rating system without financial assistance from World Sailing;

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- (c) a constitution or similar document reviewed by the Oceanic and Offshore Committee and the Constitution Committee and approved by World Sailing. This document shall contain at least:
  - (i) the name of the rating system;
  - (ii) provision for control by the members;
  - (iii) an elected board and executive committee, including at least the executive officers; and
  - (iv) a statement of the objectives of the organization;
- (d) a set of rules detailing the operation of the rating system, reviewed by the World Sailing Oceanic and Offshore Committee and agreed by World Sailing. Any changes to the rules of the rating system must be notified to World Sailing for inclusion in the relevant files and publication on the World Sailing website; and
- (e) met the requirements set out below by confirmation from the requisite number of Member National Authorities:
  - (i) in the case of international rating systems, the use of the rating system in events in which at least 25 yachts are actively racing with current valid certificates from each of six Member National Authorities on a minimum of three continents have participate;
  - (ii) in the case of recognized rating systems, the use of the rating system in events in which at least 25 yachts are actively racing with current valid certificates from each of six Member National Authorities have participated.

### 13. **ORC LIMITED (OFFSHORE RACING CONGRESS)**

13.1 World Sailing recognizes the ORC Ltd as the sole authority to manage and administer:

- (a) the ORCi International Rating System
- (b) the ORC Club International Rating System
- (c) the IMS Rule and the IMS Regulations
- (d) the IOR Rule
- (e) additional Measurement or Rating Rules or Systems that the ORC may develop or administer now or in the future
- (f) the ORC GP Rules and ORC GP Classes
- (g) the ILC Rule and the ILC Classes
- (h) ORC Classes established under ORC Rules or Systems
- (i) championship rules for ORC Classes (being those listed under (f), (g) and (h) above);
- (j) the ORCi International Rating System World Championship
- (k) the Biennial World Sailing Offshore Team World Championship provided that each event is subject to approval by World Sailing. There shall be at least four teams representing four different nations or countries.

13.2 In addition to the classes authorized in 13.1 the ORC may organize classes utilizing its rules and submit them, pursuant to Regulation 10 & 25, through the Equipment Committee, to Council for approval as World Sailing/ORC Classes providing that:

- (a) The Oceanic and Offshore Committee shall be consulted regarding such submissions and shall provide its recommendation to Council.



- (b) Such classes, on Council approval, shall be known as World Sailing/ORC Classes and shall be subject to all obligations, rights, and privileges applying to other World Sailing Classes except that the ORC itself shall serve as the Class Owners Association.
- (c) Not more than 5 World Sailing/ORC Classes shall exist at any time.
- (d) the ORC are responsible for administration of their 5 nominated World Sailing/ORC classes.
- (e) No boat shall be eligible to compete in the same year in more than one World Sailing/ORC Class.
- (f) ORC shall pay World Sailing an annual fee, as established by the Executive Committee from time to time, for each World Sailing/ORC Class that holds a World Championship in that year (Regulation 10.3 shall not apply). This fee does not apply to a World Sailing World Sailing Offshore Team World Championship which utilizes World Sailing and/or World Sailing/ORC Class boats.

### **14. Reserved for future use**

## **PART IV - Administration**

### **15. NOTICES AND SUBMISSIONS**

#### **15.1 Submissions may be made by:**

Member National Authorities, World Sailing Class Associations, the Executive Committee, the Chairmen of Committees established by Council, International or Recognized Rating Systems, the Chairman of the Women's Forum and the President.

#### **15.2 A notice, submission, proposal or nomination to World Sailing shall be addressed to the Chief Executive Officer at the address of the ISAF Secretariat and shall be delivered in writing by hand, post, fax or Email, and be deemed to have been given or made on the date of receipt by the Secretariat**

#### **15.3 A submission from a Member National Authority shall be signed by the President, Vice-President, Chairman or Secretary of that Member.**

#### **15.4 A submission from an World Sailing Class Association shall be signed by the President, Chairman or Secretary of the Class Association.**

#### **15.5. A submission or proposal shall first state its purpose or objective, set out the proposal, the current position, if any, identify any Article, Regulation or Rule considered to be affected and the reasons for the proposal.**

#### **15.5.1 In a proposal which is in the nature of a discussion paper which may lead to changes to, or which outlines changes that might be made to one or more Regulations then the proposal shall also list those Regulations which may be affected.**

#### **15.5.2 If a submission or proposal includes specific changes to any Article, Regulation or Rule, then:**

- (a) if the change is to remove the whole Regulation, Article or Rule, it shall state the reference number of it; or
- (b) if the change is to only part of the Regulation, Article or Rule, then the current Article, Regulation or Rule shall be set out in full; and
- (c) in either case, the proposed changes shall include the exact wording to be inserted and/or deleted.

#### **15.5.3 For the purposes of this Regulation 'Rule' shall mean any rule within the Racing Rules of Sailing or the Equipment Rules of Sailing.**

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- 15.6 Submissions or proposals must be received at the World Sailing Secretariat in respect of:
- (a) the Annual World Sailing Meeting, by 1200 hours UTC on 1 August except Class rule change submissions, which shall be received by 1200 hours UTC on 1 September.
  - (b) the Mid-Year meeting or other meetings, not less than eight weeks before the meeting at which it is considered. Only urgent submissions may be considered at a Mid-Year meeting. The Executive Committee shall determine whether a submission is urgent. (The provisions of this section do not apply to submissions or proposals brought forward under Regulation 15.8 and 23.1.2);
- 15.6.1 Notwithstanding Regulation 15.6 above, submissions made by the Executive Committee arising out of their September meeting shall not be late submissions provided they are included in the November Conference mailing.
- 15.7 A late submission may only be considered upon the approval of the President or in his absence of one of the Vice-Presidents and then only when he considers that a matter of urgency is involved.
- 15.8 Any other late submission shall be placed on the agenda for the next available meeting of the General Assembly, Council, or Committee (as the case might be), if the person or body which made the submission so requests.
- 15.9 The Council shall not resolve any matter or substance unless it is based upon a submission which has been received in accordance with these regulations or is otherwise on the agenda of a committee or sub-committee of the Council.
- 15.10 All submissions or proposals complying with Regulation 15 shall be placed on the agendas of the appropriate committees.
- 15.11 The procedure for deciding on submissions as received will be as follows:
- (a) during their meeting the 'reporting committee' shall allow representatives from those responsible for the submission to speak to it;
  - (b) those submissions which affect Regulations and Articles will be included on the agenda of the Constitution Committee who will be required to give their input on any such changes;
  - (c) before the Council meeting, the World Sailing staff will prepare a 'recommendations paper' detailing the recommendations on each submission from the reporting committee and the opinions from the 'other committees'. The Chairman of each committee will be asked to authenticate that the 'recommendation paper' shows an accurate record of the committee's discussion on submissions allocated to their committee;
  - (d) in making recommendations on submissions, committees may not recommend a substantial change;
  - (e) the chairman of the reporting committee for a submission will be invited to give their verbal recommendation to Council on the submission;
  - (f) the opinions of 'other committees' will be received in writing as part of the 'recommendations paper'.
- 15.12 The procedure for deciding on submissions as received and summarized as in Regulation 15.13 will be as follows:
- (a) recommendations that are either pending from previous Committee Meetings or new items deemed urgent and requiring an immediate Council decision may be presented to Council:

- (b) Council may decide to make a decision on such recommendations or defer the matter to the next Council meeting;
  - (c) any recommendations from the Constitution Committee which bring about a change to the Regulations may be deferred to the following Mid-Year or Annual meeting by the Council.
- 15.13 Submissions to change or add a Regulation which are approved by Council without changes to the text as recommended to the Council by the Constitution Committee shall be effective on the date of approval unless a later date is stated in Council's decision.
- 15.13.1 In the event that Council's decision changes the text as recommended to the Council by the Constitution Committee, the Regulation shall not be effective until such text shall have been approved by the Constitution Committee for its recommendation.
- 15.13.2 The effective date of the reviewed text shall be when the Chairman of the Constitution Committee certifies in writing that the changes to the text have been approved either:
  - (a) by those members (being not less than 5) of the Constitution Committee present at a Council meeting or after discussion by e-mail or other electronic means present at a Council Meeting.
  - (b) by the Constitution Committee after discussion by e-mail or other electronic means which shall if possible be within 28 days of the relevant meeting.
- 15.14 The Chief Executive Officer shall keep a register of addresses of all members of the World Sailing, the members of the Council and members of the Committees. Notices from the World Sailing shall be deemed to be properly delivered if dispatched by post, fax or Email to the appropriate registered address. Notices to be given by the Chief Executive Officer shall be dispatched not less than twenty one days before the meeting to which they relate, provided that under exceptional circumstances failure to dispatch a notice in proper time shall not invalidate such notice or preclude the subject matter of such notice being placed on an agenda and resolved, if the General Assembly, Council or Committee (as the case might be) so decides.
- 15.15 All notices and circulars sent to Members shall be sent to the members of the Council for information.
- 16. INTERPRETATION OF CONSTITUTION BY CONSTITUTION COMMITTEE**
- 16.1 The interpretation of the World Sailing Constitution, including any ancillary documents, and Regulations shall be the sole responsibility of the Constitution Committee.
- 16.2 Any Member National Authority, Class, Officer or Committee Chairman or Vice-Chairman may submit a request in writing for an interpretation of the Constitution or Regulations. Such requests shall be sent to the Chief Executive Officer who shall send them to the Chairman and the Vice-Chairman of the Constitution Committee promptly. Such requests shall be considered by the Constitution Committee and a written opinion on the interpretation of the Constitution and/or the Regulations in the context of the circumstances of the request shall be provided to the requestor.
- 16.3 Any other member of a Member National Authority, Class, Committee or Sub-committee may submit such a request through a Member National Authority, Class, Officer or Committee Chairman or Vice-Chairman as seems appropriate. Any such body or person through which a request is submitted is bound to forward it to the Chief Executive Officer promptly but may state that the body or the person does not have an interest in the outcome of the request. Otherwise it may provide its own opinion on the request.
- 16.4 Before considering any such request, the Constitution Committee shall:

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- (a) provide a copy of the request to any committee(s) whose terms of reference are substantively and directly relevant to the request;
  - (b) permit the committee(s) reasonable time to comment, having regard to when the Constitution Committee would expect or be expected to issue that interpretation
- 16.5 Notwithstanding Regulation 16.2 above, where there is an urgency in obtaining an opinion the Chairman of the Constitution Committee may issue a provisional interpretation either orally or in writing, but if orally it shall be confirmed in writing as soon as possible. Such provisional interpretation shall be submitted to the Constitution Committee as soon as it reasonably can be. Such provisional interpretation shall be confirmed unless sixty per cent or more of the Constitution Committee vote against confirmation.
- 16.6 The existence of an urgency shall be in the sole discretion of the Chairman of the Constitution Committee. The Chairman may delegate the giving of an opinion to the Vice-Chairman or other legally trained member of the Constitution Committee. Such written opinions, when duly confirmed, shall be posted on the World Sailing Website and may be distributed in any other manner.
- 17. APPLICATION OF ENGLISH LAW**
  - 17.1 Any disputes relating to the validity or construction of the World Sailing Constitution or Regulations or any other rules or regulations made thereunder (together, the 'World Sailing Regulations'), and any disputes relating to the application of the World Sailing Regulations or the exercise of powers thereunder, shall be subject to the exclusive jurisdiction of the courts of England and Wales and their principles, and shall be governed by English law, excluding English choice of law principles.
  - 17.2 For specific events, after consultation with the Chairman of the Constitution Committee, the Executive Committee may waive the whole or part of a regulation, provided that such waiver is reported to Council at the next meeting.
  - 17.3 Except in exceptional circumstances and with the approval of the Executive Committee, all references in the Regulations to specific amounts of money shall be expressed in Pounds Sterling. In the event that it is inappropriate to use Pounds Sterling in a given instance, the next choice shall be Euros.
- 18. EXHAUSTION OF ADMINISTRATIVE REMEDIES**
  - 18.1 World Sailing Full Members, World Sailing Members, World Sailing Race Officials, World Sailing Representatives and competitors shall not resort to any court or tribunal until exhaustion of all administrative remedies.

## **SECTION 2 - EVENTS AND COMPETITION RULES**

- 19. ELIGIBILITY CODE (See Appendix 3)**
- 20. ADVERTISING CODE (see Appendix 1)**
- 21. ANTI-DOPING CODE (See Appendix 2)**
- 22. WORLD SAILING SAILOR CLASSIFICATION CODE (See Appendix 4)**
- 23. OLYMPIC SAILING COMPETITION**

### ***Classes and Equipment***

- 23.1 Classes and Equipment
  - 23.1.1 World Sailing's vision for the Olympic Sailing Competition is that it is a pinnacle event, every 4 years, that showcases the skills and diversity of the best sailors from all parts

of the world. Olympic Events, Equipment and formats are chosen to present the sport of sailing as a challenging but accessible and rewarding sport for participants from all countries, and as an engaging experience for spectators and remote audiences.

23.1.2 In choosing its Olympic Events and Equipment, World Sailing shall seek to ensure that each Event at the Olympic Sailing Competition is, and will be likely to remain, the pinnacle Event for that discipline or area of sailing. In addition, the Olympic Events and Equipment taken together shall seek to:

- (a) demonstrate the diversity of skills required to race various types of small boats, and minimise the overlap between Events;
- (b) place an emphasis on athlete skill rather than equipment development, and limit the impact of equipment on performance;
- (c) demand a high level of athletic ability as well as excellent sailing skills;
- (d) be attractive and accessible to young athletes from all continents, and of different size and weight, with a clear pathway from World Sailing Youth to Olympic Events and Equipment;
- (e) maximise the participation of the world's best sailors and showcase the diversity of the sport;
- (f) provide an effective platform for promotion of the sport, and elite sailors, between Olympics;
- (g) progress towards an equal number of Events for men and women to participate in;
- (h) avoid unnecessary or excessive equipment costs, development costs, measurement costs, coaching costs, race organisation and race official costs, and television and other media costs;
- (i) offer continuity of Events and evolution of Equipment to give MNAs and sailors a dependable pathway into Olympic competition with continuity of investment;
- (j) provide suitable Events and Equipment for Regional Games and other regattas;
- (k) minimise environmental impact.

23.1.3 The Olympic Events and Equipment are defined in Regulation 23.1.4. Any change to Regulation 23.1.4 shall be made according to the process below; the associated voting process and submission requirements are specified in Regulation 23.1.8:

- (a) Notwithstanding Regulation 23.13(b) to (f) below, the ten Olympic Events and Equipment in Regulation 23.1.4 are selected for the 2020 Olympic Sailing Competition. Equipment evolution shall be permitted and shall be decided in accordance with Regulation 23.1.3(d). If Sailing is allocated additional Olympic Events for 2020, Kiteboarding shall be selected.
- (b) Council shall decide by 31 December of the year of the Olympic Games which of the Events selected for the next Olympic Sailing Competition (in four years time) to review for possible change for the Olympic Sailing Competition in eight years time. There shall be a minimum of one and a maximum of three such Events. All other Events and their associated Equipment shall be included in the Olympics in eight years time.
- (c) For each Event it is reviewing under 23.1.3(b), Council shall decide by 31 December of the following year either:
  - (i) to retain the Event and retain the current Equipment; or
  - (ii) to retain the Event and evolve the current Equipment; or
  - (iii) to retain the Event and select new Equipment; or

- (iv) to select a new Event and select new Equipment.
- (d) For its decisions under 23.1.3(c), if Council decides:
  - (i) to evolve the current Equipment, it shall at the same time specify where evolution is required;
  - (ii) to select new Equipment, it shall at the same time decide the criteria for the selection of new Equipment;
  - (iii) to select a new Event, it shall at the same time select what that new Event shall be.
- (e) New Equipment shall only be selected following Equipment trials or other evaluation against the specified criteria, and shall be selected no later than 31 December of the year five years before the Olympic Games.
- (f) World Sailing may at any time require evolution of selected Equipment and shall liaise with the Class organisation and manufacturers before so deciding. Any such evolution shall be decided no later than 31 December of the year five years before the Olympic Sailing Competition.

### 23.1.4 Olympic Events and Equipment:

#### 2016 Olympic Events and Equipment

Men's Windsurfer - RS:X

Women's Windsurfer - RS:X

Men's One Person Dinghy - Laser

Women's One Person Dinghy - Laser Radial

Heavyweight Men's One Person Dinghy - Finn

Men's Skiff - 49er

Women's Skiff – 49erFX

Men's Two Person Dinghy - 470

Women's Two Person Dinghy - 470

Mixed Two Person Multihull – Nacra 17

#### 2020 Olympic Events and Equipment

Men's Windsurfer - RS:X

Women's Windsurfer - RS:X

Men's One Person Dinghy - Laser

Women's One Person Dinghy - Laser Radial

Heavyweight Men's ~~2nd~~ One Person Dinghy - Finn

Men's Skiff - 49er

Women's Skiff – 49erFX

Men's Two Person Dinghy - 470

Women's Two Person Dinghy - 470

Mixed Two Person Multihull – Nacra 17

- 23.1.5 Olympic Equipment contracts shall be agreed between World Sailing and the appropriate Classes and / or manufacturers by May 1st in the year four years before the Olympic Sailing Competition. The contract shall require the Class to be a World Sailing Class at the first opportunity thereafter, and, in any event, prior to the Olympic Games, and to remain a World Sailing Class while it remains Olympic Equipment. In the event that World Sailing is unable to agree the contract for particular Equipment, Council shall decide alternative Equipment in November of the year four years before the Olympic Sailing Competition from a list of Equipment options provided by the World Sailing Executive Committee.

- 23.1.6 Council may only change a decision already made with regard to selection of an Olympic Event or Equipment if at least 75% of votes cast are in favour of a motion to change that specific Olympic Event or Equipment selection. In such an event, the timescales in regulation 23.1.3 shall not apply, and any subsequent voting process

shall ensure that an Event or Equipment is de-selected, or new Event or Equipment is selected, only after a vote of more than 50% of votes cast in favour of the decision.

23.1.7 World Sailing shall review its Event and Equipment choices for the World Sailing Youth World Championships, and for the Youth Olympic Games, following any change of Olympic Events or Equipment, to ensure that there remains a clear, pathway for MNAs and sailors from Youth to Olympic.

### 23.1.8 Olympic Event and Equipment Voting Processes

- (a) Committees voting on a recommendation to Council shall use the appropriate process defined below. Council may vote to accept the recommendation of a reporting committee. If Council does not so do, it shall then use the appropriate process defined below to make its decision(s).
- (b) For the decision under Regulation 23.1.3(b), there shall be a single ballot paper listing the ten Events and associated Equipment selected for the next Olympics. Each voting member of the committee (or Council) shall mark an X against those whose inclusion in the Olympics after next he or she wishes to be reviewed. Members can vote for any number of Events to be reviewed (including none). The Event receiving the most votes shall be subject to review. The Events receiving the second and third most votes shall also be subject to review if they received more than 50% of votes cast (excluding abstentions). If no Event receives any votes, then, notwithstanding Regulation 23.1.3(b), no Event shall be reviewed.
  - (i) (When there is a tie that needs to be broken, a separate run-off ballot shall be held between the tied Events to break it. When a tie involves more than two Events, and a run-off ballot only partially breaks that tie, another run-off ballot shall be held between those who are still tied.
  - (ii) When all tied Events remain tied after a run-off ballot, the chairman of the meeting shall have a casting vote.
- (c) Decisions under Regulation 23.1.3(c) shall be made based on submissions, with the option to retain the current Event and Equipment also available. To be valid, a submission shall propose, for all Events that are being reviewed, the choice of Event, and whether:
  - (i) the current Equipment is to be retained;
  - (ii) the current Equipment is to be evolved (in which case it shall summarise that evolution) ; or
  - (iii) new Equipment is to be chosen following trials (in which case it shall summarise the main criteria for the selection of new Equipment).
- (d) Voting for decisions under Regulation 23.1.3(c) shall be a two stage process as follows:

Stage 1: any submission must be proposed and seconded by a member of the committee (or if the submission is before Council by a member of Council). A member may propose or second more than one submission. If a submission is not so proposed and seconded it is deemed rejected.

Stage 2: which selects either one submission from those that have been proposed and seconded in Stage 1, or selects to retain the current Events and Equipment (see Regulation 23.1.8(e)).
- (e) The Stage 2 procedure is as follows:

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- (i) (Each voting member of the committee (or Council) shall cast one vote for his or her preferred option from those Stage 2 options which have not so far been rejected.
- (ii) If an option receives more than 50% of votes cast (excluding abstentions), it is selected and the voting process is concluded.
- (iii) If no option receives more than 50% of votes cast, then any option that has received zero votes, and of the remaining options, the one receiving the fewest votes, are rejected.
- (iv) The procedure in (i), (ii) and (iii) above shall then be repeated with the remaining options.
- (v) After each round of voting, the rejected option, but not the number of votes, shall be declared. The totals of all votes in all rounds shall be declared at the end of the process.
- (vi) In the event of a tie that needs to be broken in step (iii) above, it shall be broken as follows:
  - the tie is broken in favour of the option that received more votes in the previous round of Stage 2;
  - if this fails to break the tie, or it is the first round of Stage 2, then a run-off ballot shall be held.
  - If a tie between more than two options is only partially broken by (a) or (b), the tie break process continues between the options that are still tied.
  - If a run-off ballot fails to break a tie, the chairman of the meeting shall have a casting vote.
- (f) Decisions under Regulation 23.1.3(e) shall be made separately for each Event for which a change of Equipment is being considered using the "Stage 2" procedure in (e) above. The Equipment options available for selection shall be only the Equipment options that participated in the Equipment trials or other evaluation prescribed in Regulation 23.1.3(e).

### ***Olympic Entries***

- 23.2 Olympic entries shall only be approved from Members of the World Sailing. If applications for membership are received between the meetings of the World Sailing, these may be approved provided that the Officers and the World Sailing's legal advisers are satisfied that the application follows the World Sailing's normal guidelines. These applications shall be reported to the Council at its next meeting.
- 23.2.1 Entries for Olympic Qualification Events shall only be accepted from Full or Associate Members.

### ***Olympic Jury***

- 23.3 The President, Chairman of the Racing Rules Committee and Chairman of the Race Officials Committee shall select the Chairman of the Olympic Jury.
- 23.3.1 The President, Chairman of the Racing Rules Committee and Chairman of the Race Officials Committee shall agree on a Vice-Chairman and select the balance of the jury, subject to the following guidelines:
- (a) a majority of the Jury shall be International Judges;
  - (b) there shall not be more than two members from any one country; and
  - (c) at least one member shall be from the nation organizing the Olympic Sailing Competition and at least one member shall be from the nation organizing the subsequent Olympic Sailing Competition.



### ***Olympic Media Rights***

- 23.4 Media Rights in respect of the Olympic Sailing Competition are the property of the International Olympic Committee and all monies derived from these rights allocated to World Sailing is the property of World Sailing.

### ***Appointment of Technical Delegates***

*Please refer to Regulation 25.13.7 and 25.13.8*

## **24. WORLD SAILING EVENTS**

### ***Selection of Classes for World Sailing Events***

- 24.1 A World Sailing event shall be an event for which ISAF selects the venue.
- 24.2 Only World Sailing Classes shall be selected for World Sailing events.
- 24.2.1 Notwithstanding Regulation 24.2, Council may approve a non- World Sailing Class boat for World Sailing Match Racing and Team Racing events other than Olympic events.
- 24.2.2 Notwithstanding Regulation 24.2, Council may approve the selection of a non- World Sailing Class boat that has been recommended as a result of an World Sailing equipment evaluation.

### ***Sailing World Championships***

- 24.3 The name of the Championship shall be the Sailing World Championships (the Championships). If there is a title sponsor, the title sponsor name may be inserted in the Championships title, as approved by the World Sailing Executive Committee.
- 24.3.1 The Championships shall be held once every four years, two years prior to the Olympic Sailing Competition. It shall be the first Olympic Qualification event for all nations.
- 24.3.2 Only the events and equipment included in the next Olympic Sailing Competition shall be included in the programme of the Championships.
- 24.3.3 The Championships shall be the Class World Championship of the Olympic Classes.
- 24.3.4 The format of the Championships shall be decided by World Sailing.
- 24.3.5 The start date for the Championships shall be in August, except that, if the start date of the Olympic Sailing Competition is in September, the start date for the Championships may also be in September but no later than the Olympic start date. The Executive Committee may change this date when there is good reason.
- 24.3.6 The decision process and timelines for the selection of venue and contract award for the Championships are:
- (a) Preliminary bids shall be received by World Sailing from a Member National Authority by 1st November, 5 years before the Championships.
  - (b) Final bids shall be received by 1 March, 4 years before the Championships.
  - (c) The venue shall be decided by World Sailing in May, 4 years before the Championships.
  - (d) The contract between World Sailing and the organizers shall be signed not less than 3 years before the Championships
  - (e) The Qualification System shall be determined by World Sailing not less than 2 years before the Championships.
  - (f) The Notice of Race shall be approved by World Sailing not less than 1 year before the event.

- 24.3.7 Notwithstanding 24.3.2 above the organising authority for a Sailing World Championship may, with World Sailing approval, also include events using potential future Olympic equipment or disciplines.

### **ISAF Sailing World Cup Regattas**

- 24.4 The name of the each regatta shall be covered by the title of the ISAF Sailing World Cup.
- 24.4.1 Only the Events and Equipment included in the next Olympic Sailing Competition shall be included in the programme of Sailing World Cup regattas.
- 24.4.2 The format of the Events shall be decided by World Sailing and shall be based on the format for the Events at the next Olympic Sailing Competition.
- 24.4.3 Notwithstanding 24.4.1 above the organizing authority for a Sailing World Cup regatta may, with World Sailing approval, also include:
- (a) Events and Equipment included in the next Paralympic Sailing Competition provided that if it does so the format of such Events shall be based on the format of those Events for the next Paralympic Sailing Competition;
  - (b) Events using potential future Olympic Equipment or disciplines.
- 24.4.4 The notice of race and the sailing instructions for all Sailing World Cup regattas shall be consistent and approved by World Sailing.
- 24.4.5 Reserved for future use to cover all other aspects of Sailing World Cup regattas.

### **Youth Sailing World Championships**

- 24.5. The name of the Championship shall be the Youth Sailing World Championships (the Championships). If there is a title sponsor, the sponsor name may be inserted elsewhere in the Championships' name, as approved by the World Sailing Executive Committee.
- 24.5.1 The Championship shall be held annually. It shall start either on the second Saturday of July or 27 December of the year of the Championships, and shall finish eight days later.
- 24.5.2 To be eligible to participate in the Championships, competitors shall be under the age of 19 years on 31 December of the year of the Championship.
- 24.5.3 The following events, classes and equipment shall be included in the programme of the Championships:
- (a) Boy's One Person Dinghy – Laser Radial  
Girl's One Person Dinghy – Laser Radial  
Boy's Two Person Dinghy - 420  
Girl's Two Person Dinghy - 420  
Boy's Windsurfer – RS:X (with the 8.5m rig)  
Girl's Windsurfer – RS:X (with the 8.5m rig)  
Boy's Skiff – 29er  
Girl's Skiff – 29er

Open Multihull – Nacra 17

*Note 1: The host organisers of the 2016 or 2017 Championships may require the 29er to be raced as a single open event.*

*Note 2: On 1 January 2018, "Open Multihull" will change to "Mixed Multihull".*

- (b) Equipment shall be provided for the competitors, free of charge
- (c) When an event or equipment of the Championships is changed:

- (i) the decision will be implemented as soon as practical; and
    - (ii) pursuant to Regulation 24.2.2, no class shall remain on the approved list for more than four years without obtaining World Sailing Class status.
  - (d) Classes listed in this Regulation shall not hold a world or youth continental championship that clashes with the dates of the Championships.
- 24.5.4 The decision process and timelines for the Host Member National Authority for the Championships are:
- (a) The venue shall be decided by 28 February 3 years before the Championships;
  - (b) The contract between World Sailing and the organizers shall be signed not less than 2 years before the Championships; and
  - (c) The notice of race shall be approved by World Sailing not less than 1 year before the Championships.
- 24.5 Disabled Sailing
- World Sailing may hold Para World Sailing Championships as follows; subject to approval under Regulation 25.1:
- (a) up to a total of five Para World Sailing Championships per year may be held;
  - (b) World Sailing shall select the World Championships from the following disability event categories:
    - (i) One-Person Keelboat Open;
    - (ii) Two-Person Keelboat Mixed;
    - (iii) Three-Person Keelboat Open;
    - (iv) Multihull Open;
    - (v) One-Person Non-Technical Open;
    - (vi) Vision impaired (with sighted guides) Open;
    - (vii) Vision impaired (without sighted guides) Open, and;
    - (viii) Intellectually Disabled Open
  - (c) Regulation 10.4(b) does not apply to Para World Sailing Championships;
  - (d) World Sailing may recognize classes of boats or equipment as suitable for sailing by disabled sailors, in accordance with standards to be adopted by World Sailing; and
  - (e) Para World Sailing Championships authorized in this Regulation shall be held in equipment recognized by World Sailing as provided in Regulation 24.5(d).

## **25. WORLD SAILING AND OTHER EVENTS**

### ***World Sailing approval of World Championships and other Events***

- 25.1 World Sailing approval is required for any event that is described as a World Championship, uses the word "World" in the title of the event, or any of the promoters, organizers or any other organization officially connected with the event, represents or holds out in any way that the event is a World Championship or does the foregoing in such a way that the event is reasonably perceived to be a World Championship.
- 25.2 World Sailing Classes
- 25.2.1 Each World Sailing Class that meets the World Championships requirements in Regulation 10.2.1(d) is automatically granted the right, subject to the notification

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requirements of Regulation 25.7, to hold one annual World Championship. Regulation 10.4 defines how this right is maintained or rescinded.

- 25.2.2 World Sailing Class Associations have the sole right to hold world and continental championships of their class, these being class events as defined in Regulation 10.5(f).
- 25.2.3 World Sailing Classes holding world and continental championships shall obtain the approval of the National Authority of the nation where the championships is to be hosted.
- 25.2.4 The title of the Championship should be: "The xxx Class World Championship" or "The xxx Class <Name of Continent> Championship", but other titles may be used. The title shall not include the term "World Sailing", "International Sailing Federation", "Sailing" or "World Cup".
- 25.2.5 The World Championship right granted in Regulation 25.2.1 may be open, or may be restricted on the basis of gender or age. All ISAF Classes may also award the following subsidiary World Championship titles:
  - (a) one Women's World Champion title
  - (b) one Youth World Champion title (which may be on the basis of gender), where youth crews are so defined by the Class.
  - (c) one further World Champion title on the basis of gender, age or discipline.
- 25.2.6 Those competing for a subsidiary World Championship shall race as a separate fleet. The number of participating entries in this fleet shall meet or exceed the number of entries shown in the table in regulation 10.4(b), and the fleet shall include entries from more than one continent.
- 25.2.7 However the above rights for a Class selected by World Sailing to be Equipment at the next Olympic Sailing Competition may be restricted or amended by the Olympic Classes Contract.
- 25.3 Radio Sailing

The International Radio Sailing Association may hold one annual World Championship per IRSA Class up to a maximum of three World Championships.
- 25.4 Not used.
- 25.5 Rating Systems
  - 25.5.1 A designation as an international rating system shall not automatically entitle the rating system to hold a world championship.
  - 25.5.2 Rating systems not covered in Regulation 25.5 shall apply to World Sailing for approval to hold a world championship.
  - 25.5.3 Qualification to hold a world championship shall include rating systems which are based on measurement and scientific formulation available to all certificate holders.
- 25.6 Other World Championships

World Sailing may approve other events as World Championships upon written application made by a National Authority, Class Association or an organizing authority (as defined in RRS)

## 25.7 World Sailing Events Calendar

- 25.7.1 The dates for all world and continental championships shall be presented to World Sailing no later than 1 August for the following year including all championship titles to be awarded at the event.
- 25.7.2 Organizers of other events may, after receiving the approval of the relevant Member National Authority, apply no later than 1 August for publication of the event on the World Sailing International Events calendar for the following year.
- 25.7.3 By 1 October, World Sailing shall prepare a list of all World Championships approved under Regulation 25.2, 25.3, 25.5, 25.6, 25.7, continental championships of World Sailing Classes, Major Events and Recognized Events and events submitted under Regulation 25.7.2.
- 25.7.4 World Sailing is the authority to solve any disputes over clashes of dates in the international calendar. All Member National Authorities shall notify World Sailing of their International Events and proposed dates as soon as possible. Dates should be agreed with the World Sailing Secretariat before 1 August of the preceding year to avoid possible clashes. In the event of a conflict in dates for events involving Classes selected by World Sailing to be equipment at the next Olympic Sailing Competition, the World Sailing Executive Committee shall resolve the conflict.
- 25.7.5 The dates of ranked events for the Classes selected by World Sailing to be Equipment at the next Olympic Sailing Competition shall be presented to World Sailing in accordance with Regulation 27.1.1(a).
- 25.7.6 The event organiser shall use the World Sailing XML Regatta Reporting format (World Sailing XRR) at Olympic Class ranked events.

## ***Appointment of Race Officials in International Events***

- 25.8 World Sailing has the right to appoint Race Officials for the events which require them in order to ensure that for those events the Race Officials selected are fully trained and qualified for that event.
- 25.8.1 Except as provided in Regulations 10.10, 23.3 and 25.8, the right to appoint Race Officials is delegated to the Organizing Authority of the event.
- 25.8.2 The Executive Committee shall appoint an Event Appointments Working Party to make all World Sailing's race official and technical delegate appointments and approvals, with the exception of Olympic Juries and Technical Delegates when Regulations 23.3, 23.3.1 and 25.9.1 apply. This working party shall consist of the following persons:
  - (a) an experienced current or former World Sailing Race Official (as chairman)
  - (b) two additional current or former experienced World Sailing Race Officials
  - (c) a World Sailing Vice-President
  - (d) the World Sailing staff manager responsible for World Sailing Race Officials (non-voting)

The working party shall be appointed at the same time as the World Sailing committees for a four-year period in line with the World Sailing committee's term of appointment. The World Sailing Race Officials stated in (a) and (b) above should cover a range of race official disciplines, if possible and may be retired. Casual vacancies shall be filled in accordance with the above principles.

- 25.8.3 For appointments under Regulations 25.8.10, 25.8.12 and World Sailing shall appoint or approve only after consultation with:

- (a) any relevant World Sailing Class/Owners' Association;
  - (b) for the Paralympic Sailing Competition and Para World Sailing Championships, the Para World Sailing Committee;
  - (c) for Regional Games, the relevant World Sailing Affiliate Continental Member; and
  - (d) the Member National Authority of the venue.
- 25.8.4 The Executive Committee may propose from time to time at its discretion to the Council the addition or deletion of events from the lists in Regulations 25.8.10 and 25.8.11. Upon the Council's approval of such a proposal, either as proposed or as amended, the lists in 25.8.10 and 25.8.11 shall be amended accordingly.
- 25.8.5 World Sailing shall publish a list of all appointments made under this Regulation no later than 30 June of each year and shall update the list no later than the end of each calendar year
- 25.8.6 When it is appropriate to remunerate Race Officials, World Sailing shall exercise the right to appoint the Race Officials selected for these events and set the fees to be paid to World Sailing for the services or shall negotiate the appropriate fees.

### ***Appointment or approval of World Sailing International Race Officials***

- 25.8.7 World Sailing shall not appoint or approve a Jury Chairman, Principal Race Officer, Chief Measurer/Equipment Inspector or Chief Umpire who is from the country of the Organizing Authority of the event.
- 25.8.8 When World Sailing exercises its right to appoint a Chief Umpire, Jury Chairman, Chief Measurer, Course Representative or Principal Race Officer (Race Officer when one course area will be used) the appointee shall respectively be an International Umpire, International Judge, International Measurer or International Race Officer.
- 25.8.9 International Jury or International Umpire team
- (a) When World Sailing appoints or approves the International Jury it may, to the extent permitted by the Racing Rules of Sailing, in conjunction with the relevant class association and Organizing Authority, appoint / approve national judges
  - (b) When World Sailing appoints or approves the Umpire Team, it may, in conjunction with the relevant Organizing Authority, appoint / approve national umpires to the extent consistent with guidelines adopted by the International Umpires Sub-committee.
- 25.8.10 World Sailing shall exercise the right to appoint the race officials for the following events:
- America's Cup Series, America's Cup Challenger Series, America's Cup Defender Series, America's Cup Match but excluding measurers;  
Grade One Match Racing events  
All World Sailing Events.  
World Championships of the Olympic Classes;  
Olympic and Paralympic Qualifying events;  
The Olympic Sailing Competition (See Regulations 23.3 and 23.3.1);  
The Paralympic Sailing Competition  
The Youth Olympic Games  
Regional Games

25.8.11 World Sailing shall have the right to approve and/or appoint the race officials when deemed necessary for the following events otherwise not covered by the Regulations above:

Global and Trans-Oceanic Ocean Races;  
World Sailing Rankings graded Events;  
Professional Windsurfers Association Events (PWA)  
Volvo Ocean Race  
World Championships of non-Olympic World Sailing Classes, when agreed with the World Sailing as detailed in Regulation 10.10

25.8.12 Major and Recognized Events

(a) Major Events are as follows:

America's Cup Series, America's Cup Challenger Series, America's Cup Defender Series, America's Cup Match;  
Global Ocean Races;  
Grade 1 Match Racing Events;  
All events organized by or on behalf of World Sailing:  
Events of the Olympic Classes (at the discretion of the World Sailing Executive Committee);  
World Championships of the Olympic and Paralympic Classes;  
Olympic and Paralympic Qualifying Events;  
The Olympic Sailing Competition;  
The Paralympic Sailing Competition  
Professional Windsurfers Association Events (PWA);  
Global and Trans-Oceanic Races;  
Regional Games;  
Volvo Ocean Race.

(b) Recognized Events are as follows:

Events of the Olympic Classes not covered under (a) (at the discretion of the World Sailing Executive Committee);  
World Championships of non-Olympic World Sailing Classes, unless otherwise agreed with the World Sailing, as detailed in Regulation 10.10;  
Special Olympics.

### ***Appointment of Technical Delegate in International Events***

25.9 World Sailing shall appoint World Sailing Technical Delegates for all World Sailing Events' and Regional Games events. The Chairman of the Regional Games Committee shall be consulted on the appointment of World Sailing Technical Delegates to Regional Games events.

25.9.1 The Executive Committee shall appoint World Sailing Technical Delegates to the Olympic Sailing Competition

25.9.2 The appointment of technical delegates to events organized outside the World Sailing Events, Regional Games and Olympic Sailing Competition will be left to the Member National Authority of the country in which the event is being held.

25.9.3 The World Sailing Technical Delegate should be appointed as soon as possible after the confirmation of sailing's inclusion in the specific Regional Games. A list of World Sailing appointed Technical Delegates will be maintained on the World Sailing website.

25.9.4 World Sailing Technical Delegates appointed to Regional Games shall only be those certified by World Sailing as an World Sailing International Race Officer or International Judge.

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- 25.9.5 World Sailing shall not appoint or approve an World Sailing Technical Delegate, other than World Sailing staff, who is from the country of the Organizing Authority of the event. All World Sailing Technical Delegates will report to and through the Executive Committee.
- 25.9.6 The World Sailing Technical Delegate will be appointed subject to the following guideline as to their qualifications:
- (a) an intimate knowledge of the World Sailing Rules and Regulations;
  - (b) experience of event organization;
  - (c) skills in diplomacy;
  - (d) the ability to work with all people connected with the event (competitors, officials, media etc); and
  - (e) the geographic location of the appointed delegate in respect of funding available from World Sailing and other sources to enable he/she to undertake the role.
- 25.9.7 The responsibilities of the World Sailing Technical Delegate will include:
- (a) assisting Event Organisers in the development and planning of the event;
  - (b) giving advice regarding the Notice of Race and Sailing Instructions before the event;
  - (c) approving any alterations to the Sailing Instructions and other rules relating to the event;
  - (d) co-ordinating the World Sailing Course Representatives and the Race Officers (appointed by the Event Organising Committee) where such officials have been appointed;
  - (e) carrying out such other functions as the World Sailing Executive Committee may decide; and
  - (f) submitting a report to the World Sailing if visits are made.
- 25.9.8 At least two World Sailing Technical Delegates will be appointed for the Olympic Sailing Competition, subject to the following guidelines:
- (a) one World Sailing Technical Delegate will be a member of the World Sailing Executive Committee;
  - (b) one World Sailing Technical Delegate will be an World Sailing staff appointee;
  - (c) the World Sailing Technical Delegates will be appointed no later than three years before the start of the Olympic Sailing Competition; and
- 25.9.9 The World Sailing Executive Committee shall approve the Technical Delegate for the Paralympic Sailing Competition (including Paralympic Qualification events).

### ***Commercial Rights***

- 25.10.1 World Sailing exclusively owns and controls all commercial rights in and to the World Sailing Events, such commercial rights to include, without limitation and in each case on a worldwide basis, all:
- (a) audio, visual and audio-visual and data rights (in every medium, whether or not existing as at the date of these Regulations);
  - (b) sponsorship, advertising, merchandising, marketing and other forms of rights of association;
  - (c) ticketing, hospitality and other concession rights; and



- (d) other rights to commercialise the World Sailing Events (including without limitation any so-called "event rights" and any right to authorise the taking of bets on the World Sailing Events.

25.10.2 World Sailing shall be entitled to exploit the commercial rights in such manner as it considers appropriate, including granting licences in respect of the same (or part thereof) to relevant Member National Authority or other third party(ies) from time to time.

## **Media Rights**

### 25.11 Definition

For the purpose of this Regulation, Media Rights shall mean:

- (a) the right to communicate or interact via the Internet, or other system with information including, but not limited to, statistics, rules, bulletins, calendars, news, and results; and
- (b) the right to transmit thereon audiovisual and/or audiovisual live and/or delayed coverage of an event.

Notwithstanding the generality of the foregoing, the term 'Media Rights' includes any such rights to information which is stored, reproduced or transmitted in digital or analogue form or by digital or analogue methods or by use of any protocol.

#### 25.11.1 Ownership

World Sailing is the first owner of all media rights of any event using *The Racing Rules of Sailing*.

As detailed in Regulation 23.4, media rights in respect of the Olympic Sailing Competition are the property of the International Olympic Committee and all monies derived from these rights allocated to World Sailing is the property of the Federation.

#### 25.11.2 Licensing

No club, Class Association, organization, entity or person or any combination thereof, may negotiate or enter into or benefit from any agreement or transaction of any kind by which cash or other consideration is received for the grant of any media rights in respect of any such event except with the express written consent of World Sailing. No consent is required in respect of the following events:

- (a) World Sailing Class Association world championships and continental championships and other world championships approved by World Sailing  
 Unless otherwise agreed with World Sailing, World Sailing Classes shall be automatically licensed by World Sailing, without fee, to such media rights for their World Sailing approved Class World Championships and Continental Championships, and other classes in respect of other World Championships approved by World Sailing.
- (b) National Events  
 Member National Authorities shall be automatically licensed, without fee, to the media rights to such events held in the Member National Authority's country. The Member National Authority may sub-license such media rights to the Event Organizing Authority of the event.
- (c) An International Event taking place in one country:
  - (i) World Sailing Graded Events  
 On application to World Sailing, the media rights may be licensed to the Member National Authority, without fee. The Member National Authority

may sub-licence the media rights to the event Organizing Authority of the event.

- (ii) **Other Events**  
Unless otherwise agreed with World Sailing, on application to World Sailing, the media rights shall be licensed to the Member National Authority without fee. The Member National Authority may sub-licence the media rights to the Event Organizing Authority of the event.
- (d) Notwithstanding (a), (b) and (c), a fee may be charged only if such events regularly produce a significant amount of revenue.
- (e) **An International Event taking place in more than one country:**  
On application to World Sailing, the media rights may be licensed to the Member National Authority of the Event Organizing Authority. A fee may be charged. The Member National Authority may sub-licence the media rights to the Event Organizing Authority.
- (f) **Major Events/Events of Classes/ World Sailing Events**  
On application to World Sailing, any media rights World Sailing may have for Major Events, Events of Classes and World Sailing Events, may be licensed to the Event Organizing Authority or other organization at World Sailing Executive Committee's discretion. No additional fee shall be charged. See Regulation 25.12.3.

### **Event Fees**

#### **25.12 Grading and Advertising Fees**

- (a) For Major Events, Events of Classes and World Sailing Events World Sailing shall administer an Event Advertising System and/or Individual Advertising System (see Regulation 25.12.4).
- (b) For World Sailing Open Match Racing World Championships (World Sailing MRWC) and Open Graded Match Racing Events, the following fees apply:
  - (i) **Grading Fees**

World Sailing MRWC	€1,500
Grade 1	€1,200
Grade 2	€600
  - (ii) **Advertising Fees**

ISAF MRWC	€3,100
Grade 1	€3,100
Grade 2 - 5	€1,500 for events with:

Cash or cashable prizes, appearance payments, individual sponsorship payments by the event organizers or otherwise or other benefits of a similar nature totalling more than €15,500 or the equivalent.

The fee is regardless of the level of advertising.
- (iii) The above fees shall apply to Women's Match Racing events when the event offers cash or cashable prizes, appearance payments, individual sponsorship payments by the event organizers or otherwise, any/or other benefits of a similar nature totalling more than €15,500 or the equivalent.
- (c) The World Sailing Executive Committee may adjust the fee under special circumstances.

### **Payment for Umpires**

25.12.1 For Graded Match Racing Events, International Umpires shall be paid in accordance with this Regulation:

- (a) The Executive Committee shall specify the fees payable from time to time and may specify different fees depending on the role of the umpire at the event (e.g. Chief Umpire, Deputy Chief Umpire etc.).
- (b) The fees shall be based on the number of racing days of an event, with an appropriate additional amount for travel and any other required days' attendance.
- (c) The fees payable for any event shall be the most recent that have been specified by the Executive Committee not less than 12 months before the start of the event.
- (d) Fees shall only be paid in relation to the following events:
  - (i) World Sailing Open Match Racing World Championships;
  - (ii) Open Grade 1 Events; and
  - (iii) Open Grade 2 to 5 Events and all Women's Match Racing Events with cash or cashable prizes, appearance payments, individual sponsorship payments by the event organizers or otherwise, any/or other benefits of a similar nature totalling more than €15,500 or the equivalent.
- (e) When a Women's Grade 1 or 2 Match Racing event is combined with an Open Match Racing Grade 1 or 2 event then the fees payable shall be based on all race days of the combined event.

### ***Approval Fees***

25.12.2 Excluding those events detailed under Regulation 25.12.1, the organizing authority of an event with:

Cash or cashable prizes,

Appearance payments,

Individual sponsorship payments by the event organizers or otherwise,

any/or other benefits of a similar nature totalling more than € 50,000 or the equivalent may be required to pay an approval fee.

- (a) National Events - The national authority of the venue may require such a fee.
- (b) International Events - (i.e. open to entries other than those from the national authority of the venue) or any event organized in more than one country the World Sailing shall require such a fee, as below:

10% of prize money over € 15,500
- (c) When there is prize money for a series of events, then Regulation 25.12.2 will apply to the series in addition to Regulation 25.12.1 applying to the individual events.

### ***Media Right Fees***

25.12.3 See Regulation 25.11.2 and Regulation 25.12.4.

### ***Major Events, Events of Classes and World Sailing Events***

25.12.4 Fees due to World Sailing from Major Events, Events of Classes and World Sailing Events (see Advertising Code, Regulation 20.2.3) in respect of Advertising and Approval Fees (Regulation 25.12 and 25.12.2) shall be negotiated as a single fee to cover all rights, including any Media Rights as defined by Regulation 25.11 owned by the World Sailing.

### ***Non-Payment of Fees***

### 25.12.5 Where World Sailing has the right to appoint Race Officials and

- (a) where fees in respect of an event are payable under Regulation 25.12 and have not been paid by the day before the day of the first scheduled race of the event; or
- (b) where fees are unpaid in respect of:
  - (i) other events organised by either the same organizing authority; or
  - (ii) other events organized or controlled by any body, organization or authority concerned with the current event,

World Sailing may instruct Race Officials appointed to the event to refrain from officiating in the event or to withdraw from it.

### 25.13 Regional Games

25.13.1 World Sailing shall encourage Member National Authorities, Continental Associations and other similar organizations to have sailing included in Regional Games where possible subject to the provisions of this paragraph 25.13.

25.13.2 World Sailing shall appoint the Technical Delegate for Regional Games as soon as possible after the event's dates and venue have been announced. The Organizing Authority shall prepare the Notice of Race, the Sailing Instructions and other race documents in close cooperation with the Technical Delegate and shall send them to World Sailing for approval. The Notice of Race shall be sent not less than eight months before the start of the Games, the Sailing Instructions not less than one month. World Sailing shall publish the Notice of Race on its website or by such other means as it thinks fit and once published the provisions in the Notice of Race relating to the classes to race and the format of the races shall not be altered except with the consent in writing of World Sailing.

25.13.3 Unless otherwise approved by the Executive Committee after consultation with the Events Committee and Regional Games Committee, where sailing is included in the Regional Games, the competition format, scoring, and race management procedures shall follow to the extent possible World Sailing policies and the competition format, scoring and race management procedures for the Olympic Sailing Competition.

25.13.4 The equipment for all Regional Games shall be chosen from the following classes

- Windsurfing: Funboard, Formula, Mistral, RS:X
- Kiteboarding
- Single-Handed Dinghy: Finn, Laser, Laser Radial, Sunfish, Byte, Zoom, Europe
- Multi-Crewed Dinghy: 29er, 420, 470, Fireball, Flying Dutchman, Lightning, Snipe, 49er, 49erFX
- Keelboat: Etchells, Flying Fifteen, H-Boat, J-22, J-24, Soling, Yngling, Sonar, Star
- Multihull: Hobie 16, Hobie Tiger, Tornado, Nacra 17

However the Executive Committee may approve applications by Regional Games Organizers for the use of other equipment or the introduction of events restricted to specific age groups.

## 26. FLAGS AND EMBLEMS

26.1. When flags or emblems purporting to identify National Authorities or their competitors are used at international yachting events, they shall be limited to:

- (a) a national flag or emblem, or a delegation flag or emblem where these differ from the national flag or emblem;
- (b) a flag or emblem approved by the IOC; or
- (c) a flag or emblem approved by the World Sailing Executive Committee.

### **27. WORLD RANKINGS - GRADING CRITERIA FOR GRADED EVENTS**

#### **27.1 World Sailing Rankings**

##### ***Criteria applying to all grades of events***

#### **27.1. World Sailing Rankings**

##### **27.1.1 Requirements applying to all ranked events**

- (a) The dates of the event shall be agreed with World Sailing and published on the World Sailing website prior to the following dates:
  - (i) 200-pointers: 1<sup>st</sup> January of the preceding calendar year;
  - (ii) 100-pointers: 1<sup>st</sup> July of the preceding calendar year;
  - (iii) 50-pointers: 1<sup>st</sup> January of the year of the event, and not fewer than 6 months before the start of the event.

However World Sailing may at its discretion agree a ranked event date after the specified date when there is good reason. If for any reason dates are not agreed by the required date, the Executive Committee shall have authority to specify the dates.

When there is a conflict between event dates, it shall be resolved in favour of the higher ranked event, or, when events have the same rank, the event which agreed its date with World Sailing first.

- (b) The event shall be organised and sailed in accordance with the policies of World Sailing and the class and have the necessary event management on and off the water for this .
- (c) The ranking points of the event shall be stated in the notice of race. The notice of race shall be published at least 6 months before the event. The notice of race and the sailing instructions shall comply with the Notice of Race Guide and the Sailing Instructions Guide in the Racing Rules of Sailing and the event shall be organised in accordance with the World Sailing Race Management Policies.
- (d) Within 24 hours of the last race results shall be entered into the World Sailing website provided for this purpose, or faxed or emailed to the World Sailing Secretariat, in a format as stipulated by the World Sailing, so that they can be entered into the Rankings.
- (e) Daily results shall be published on the event website without delay using one of the following methods:
  - (i) updating of the organizer's own webpages (hyperlinked on the World Sailing event pages);
  - (ii) using the e-mail function of the World Sailing regatta package (as soon as available);
  - (iii) e-mail transmission of HTML-edited results to the World Sailing News Editor;
  - (iv) e-mail transmission of result text files to the World Sailing News Editor.
- (f) In restricted entry events, if a ranking list is used to allocate places, it shall be the World Sailing Rankings.

### 27.1.2 200-Point Events

Sailing World Cup events  
Olympic Class World Championships  
Sailing World Championships

Additional Criteria:

- (a) the event shall take place either within the host continent's window, or in the free window, in the World Sailing calendar;
- (b) three races are required to be completed to constitute a series;
- (c) bow numbers and seeding, if used, shall reflect competitors' positions on the Sailing World Rankings.

### 27.1.3 100-Point Events

Olympic Class world championships (when non-compliant with 200-point criteria)  
Olympic Class continental championships (other than those run as part of a 200-point event)  
Other major international events using Olympic equipment

Additional Criteria:

- (a) the event shall take place either within the host continent's window, or in the free window, in the World Sailing calendar (or shall be a Class World Championships);
- (b) the event shall be either a world or continental championships, or shall meet the minimum fleet size and spread requirement for a 100-point event (which shall be specified by World Sailing and may vary by class and continent), or shall be declared by World Sailing to be a 100-point Event;
- (c) where an international jury cannot be established, the chairman of the protest committee shall be an International Judge, not from the host country;
- (d) three races are required to be completed to constitute a series.

### 27.1.4 50-Point Events

Olympic Class Continental Championships (when non-compliant with 100-point criteria)  
Other international events using Olympic equipment (when not 100-point Events)

Additional Criteria:

- (a) the event shall meet the minimum fleet size and spread requirement for a 50-point event (which shall be specified by World Sailing and may vary by class and continent), or shall be declared by World Sailing to be a 50-point Event;
- (b) where an International Jury cannot be established, the Chairman of the Protest Committee shall be an International Judge.

## 27.2 World Sailing Match Race Rankings

### 27.2.1 Ranking Divisions

There shall be two divisions - Open and Women. The Women's Division is limited to events which specify that all crew members, including the skipper, shall be women. All other events are Open. Points scored in Women's events shall count only towards the Women's ranking list. Points scored by an all women team in an Open event shall count towards the Open list and the Women's list, without any adjustment.

### 27.2.2 Administration

- (a) Application for Grading

The official World Sailing grading form is available for download from the World Sailing website.

The form shall be completed by the event organizer, endorsed by the relevant Member National Authority and received by the World Sailing Secretariat at least four months prior to the event. This may be by electronic mail. An event grading will then be allocated by World Sailing.

World Sailing will publish the dates for WC events on or before 15 October the year before. All event organizers applying either for Grade 1 or Grade 2 are invited to submit their dates before 1 November the year before. World Sailing will then establish a calendar of events.

Events dates will be allocated in the following order:

- WC events;
- Grade 1 events;
- Grade 2 events.

If two event dates clash and there is no possibility for one or both to select alternative dates, World Sailing shall decide the matter in its sole discretion which may include downgrading one of them.

The allocation of gradings by the World Sailing Secretariat will be checked on a sample basis, as directed by the Chairman of the Match Racing Committee. A report of event gradings will be made annually to the Match Race Committee.

(b) World Sailing Event Report

The organizers shall submit an World Sailing event report, including the results and other specified information, checked and signed by the Chief Umpire. The event report shall then be sent by fax or e-mail to the World Sailing Secretariat. It shall be submitted within 5 days for events graded 3, 4 or 5, or within 24 hours for events graded 1 or 2.

(c) Re-grading of Events

Events may be re-graded retrospectively when there is clear reason to do so. An event applying to be up-graded retrospectively must satisfy World Sailing that it clearly meets all the criteria of the higher grading.

Application for upgrading shall be made at the time of submission of the results and be accompanied by an application form completed in full. The application form will be similar to the initial application form appropriate to the grade of event.

An event will only be downgraded when it fails to meet the criteria by a substantial margin, or when it does not comply with the administration requirements. Such a decision does not change the previous requirements as per Regulation 25.12.

When an event does not comply with World Sailing administrative procedures or fairness, the Match Race Rankings Sub-committee may recommend that World Sailing shall remove the event from the World Sailing calendar.

An event which failed to meet the criteria, regardless if the event was downgraded or not, may be granted a lower Grade when it applies for the next edition of that event.

(d) Invitations and acceptances

A skipper accepting an invitation but withdrawing within two months of the event may be reported by the organizer to the World Sailing. After review by World Sailing late withdrawals may receive a "zero" score, which must be counted for the competitor's ranking.

A “zero” score penalty after review by World Sailing may also apply to skippers who leave the event before the end of the event without the written approval from the organizing authority.

Invitations should be in writing and include the following statement:

*"Should you accept this invitation and later withdraw within two months of the event or leave the event before the end without the written approval from the OA, it is possible for a zero score to be applied to your Ranking points for that event by World Sailing."*

(e) Umpire Insurance

In signing the Grading Form, Events confirm that all umpires will be covered by comprehensive liability insurance in an amount of not less than £480,000 per occurrence covering legal liability in respect of personal injury and property damage. The cover should include (but not be limited to) liability directly or indirectly arising from the ownership, possession or use of any watercraft in connection with the umpire's duties.

The organizing authority confirms with the signature on the grading form that it accepts responsibility to cover all expenses for the umpires and World Sailing if the event is postponed or cancelled.

(f) World Sailing Sailor ID

Results from Graded Match Race Events shall be sent to World Sailing with Skippers World Sailing Sailor ID's included. Skippers may register for World Sailing Sailor at: [www.sailing.org/isafsailor](http://www.sailing.org/isafsailor)

(g) Media Rights

World Sailing Graded Events may be licensed as detailed in Regulation 25.11.2(c).

### 27.2.3 Grading of Events

Event grading will normally be based on the following criteria, but will take into account the overall event package. During the transition from the previous criteria a reasonable time shall be allowed for events to comply. Percentage calculations shall be rounded up to the nearest whole number.

(a) Grade 5 - Open and Women

Any match racing event using boats of the same design.

When provided by the organizers each pair of boats shall be of equal performance.

The notice of race shall be based on the current World Sailing standard.

The sailing instructions shall be based on the current World Sailing standard.

Matches shall be umpired.

The maximum average crew weight limit for open events is 87.5 kg.

The weight limit for women's events may be either:

- (i) a maximum average crew weight limit of 68kg; or
- (ii) a maximum total crew weight limit of 'Y' (where  $Y = 68\text{kg} \times \text{the number of standard crew members for the boat (X)}$ ) and the organizing authority may permit the boat to be raced with X, X-1 or X+1 crew members.

(b) Grade 4 - Open and Women

Satisfies the criteria for a Grade 5 event and: -

The boats shall have a minimum crew of two.

There shall be a minimum of six skippers entered for the match racing.

There shall be a minimum of two days scheduled for racing.



(c) Grade 3 - Open and Women

Satisfies the criteria for a Grade 4 event and: -

The boats shall have a minimum crew of three.

There shall be a minimum of eight skippers entered for the match racing.

If the event is a principal national championships (Regulation 27.2.3(c)(i) & (ii)):

1. There shall be a minimum of four skippers from the host nation; and

2. The minimum number of skippers is four.

The boats shall have a minimum 5.9 m LOA

There shall be a minimum of four boats available throughout the event, except when a boat that was available at the start of the event is subject to major breakdown or damage.

The boats shall be capable of carrying spinnakers.

Acceptable Race Committee practices.

Acceptable Race Committee and umpire boats.

The event shall be one of the following:

Either:

(i) The principal national championship - only one per nation per year

(ii) The principal youth national championship - one per nation per year

(iii) An international event with a minimum of 30% of the skippers of a different nationality than the host nation, or

(iv) an event, for countries whose Member National Authority is in Groups H to Q, where at least 30% of the skippers are either of a different nationality than that of the host nation, or are nationals of the host nation but have travelled more than 500 km from their ordinary place of residence to the event venue.

For national championships there shall be at least one International Umpire.

For events designated in Regulation 27.2.3(c)(iii) & (iv) there shall be at least two International Umpires.

(d) Grade 1 and Grade 2 - Open and Women

Satisfies the criteria for a Grade 3 event and complies with the World Sailing Standard Guidelines for Grade 1 and Grade 2 Match Racing events as produced by the Match Racing Committee in consultation with the Race Officials Committee. In addition, the event shall meet the following requirements (O = Open, W = Women):

## REGULATIONS

Description	Event Grade			
	2 - O	1 - O	2 - W	1 - W
<b>Competitors</b>				
Skippers - <b>only top 12</b> minimum total points (* and *** see below)	50	100	35	70
Skippers - max % from host MNA	60%	40%	60%	40%
Skippers - max % from same MNA except host.	40%	30%	40%	30%
Skippers - max % from host continent (except for Continental Championships)	100%	85%	100%	85%
Skippers – max % from host continent for Continental Championships	100%	100%	100%	100%
No of skippers to be invited from a qualifying event specified in the Notice of Race (max. grading of qualifying event is Grade 3)	1	2	1	2
<b>Boats General</b>				
Minimum number of boats (** See below)	6	6**	6	6**
Minimum overall length (metres)	6.8	6.8	5.9	5.9
<b>Boats - Provided by organizing authority</b>				
Breakdown/spare service on the water				
<b>Organization</b>				
Format scheduled to include round robins and knock out semi-finals and finals				
Quarter finals may be round robin (preferred) or knock-out				
Knock out rounds to be scheduled to be the first to win minimum number of races	2	3	2	3
Days of scheduled racing	3	4	3	4
Min. number of scheduled practice hours per competitor	2	2	2	2
Min. number of International Umpires - per match <sup>#</sup>	1	1	1	1
International Jury required	*R	Yes	*R	Yes

\* *Recommended*

\*\* *For Grade 1 and 2 events the minimum number of boats should be 50% of the number of skippers.*

\*\*\* *Skipper total points requirement for not apply for Grade 2 continental championships. Only Member National Authorities of that specific Continental Association may participate in such Grade 2 continental championships.*

# *For Grade 1 Open and Women's events Umpires shall be appointed by World Sailing. For details please see World Sailing Regulation 25.8.10. Please e-mail competitions@isaf.com for information*

**\* As World Sailing ranking list 4 months before the first day of the event:**

For each skipper ranked	1 - 10	20 points
For each skipper ranked	11 - 20	15 points
For each skipper ranked	21 - 30	11 points
For each skipper ranked	31 - 40	8 points
For each skipper ranked	41 - 50	6 points
For each skipper ranked	51 - 60	5 points
For each skipper ranked	61 - 70	4 points
For each skipper ranked	71 - 80	3 points
For each skipper ranked	81 - 90	2 points
For each skipper ranked	91 - 100	1 point

The ranking position of women skippers on the World Sailing Women's Ranking List shall be used for Open events when determining Skipper Points for the event.

(e) Grade - WC Open and Women

Meets all the criteria for an World Sailing World Championship and particularly the World Sailing Match Racing World Championship.

(f) Grade – World Sailing Nations Cup Open and Women

Meets the criteria for the World Sailing Nations Cup Regional or Grand Final. Regional Finals will score points equivalent to a Grade 2 event. Grand Finals will score points equivalent to a Grade 1 event.

(g) Requirement for an international jury

For match racing events where an international jury is required, World Sailing may in special circumstances waive this requirement by authorizing a protest committee for the event. An organizing authority may apply to World Sailing for such authorization at the same time as it applies for grading of the event. World Sailing shall consider the following factors:

- (i) the International Judges who are available for the event and their geographical distribution;
- (ii) the financial situation of the event, the budget available for race official travel costs and the estimated travel costs of the International Judges that are available;
- (iii) the qualifications, experience and nationality of the proposed members of the protest committee;
- (iv) whether World Sailing has given authorization previously; and
- (v) any other information ISAF considers relevant.

The decision in respect of authorization shall be determined by the Chairman of the Race Officials Committee, the Chairman of the International Judges Subcommittee and the Chief Executive Officer. World Sailing may impose conditions on any authorization.

#### 27.2.4 Prize Money

Distribution of prize money shall be in accordance with World Sailing Standard Guidelines for Grade 1 and Grade 2 Match Racing events as produced by the Match Racing Committee in consultation with the Race Officials Committee.

Appearance money is discouraged in favour of optimizing prize money.

## 28. RACING RULES ADMINISTRATION

### ***Adoption of and Changes to the Racing Rules***

28.1 The Racing Rules Committee is responsible for advising and making recommendations to Council regarding *The Racing Rules of Sailing* and the various related subjects with which the Racing Rules are concerned, which include but are not limited to the rules that apply when boats meet, management of races, protests and appeals, and rule observance.

28.1.1 Racing Rules shall be adopted or changed only by the Council after considering recommendations from the Racing Rules Committee. Changes in the Racing Rules shall take effect only every four years, on 1 January of the year following the year in which the Olympic Sailing Competition is held. Regulations 28.1.2, 28.1.3, 28.1.4 and 28.2.5 contain exceptions to this policy.

- 28.1.2 In exception to Regulation 28.1.1, any change of clear necessity or pressing importance may be made effective for any designated date by a 75% vote cast in favour of the change by the Racing Rules Committee and by the Council. The World Sailing Secretariat shall promptly report any action taken under this Regulation to all Member National Authorities.
- 28.1.3 In exception to Regulation 28.1.1, the World Sailing Executive Committee, after consulting with the Chairman of the Racing Rules Committee, may, under RRS 86.2, approve changes to the Racing Rules for a specific international event, provided such changes are of clear necessity or pressing importance. The World Sailing Secretariat shall promptly report any action taken under this Regulation to Council and Member National Authorities.
- 28.1.4 In exception to Regulations 28.1 and 28.2, the Council may, at any time and without consulting with the Racing Rules Committee, change the Eligibility, Advertising, Anti-Doping and Sailor Classification Codes (see RRS 5, 75.2 79 and 80).

### ***Procedure for Changing the Racing Rules***

- 28.2 A revised edition of *The Racing Rules of Sailing* shall be published every four years, on 1 January of the year following the year in which the Olympic Sailing Competition is held.
- 28.2.1 Submissions and proposals for changes to the Racing Rules shall be made in accordance with Regulation 15. Submissions and proposals for changes to be included in the next edition shall be accepted until the closing date for submissions to the Annual World Sailing Conference in November of the year preceding the Olympic Sailing Competition. After that date, no further new submissions or proposals will be accepted for that edition. However, previously accepted submissions or proposals may be changed and appendices may be revised until 1 May of the year in which the Olympic Sailing Competition is held.
- 28.2.2 In addition to submissions, the Racing Rules Committee shall also consider proposals and recommendations from:
- (a) the Racing Rules Committee Working Party;
  - (b) the Race Officials Committee and its Sub-committees; and
  - (c) the Match and Team Racing Rules Working Party, on issues affecting the Match Racing and Team Racing Rules.
- 28.2.3 The Racing Rules Committee Working Party shall finalize any rule changes by 1 May of the year in which the Olympic Sailing Competition is held.
- 28.2.4 A final, fully formatted copy of the revised edition of *The Racing Rules of Sailing*, with changes from the previous edition clearly identified for translators, shall be made available by the World Sailing Secretariat to all Member National Authorities and World Sailing Class Associations by 30 June of the year in which the Olympic Sailing Competition is held.
- 28.2.5 The Racing Rules in the new edition of *The Racing Rules of Sailing* shall come into effect worldwide on 1 January of the year following the year in which the Olympic Sailing Competition is held. However, if an event begins in the year of the Olympic Sailing Competition and continues past 31 December, the effective date may be postponed by the notice of race and sailing instructions.

### ***Interpretations of the Racing Rules***

- 28.3 Interpretations of the Racing Rules by World Sailing shall be made only through publication of cases in The Case Book or of calls in *The Call Book for Match Racing* or *The Call Book for Team Racing*. The cases are authoritative interpretations and

explanations of the rules for all racing and the calls are authoritative interpretations and explanations of the rules only for match or team racing.

- 28.3.1 The Racing Rules Committee shall study cases and calls submitted in accordance with Regulation 1, cases proposed by the Case Book Working Party or the Racing Rules Question and Answer Panel, and calls proposed by the Match and Team Racing Rules Working Party, and shall recommend to the Council those that it approves for publication in *The Case Book*, *The Call Book for Match Racing* or *The Call Book for Team Racing*.
- 28.3.2 Submissions or proposals for cases and calls, in English and including any necessary diagrams, shall be received at the World Sailing Secretariat before the deadline in Regulation 15.6. If a submission or proposal duplicates a published case or call, the submission or proposal shall state why the proposed case or call is preferable.
- 28.3.3 The following are guidelines for publishing cases in *The Case Book*:
  - (a) a case shall significantly clarify an important meaning of a rule or increase the understanding of a complex rule;
  - (b) a case shall not duplicate one already published. When a case is an improvement on an existing case it shall be included and the existing case deleted.
- 28.3.4 The following are guidelines for publishing calls in *The Call Book for Match Racing* or *The Call Book for Team Racing*:
  - (a) a call shall illustrate the application of the rules or procedures, including the rules in the Match Racing Rules or the Team Racing Rules appendix, in a situation regularly occurring in match or team racing;
  - (b) a rule interpretation in a call shall be consistent with any cases that interpret the same rule;
  - (c) A call shall not duplicate one already published. When a call is an improvement on an existing call it shall be included and the existing call deleted.
- 28.3.5 The Case Book Working Party shall evaluate proposed cases and edit approved cases, including any changes approved by the Racing Rules Committee. It shall eliminate unnecessary text and use standard wording and format. The Match and Team Racing Rules Working Party shall handle proposed and approved calls in the same way. Following publication of a new edition of *The Racing Rules of Sailing*, the working parties shall promptly review and revise *The Case Book*, *The Call Book for Match Racing*, and *The Call Book for Team Racing*.
- 28.3.6 The Racing Rules Question and Answer Panel shall answer questions on the Racing Rules or race committee or protest committee procedures that are submitted to it by a World Sailing Race Official or a Member National Authority. The procedure that the panel will follow when answering such questions and the Questions and Answers that the panel decides to publish shall be posted on the Racing Rules Question and Answer Service page on the World Sailing website, and a link to that page shall appear on both the Racing Rules and the Race Officials pages. Questions and Answers published during a four-year rulebook cycle will normally be removed from the website no later than 1 January in the year in which a revised rulebook is published. The panel may propose that a published Question and Answer be added to *The Case Book*, *The Call Book for Match Racing* or *The Call Book for Team Racing*.
- 28.3.7 The Match Racing and Team Racing Rapid Response Call Panels shall review proposed rapid response calls from a World Sailing International Umpire or a member of the panel. The chief umpire at an event shall propose new calls made during the event that would benefit other events. The procedure that the panel will follow when reviewing calls and the calls that the panel decides to publish shall be posted on the

Match Racing or the Team Racing Rapid Response Call page on the World Sailing website, and a link to that page shall appear on both the Racing Rules and the Race Officials pages. The Match and Team Racing Rules Working Party will submit calls published prior to 1 August of a given year for inclusion, as appropriate, in *The Call Book for Match Racing* or *The Call Book for Team Racing*.

- 28.3.8 Answers to questions provided by the Racing Rules Question and Answer Panel published on the World Sailing website are not authoritative interpretations and explanations of the Racing Rules. However, they are the carefully considered opinions of an experienced panel whose members have a thorough knowledge of the Racing Rules and extensive experience as competitors or as race officials.

### ***Approval of Interpretations of the Racing Rules***

- 28.4 Except for the publication of the World Sailing case and call books, World Sailing shall not issue an authoritative interpretation or explanation of the Racing Rules unless it is first reviewed and approved by the Chairman of the Racing Rules Committee or a member of the committee designated by the Chairman.

### ***Appeals to the ISAF***

- 28.5 Except as provided in the World Sailing Eligibility Code, Regulation 19.5, the World Sailing shall not accept or decide an appeal from a decision of a Member National Authority.

### ***Introductory Rules for Racing***

- 28.6 The Racing Rules Committee is responsible for the formulation and revision of the Introductory Rules for Racing. The Introductory Rules for Racing are a simplified set of rules intended for use when training people new to racing.

## **29. EQUIPMENT RULES ADMINISTRATION**

### ***Adoption and Changes to the Equipment Rules of Sailing***

- 29.1 The Equipment Control Sub-committee, after consultation with the Chairmen of the Racing Rules Committee, and Oceanic and Offshore Committee is responsible for advising and making recommendations to the Council regarding The Equipment Rules of Sailing (ERS) and the various related subjects with which the ERS are concerned, which include but are not limited to certification control, certification and equipment inspection including sailing instructions concerning equipment inspection, and rules observance. Any such advice or recommendation shall be made through the chairman of the equipment committee
- 29.1.1 The ERS shall be adopted or changed only by the Council after considering recommendations from the Equipment Control Sub-committee. Changes to the ERS shall be put into effect only every four years, effective as of 1 January of the year following the year in which the Olympic Sailing Competition is held. Regulation 29.1.2 contains exceptions to this policy.
- 29.1.2 In exception to Regulation 29.1.1, any change of clear necessity or pressing importance may be made effective for any designated date by a 75% vote cast in favour of the change by the Equipment Control Sub-committee and by Council. The World Sailing Secretariat shall promptly report any action taken under this regulation to all Member National Authorities

### ***Changes to the Equipment Rules of Sailing***

- 29.2 A revised edition of the Equipment Rules of Sailing shall be published every four years, on 1 January of the year following the year in which the Olympic Sailing Competition is held.

- 29.2.1 Submissions and proposals for changes to the ERS shall be made in accordance with Regulation 1. Submissions and proposals for changes to be included in the next edition shall be accepted until the closing date for submissions to the Annual World Sailing Conference in November of the year preceding the Olympic Sailing Competition. After that date, no further new submissions or proposals will be accepted for that edition. However, previously accepted submissions or proposals may be changed and appendices may be revised until 1 May of the year in which the Olympic Sailing Competition is held.
- 29.2.2 In addition to submissions, the Equipment Control Sub-committee shall also consider proposals and recommendations from
  - (a) the ERS Working Party;
  - (b) the Racing Rules Committee;
  - (c) The Equipment Committee;
  - (d) the Class Rules Sub-committee;
  - (e) the Oceanic and Offshore Committee, and;
  - (f) the Race Officials Committee.
- 29.2.3 The ERS Working Party shall finalize any rule changes by 1 May of the year in which the Olympic Sailing Competition is held.
- 29.2.4 A final, fully formatted copy of the revised edition of The Equipment Rules of Sailing, with changes from the previous edition clearly identified for translators, shall be made available by the World Sailing Secretariat to all Member National Authorities and World Sailing Class Associations by 30 June of the year in which the Olympic Sailing Competition is held.
- 29.2.5 The new edition of The Equipment Rules of Sailing shall come into effect worldwide on 1 January of the year following the year in which the Olympic Sailing Competition is held. However, if an event begins in the year of the Olympic Sailing Competition and continues past 31 December, the effective date may be postponed by the notice of race and sailing instructions.

### ***Interpretations of the Equipment Rules of Sailing***

- 29.3 Interpretations of the Equipment Rules of Sailing by World Sailing shall be made only through publication of cases in *The ERS Case Book*. The cases are authoritative interpretations and explanations of the Equipment Rules of Sailing.
- 29.3.1 The Equipment Control Sub-committee shall study cases submitted in accordance with Regulation 1 and shall recommend to the Council those that it approves for publication in the ERS Case Book. Any such recommendation shall be made through the chairman of the Equipment Committee.
- 29.3.2 Submissions or proposals for cases in English and including any necessary diagrams, shall be received at the World Sailing Secretariat before the deadline in Regulation 15.6. If a submission or proposal duplicates a published case, the submission or proposal shall state why the proposed case is preferable.
- 29.3.3 The following are guidelines for publishing cases in *The ERS Case Book*:
  - (a) A case shall significantly clarify an important meaning of a rule or increase the understanding of a complex rule.
  - (b) A case shall not duplicate one already published. When a case is an improvement on an existing case it shall be included and the existing case deleted.
- 29.3.4 Where practical all interpretations shall be considered as possible changes to the ERS-

- 29.3.5 The Equipment Rules of Sailing Question and Answer Panel shall answer questions on the Equipment Rules of Sailing that are submitted to it by a class, a rating rule, a World Sailing International Race Official, or a Member National Authority. The procedure that the panel will follow when answering such questions and the Questions and Answers that the panel decides to publish shall be posted on the Equipment Rules of Sailing Question and Answer Service page on the World Sailing website, and a link to that page shall appear on both the Equipment Rules, World Sailing Classes, Ratings and Handicap and the Race Officials pages. Questions and Answers published during a four-year rulebook cycle will normally be removed from the website no later than 1 January in the year in which a revised rulebook is published. The panel may propose that a published Question and Answer be added to *The ERS Case Book*.
- 29.3.6 Answers to questions provided by the Equipment Rules of Sailing Question and Answer Panel published on the World Sailing website are not authoritative interpretations and explanations of the Equipment Rules of Sailing. However, they are the carefully considered opinions of an experienced panel whose members have a thorough knowledge of the Equipment Rules of Sailing and extensive experience as competitors or as race officials.

### ***Approval of Interpretations of the Equipment Rules***

- 29.4 Except for the publication of the ERS Case Book, World Sailing shall not issue an authoritative interpretation or explanation of the Equipment Rules unless it is first reviewed and approved by the Chairman of the Equipment Control Sub-committee or a member of the committee designated by the Chairman.

## **30. SPECIAL REGULATIONS ADMINISTRATION**

### **30.1 Adoption and changes to Special Regulations**

- 30.1.1 The ORC are the original authors of the Special Regulations and have licensed World Sailing to administer the same. The Special Regulations Sub-committee is responsible for advising on and making recommendations regarding the Special Regulations and all related subjects to which such regulations are concerned. Special Regulations shall be adopted or changed only by the Oceanic and Offshore Committee (on behalf of the Council) after considering recommendations from the Special Regulations Sub-committee.
- 30.1.2 In exception to Regulation 30.2.1 any change of clear necessity or pressing importance may be made effective on any designated date by 75% of the responding votes of both the Special Regulations Sub-committee and the Oceanic and Offshore Committee.
- 30.1.3 Further in exception to Regulations 30.1.1 and 30.2.1 the Chairmen of the Oceanic and Offshore Committee and Special Regulations Sub-committee may together approve exceptions to Special Regulations for special international events in exceptional and particular circumstances. The World Sailing Secretariat shall report the action taken under this regulation at the time to the Oceanic and Offshore Committee and shall circulate details to Member National Authorities.

### **30.2 The Procedure for Changing Special Regulations**

- 30.2.1 Changes in the Special Regulations shall take effect only once every two years on 1 January of an even year, except as provided in Regulation 30.1.2.
- 30.2.2 Proposals for changes and formal submissions may be made by any member of the Special Regulations Sub-Committee, any member of the Oceanic and Offshore Committee (through the Chairman of the Oceanic and Offshore Committee), International or Recognized Rating Systems or any Member National Authority. Submissions and proposals should be lodged with the Secretariat at least six weeks before any meeting of the Special Regulations Sub-Committee, and shall then



immediately be distributed to members thereof who shall, by e-mail and any other means that the Chairman thereof deems appropriate, convene working parties to consider the various proposals and submissions.

- 30.2.3 The Special Regulations Sub-committee shall at their meeting determine those submissions which it proposes to recommend to the Oceanic and Offshore Committee for approval together with the relevant date upon which such change shall become part of the Regulations. It shall further determine those proposed submissions which it recommends for rejection. The Sub-committee shall defer any submissions which either require amendment (unless of a minor nature) or further review and refer them to a working party either of the whole committee or such other working party as it determines, which shall report to the Oceanic and Offshore Committee with its recommendation within four months of the meeting at which such submission was deferred.
- 30.2.4 Those making proposals for discussion and submissions should, unless the change is of a minor nature, submit either an explanation or a paper giving the background and the necessity for such change. Where there are two or more proposals or submissions of a similar nature, the authors thereof shall be immediately advised thereof and be invited to co-ordinate with a view to agreeing a common submission or proposal prior to the next meeting of the Sub-committee
- 30.3 Interpretations to the Special Regulations
- Interpretations to the Special Regulations by World Sailing shall be made only by the Chairman of the Special Regulation Sub-committee after consultation with Chairman of the Oceanic and Offshore Committee and such other members of the Sub-committee as they believe appropriate. At the next meeting following such interpretation, the same shall be reviewed by the Sub-committee and the Sub-committee shall consider whether any amendment to the Special Regulations is necessary or desirable to add clarity. The Sub-committee may also recommend revocation of any such interpretation.

### **SECTION 3 - OFFICIALS**

#### **31. WORLD SAILING RACE OFFICIALS ADMINISTRATION**

- 31.1 The provisions of this regulation affect all International Race Officials, namely International Judges, International Umpires, International Measurers and International Race Officers, International Classifiers, International Expression Judges and International Technical Delegates referred to collectively in this Regulation as World Sailing Race Officials.'
- 31.2 The Race Officials Committee shall appoint or re-appoint World Sailing Race Officials after considering the recommendations of the committee or sub-committee that is responsible for the discipline concerned.
- 31.3 For the purposes of this regulation references to 'Principal Events' shall mean those events described generally or designated as Principal Events by the Race Officials Committee and published on the World Sailing Website. This regulation shall not require the Chief Executive Officer to list each individual event.

#### ***Term of Appointment***

- 31.4 The term of appointment for a World Sailing Race Official shall begin on the date it is made by the Race Officials Committee and,
- (a) if the applicant is less than seventy years old on the date of approval by the Race Officials Committee, the duration of the appointment shall be four years.

- (b) if the applicant is seventy years old or older on the date of approval by the Race Officials Committee, the duration of the appointment shall be two years.

### ***Applications for Appointment and Re-appointment***

- 31.5 A candidate for initial appointment shall:
- (a) be nominated by the candidate's Member National Authority, a Class/Owners Association or the Race Officials Committee;
  - (b) be recommended by the candidate's Member National Authority;
  - (c) send the application on the official form so that it is received by the Chief Executive Officer by 1 September; and
  - (d) meet the general qualifications and the additional qualifications for the discipline concerned.
- 31.6 A candidate for re-appointment shall:
- (a) apply directly to World Sailing;
  - (b) send the application on the official form so that it is received by the Chief Executive Officer by 1 September; and
  - (c) meet the general qualifications and the additional qualifications for the discipline concerned.
- 31.7 World Sailing shall inform the candidate's Member National Authority of the application.
- 31.8 On applying for appointment or re-appointment, all World Sailing Race Officials shall agree that, if aggrieved, they will resort only to the remedies referred to in this regulation, and whether those remedies are exhausted or not, will not resort to any court or other tribunal
- 31.9 A candidate who attends an World Sailing race official seminar or who takes an World Sailing examination agrees to be bound by the provisions of the World Sailing Regulations. Notice of this fact shall be given to the candidate in advance.

### ***General Qualifications required of all World Sailing Race Officials***

- 31.9 A candidate for appointment or re-appointment for any discipline shall:
- (a) except in the case of International Measurers, be an experienced racing sailor;
  - (b) have a sufficient knowledge of the Racing Rules and a detailed knowledge of the rules, manuals and other requirements and publications relating to his discipline;
  - (c) be proficient in the English language and have the skills to communicate both with other race officials and with competitors on matters relating to his discipline;
  - (d) display the temperament and behaviour expected of a World Sailing Race Official at an event;
  - (e) have the health and physical capacity to fulfil the requirements of his discipline;
  - (f) have the observation skills necessary to perform the duties of his discipline;
  - (g) agree to support the policies of World Sailing and further its objectives, rules and regulations;
  - (h) have unimpaired eyesight and hearing, natural or corrected, at a level to enable him to carry out the duties of his discipline; and
  - (i) be able to contribute to the development of the programme relating to his discipline.

- 31.10 Where a candidate is required to have served at an event outside of his Group or Member National Authority the following provisions shall apply:
- (a) If the event is listed in Regulation 25.8.10 it will qualify as such an event even if it has taken place inside his Group or Member National Authority;
  - (b) if the candidate is from Groups A-H, the event shall be outside his Group;
  - (c) if the candidate is from Groups I-Q, the event shall be outside his Member National Authority.

### ***Examinations***

- 31.11 If a candidate for first appointment or re-appointment must pass a World Sailing examination in order to be appointed, the following provisions shall apply:
- 31.11.1 A candidate for first appointment must have passed the examination in the four years prior to 14 October of the year of application;
- 31.11.2 A candidate for re-appointment must have passed the examination in the three years prior to 14 October of the year of application. However, they may pass the examination after 14 October on the following conditions:
- (a) if the examination has not been passed by 1 January in the following year, the Chief Executive Officer may omit or mark the candidate's name accordingly in the World Sailing Yearbook;
  - (b) if all other conditions for appointment are met, the candidate may be re-appointed provided that he passes the examination before 1 May in the following year; and
  - (c) if the examination is not passed by 1 May in the following year, the candidate will, unless there are special circumstances, not be re-appointed but may re-apply in accordance with Regulation 31.17.
- 31.11.3 An examination pass may only be used once to qualify, except that candidates who are aged seventy years or over at the time of appointment may use it twice;
- 31.11.4 A candidate who does not pass an examination may retake it on the following conditions:
- (a) a candidate who fails an examination on the first attempt shall not retake the examination within six months of the first attempt, unless the Chairman of the relevant Sub-Committee for the discipline (or the Chairman of the Race Officials Committee if there is no Sub-committee) is satisfied that there are special circumstances to permit the candidate to retake an examination earlier;
  - (b) a candidate who fails an examination on the second attempt shall not resit the examination, unless the Chairman of the relevant Sub-Committee for the discipline (or the Chairman of the Race Officials Committee if there is no Sub-committee) has considered the candidate's individual case and is satisfied that the candidate should be permitted an additional opportunity to retake an examination; and
  - (c) a candidate who fails an examination on the third attempt shall wait a period of two years before retaking the examination, is not entitled to submit an application during that period and shall then apply as a candidate for first appointment.

### ***Additional Qualifications for Individual Disciplines***

- 31.12 International Judge

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31.12.1 A candidate for first appointment as International Judge shall have complied in the 4 years prior to 14 October of the year of application, with the requirements of System 1, that is to say:

- (a) have attended an World Sailing judging seminar within the four years prior to 14 October of the year of application;
- (b) have passed the World Sailing examination for the discipline in accordance with Regulation 31.11
- (c) have served as a member of a protest committee for at least three principal fleet racing events. At two of these three events the candidate shall have been a member of an International Jury. One of these three events shall have been outside the candidate's Group or Member National Authority; and
- (d) have obtained three completed IJSC reference forms from the relevant jury chairmen. Only IJSC forms completed by International Judges shall be valid for consideration.

31.12.2 A candidate for re-appointment as International Judge shall by 14 October of the year of application either comply with the requirements of System 1 set out in regulation 31.12.1 (except 31.12.1(d)) with the exception of attending an World Sailing judging seminar if they have previously done so or comply with the requirements of System 2, that is to say, candidates for reappointment shall have:

- (a) have passed the World Sailing examination for the discipline in accordance with Regulation 31.11; and
- (b) within the four years prior to 14 October of the year of application, served as a member of a protest committee for at least six principal events, the majority of which shall be fleet racing events. At no less than three of these six events the candidate shall have been a member of an International Jury. At least two of these six events shall have been outside the candidate's Group or Member National Authority.

### 31.13 International Umpire

31.13.1 A candidate for first appointment and re-appointment as an International Umpire shall:

- (a) in the case of applications for first appointment only, have raced recently, preferably match or team racing;
- (b) have consistently demonstrated the following qualifications:
  - (i) be able to apply the relevant rules and make correct decisions within a few seconds under pressure;
  - (ii) anticipation skills based on an understanding of how boats manoeuvre in match and /or team racing;
  - (iii) be able to drive and position small powerboats; and
  - (iv) be fit and able to withstand several consecutive days on the water in small powerboats;
- (c) in the case of applications for first appointment only, have attended a World Sailing Umpiring Seminar within the four years prior to 14 October of the year of application;
- (d) have passed the World Sailing examination for the discipline in accordance with Regulation 31.11;
- (e) in the case of applications for first appointment only, have passed the World Sailing performance assessment at least one time in the four years prior to 14 October of the year of application. The World Sailing performance assessment

is designed to establish that the candidate has the qualifications listed in Regulation 31.12(b);

- (f) have acted as an umpire in at least eight principal events in the four years prior to 14 October of the year of application. One of these events shall be outside the candidate's Group for candidates from Groups A-H, or the candidate's Member National Authority for candidates from Groups I-Q. The events shall include a range of grades;
- (g) in the case of applications for first appointment only, have obtained a completed IUSC Reference Form from the Chief Umpire of at least three of the principal events submitted in accordance with Regulation 31.12(f);
- (h) have contributed to development of the Umpiring Programme, and furthered the objectives, rules and regulations of World Sailing; and
- (i) have demonstrated contributions to umpiring on national level, such as umpiring at national level events, and training and development of umpires on national level.

### 31.14 International Measurer

31.14.1 The appointment is made for a specific Class or Rating System. For the purpose of this Regulation a Rating System, except where otherwise stated, shall be deemed to have the status of a Class.

31.14.2 An International Measurer can only be appointed to a maximum of five Classes subject to meeting the requirements in Regulation 31.13.5.

31.14.3 A candidate for appointment as International Measurer shall:

- (a) have acted as an Equipment Inspector in at least two principal events (as defined by the International Measurers Sub-committee) of the Class he is applying for, within the four years prior to 14 October of the year of application;
- (b) have attended a World Sailing International Measurer's seminar and the four years prior to 14 October of the year of application;
- (c) have passed the World Sailing examination for the discipline in accordance with Regulation 31.11
- (d) have an intimate knowledge of the relevant Class rules and the World Sailing Equipment Rules of Sailing.
- (e) be recommended by the relevant class association or, for Rating Systems, by a World Sailing Rating class, and
- (f) have obtained one completed IMSC reference form from an International Measurer who has served at a principal event with the candidate.

31.14.4 A candidate for re-appointment as International Measurer shall:

- (a) have acted as an Equipment Inspector in two principal events (as defined by the International Measurers Sub-committee) within the four years prior to 14 October of the year of application; one of the events shall be of the Class or Rating System he is applying for. As an alternative, one of the events may be substituted by one hull prototype measurement of the Class he is applying for;
- (b) have an intimate knowledge of the relevant class or Rating System rules and the World Sailing Equipment Rules of Sailing; and
- (c) be recommended by the relevant class association or Rating System.

31.14.5 An International Measurer applying for appointment to an additional Class shall:

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- (a) have acted as an Equipment Inspector in at least two principal events (as determined by the International Measurers Sub-committee) of the Class he is applying for, within the four years prior to 14 October of the year of application;
- (b) have an intimate knowledge of the relevant class rules and the World Sailing Equipment Rules of Sailing.
- (c) be recommended by the relevant class association or, for Rating Systems, by an IS World Sailing AF Rating class.

31.14.6 Once appointed, an International Measurer shall not be employed by or act as a consultant to or regular official measurer at a builder for that class or Rating System

### 31.15 International Race Officer

31.15.1 A candidate for first appointment as International Race Officer shall have complied in the 4 years prior to 14 October of the year of application with the following:

- (a) have served as Race Officer responsible for the management of the races on the water in four principal events. Notwithstanding this requirement, a candidate may count no more than two events in a 4 year period at which he/she served as an International Technical Official (ITO) on the race management team at the Olympic Games, Paralympic Games or Youth Olympic Games, or as Principal Race Officer (PRO), Deputy Race Officer (DRO), Course Representative (CR) in events listed in Regulation 25.8.10;
- (b) have served as Race Officer responsible for the management of the races on the water in at least four other events;
- (c) include with the application a letter of recommendation from a class association or organizing authority of a principal event at which the candidate was a race officer responsible for running the races;
- (d) have knowledge of matters affecting the safety of competitors in respect of race management;
- (e) have experience in race management training;
- (f) have attended a World Sailing Race Management Seminar and have passed the International Race Officers written test;
- (g) have passed the World Sailing examination for the discipline in accordance with Regulation 31.11;
- (h) be prepared to work with the Race Management Sub-committee on race management matters.
- (i) have obtained three completed International Race Officer Reference Forms (on the water assessment) from three different World Sailing Race Officials at least two of which shall be completed by an International Race Officer who observed the candidate's performance on the water.

31.15.2 A candidate for re-appointment as an International Race Officer shall comply with the following:

- (a) the requirements set out in Regulation 31.15.1 with the exception of 31.15.1(f), (g) and (i).
- (b) have completed eight on-line International Race Officer Regatta Report Forms in the role as described in 31.15.1 (a) and (b) and sent digitally to the World Sailing office. (This to be phased in over the next 4 years 2013 -2016 on a pro rata basis).

### ***Considerations for Appointment***

31.16 Each Sub-committee shall consider the following when deciding on an application:

- (a) whether the candidate has complied with the requirements listed above;
  - (b) any comments received by means of Regatta Report Forms;
  - (c) the candidate's relevant experience in other disciplines; and
  - (d) such other information as it may consider relevant.
- 31.17 Candidates for re-appointment who do not meet all the requirements for re-appointment, especially in respect of events attended, may, in special circumstances or on medical grounds, be recommended for re-appointment. In those cases, the Race Officials Committee may approve either:
  - (a) re-appointment of a candidate for a full 4 year term;
  - (b) extension of the existing appointment for 12 months;
- 31.18 Race Officials whose appointments have expired less than 12 months will be considered as candidates for re-appointment and shall meet the general and additional requirements for re-appointment for each discipline.
- 31.19 Race Officials whose appointments have expired for more than 12 months will be considered as candidates for initial appointment and they shall meet the general and additional requirements for initial appointment for each discipline.

### ***Recognition and Designation***

- 31.20 The Race Officials Committee and the Sub-committees may develop and subsequently act in accordance with written procedures for the grouping and classification of World Sailing Race Officials but shall submit the procedures and any changes to the Executive Committee before they are implemented. The procedures submitted shall specify the nature of the groups to be selected and how membership of any grouping panel will be decided and disclosed. After approval the procedures shall be published by World Sailing.
- 31.21 Not used.
- 31.22 Separate lists of World Sailing Race Officials shall be printed in the World Sailing Yearbook with a designation indicating the designation of the Member National Authority of the official.

### ***Other World Sailing Race Officials***

- 31.23.1 The Race Officials Committee may award the following qualifications to individuals after consultation with the following bodies:
  - (a) International Classifier, following consultation with the Disabled Sailing Committee;
  - (b) International Expression Judge, following consultation with the International Judges Sub-committee and the Windsurfing and Kiteboarding Committee; and
  - (c) International Technical Delegate, following consultation with the Executive Committee and Regional Games Committee.
- 31.23.2 Regulations 31.5 and 31.6 do not apply to the appointment or re-appointment of World Sailing Race Officials listed in Regulation 31.23.1. The Race Officials Committee shall establish and publish appointment procedures and criteria for these disciplines after consultation with the bodies listed in Regulation 31.23.1.

### ***Termination of Appointment and Appeals***

- 31.24 An World Sailing Race Official may appeal against the decision of the Race Officials Committee or any other body or person that affects the following:
  - (a) his initial appointment;

- (b) his reappointment; or
- (c) termination of his appointment or restriction of his status.
- (d) any sanction imposed under Regulation 32.10.

31.25 This appeal shall be decided by the World Sailing Judicial Board in accordance with Regulation 35..

### **32. RACE OFFICIALS PERFORMANCE**

32.1 World Sailing shall use the following procedure when it receives a report alleging inadequate conduct or competence of an World Sailing Race Officials.

32.2 To be considered under this Regulation, a report shall be in writing, and the submitter of the report shall accept that his identity is disclosed to the World Sailing Race Official concerned. A report may be submitted by a race official, competitor, technical delegate, Member National Authority or authorized member of the organizing authority or class association. However, the Chief Executive Officer may in his discretion decide that a report from another source is to be considered under this Regulation.

32.3 When World Sailing receives a report alleging inadequate conduct or competence of an World Sailing Race Official, it shall be considered by the Chief Executive Officer and if it needs further action it shall be referred to the Chairman of the Race Officials Committee and a Vice President who shall place it in one of the following three categories:

- (a) Category A: An insignificant report which is considered not to disclose any inadequate conduct or competence of the World Sailing Race Official concerned.
- (b) Category B: A significant report which is considered to allege inadequate conduct or competence of the World Sailing Race Official concerned. A report will be categorized as Category B if it describes a level of competence or conduct clearly below the level required for appointment as an World Sailing Race Official in the relevant discipline.
- (c) Category C: A significant report which is considered to allege inadequate conduct or competence so serious that it requires urgent action, which may include immediate suspension of the World Sailing Race Official concerned while the report is being investigated.

32.4 Where a report is categorized as Category A, no further action shall be taken.

32.5 Where a report or complaint is categorized as Category B, the World Sailing Race Official concerned shall receive an allegation based on the report. The Race Official can either accept the allegation or request an investigation. If the Race Official accepts the allegation, the Chairmen of the Race Officials Committee and all its Sub-committees shall make a decision in accordance with Regulation 32.9. If the Race Official requests an investigation, formal action by World Sailing shall be taken.

32.6 Where a report is categorized as Category C, formal action by World Sailing shall be taken. The Chairman of the Race Officials Committee and a Vice President may suspend the Race Official pending the formal action.

32.7 Where formal action is to be taken, the Chairman of the Race Officials Committee shall appoint a panel of three people to investigate the report. The panel shall include two members who will be members of the Race Officials Committee or its sub-committees and may include one member of other World Sailing committees, sub-committees, commissions or the Judicial Board, if appropriate.

32.8 The panel shall investigate the report and make a recommendation in accordance with Regulation 32.9. The Race Official concerned shall be informed of the investigation at



its inception and be supplied with the report and all relevant documents. He shall be entitled to answer the allegations in writing. If sanctions are recommended they shall be specified. The Chairmen of the Race Officials Committee and all its Sub-committees shall review the recommendation and decide either to confirm it or that the sanction to be imposed shall be less than that recommended.

- 32.9 Recommendations and decisions under this Regulation may be either that no further action is taken, that sanctions shall be imposed or that a report shall be made to the Chief Executive Officer under Regulation 35. The following are examples of sanctions that may be imposed:
- (a) a formal warning shall be given;
  - (b) a reprimand shall be given;
  - (c) the World Sailing Race Official shall not be appointed to or approved for events of a specified grade or type;
  - (d) the World Sailing Race Official shall be supervised when attending an event;
  - (e) the World Sailing Race Official shall attend a seminar before attending a further event;
  - (f) the World Sailing Race Official shall be re-assessed in his discipline, if assessment is a requirement before appointment in that discipline;
  - (g) the World Sailing Race Official shall take a test in the discipline concerned;
  - (h) the World Sailing Race Official shall be suspended from his appointment for a specified period of time followed by a re-evaluation prior to reinstatement or termination of appointment; or
  - (i) the appointment of the World Sailing Race Official shall be terminated.
- 32.10 When a decision is made under this Regulation, the Chairman of the Race Officials Committee shall report it to the Executive Committee.
- 32.11 If the recommendation is that the appointment be terminated, the matter shall be referred to the Executive Committee which shall be the only body with authority to terminate an appointment. The Executive Committee may also direct that the Race Official concerned is ineligible to be appointed as an World Sailing Race Official for such period of time as it thinks fit.
- 32.12 A Race Official may appeal against any sanction imposed under Regulation 32, except a report to the Chief Executive Officer under Regulation 35, to the World Sailing Judicial Board. Any appeal must be lodged in writing with the Chief Executive Officer within thirty days of being notified of a written decision under this Regulation. Appeals to the Judicial Board shall be governed by its rules of procedure. Until the determination of any appeal, the decision made under this Regulation shall remain in force.
- 32.13 The submitter of a report shall be informed of the actions taken by World Sailing, unless the Chairman of the Race Officials Committee decides that this is inappropriate. After a decision has become final, it shall be published by the Chief Executive Officer, unless the Chairman of the Race Officials Committee decides that this inappropriate to do so.

### **33. DESIGNATED NATIONALITY OF WORLD SAILING RACE OFFICIALS**

- 33.1 This regulation applies to all World Sailing Race Officials.
- 33.2 The designated Member National Authority of a World Sailing Race Official shall be that of the country of his nationality unless provided otherwise in this regulation. If that

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country is not within the territory of a Member National Authority he shall not be entitled to be appointed as a World Sailing Race Official.

- 33.3 If a World Sailing Race Official is appointed as a World Sailing Race Official in more than one discipline, his designated Member National Authority shall be the same for each appointment at all times.
- 33.4 On first application:
- (a) a candidate who is a national of two or more countries; or
  - (b) a candidate who has been ordinarily resident in a country other than that of his nationality for three or more years; or
  - (c) a candidate who has been ordinarily resident for three or more years in a part of a country where that part is represented by a different Member National Authority to the rest of that country shall specify the Member National Authority which he wishes to be his designated Member National Authority.
- 33.5 A World Sailing Race Official may apply to change his designation in the same circumstances as those specified in Regulation 33.4. However if he intends to change on the ground set out in regulation 33.4(a) he shall give to World Sailing three years' notice in writing; if he intends to change on the ground set out in regulation 33.4(b) or (c) he shall give to World Sailing one year's notice in writing which may be given before the expiration of the required three years of ordinary residence.
- 33.6 World Sailing Race Officials shall comply with the requirements of their designated Member National Authority. A World Sailing Race Official who elects to change his designated Member National Authority shall comply with the requirements for World Sailing Race Officials of the new Member National Authority and shall attach to his application the written consent of the Member National Authority. However such consent shall not be unreasonably withheld or delayed by the Member National Authority.
- 33.7 If part of a country such as an associated state, province or overseas department, a country or colony acquires independence and becomes a new country or is incorporated into or is associated with another country, and if that country becomes the territory of a Member National Authority, the designation of a World Sailing Race Official who acquires a new nationality as a result shall be changed to the new country. If the country does not become the territory of a Member National Authority, the World Sailing Race Official shall cease to be such unless he qualifies under Regulation 33.4(a), in which case the requirement as to notice shall be waived.
- 33.8 In all cases not expressly addressed in this regulation, the Executive Committee may make such designation as it considers the fairest in all the circumstances having considered any recommendations made by the Race Officials Committee.
- 33.9 A World Sailing Race Official may appeal to the Judicial Board against any decision of and in respect of any unreasonable delay by World Sailing or by a Member National Authority in which case the Race Officials Committee shall be a party to the appeal. If the Judicial Board considers that the appeal is justified, it shall refer the matter to the Executive Committee which shall act in accordance with Regulation 33.8.
- 33.10 The designated member National Authority of each race official shall be printed in the World Sailing Yearbook.

## 34. CONFLICT OF INTEREST

- 34.1 A conflict of interest exists when a World Sailing Race Official has, or reasonably appears to have, a personal or financial interest which could affect the official's ability to be impartial.

- 34.2 When a World Sailing Race Official is invited to serve at a regatta he/she shall consult the "Race Officials Committee Guidelines for Assessing a Conflict of Interest for Race Officials" as published on the World Sailing website and act in accordance with these guidelines, which may be to decline the invitation.
- 34.3 When the World Sailing Race Official has any doubt, on the action to take he/she shall promptly consult World Sailing, prior to accepting the invitation and be bound by its decision.
- 34.4 When, at an event, a World Sailing Race Official becomes aware of a conflict of interest, the official he/she shall disclose the potential conflict to the International Jury which shall take appropriate action in accordance with the "Race Officials Committee Guidelines for Assessing a Conflict of Interest for Race Officials" as published on the World Sailing website.

### **35 MISCONDUCT AND DISCIPLINE (see Appendix 6)**

### **36 ETHICS**

#### ***Establishing and amending the Code of Ethics***

- 36.1 There shall be a body of rules concerning the ethical behaviour and standards known as the World Sailing Code of Ethics ("the Code").
- 36.2 World Sailing Officers, members of the General Assembly, members of Council, members of World Sailing Committees, Commissions and working parties, World Sailing Race Officials and all other World Sailing Representatives (the "Parties") shall comply with the Code.
- 36.3 The Code shall be adopted by Council from time to time.

#### ***Complaints under the Code***

- 36.4 Any complaint, allegation or report that a breach of the Code has been or may have been committed by a Party (a "Complaint") shall be submitted in writing to the Chief Executive Officer, who shall refer it to the Ethics Commission.
- 36.5 If the Commission believes that the Complaint shows there is a case to answer, it shall commence a formal investigation. If the Commission does not believe the Complaint shows there is a case to answer, he shall inform the person who submitted the Complaint and the Chief Executive Officer of the decision.
- 36.6 Once a formal investigation into a Complaint has been commenced by the Ethics Commission, the Commission shall be solely responsible for investigation and reporting on it.

#### ***Investigations***

- 36.7 The Ethics Commission shall investigate the Complaint and may take such evidence as it considers necessary. In particular, the Commission may inspect the books and records of World Sailing, interview any person (with their consent) and seek outside advice and assistance if necessary. The Commission's rules of procedure may include the power to delegate the investigation of a Complaint to a panel of not less than three members of the Commission.
- 36.8 After an investigation is complete, the Ethics Commission shall prepare a written report of its findings, opinions and recommendations for the Executive Committee.

#### ***Report***

- 36.9 The report of the Ethics Commission shall be sent to the Chief Executive Officer, who shall refer it to the Executive Committee. The Executive Committee shall consider the report and may then refer the case to the Judicial Board under Regulation 35. If the

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Complaint concerns a member of the Executive Committee, the report shall instead be referred to Council.

- 36.10 After the conclusion of any case, the report of the Ethics Commission and the decision of the Executive Committee shall be published and brought to the attention of Council.

### ***Sanctions***

- 36.11 The sanctions that may be applied by the Judicial Board for a breach of the Code shall be set out in the Code itself.

## APPENDIX 1 – ADVERTISING CODE

## 20. WORLD SAILING ADVERTISING CODE

## 20.1 Definitions

20.1.1 The following definitions shall apply to this World Sailing Advertising Code only:

"Advertising"	a name, logo, slogan, description, depiction, a variation or distortion thereof, or any other form of communication that promotes an organization, person, product, service, brand or idea so as to call attention to it or to persuade persons or organizations to buy, approve or otherwise support it
"Bow Number"	an identifier assigned to a <b>boat</b> by the Organising Authority which shall be displayed on the bow of that <b>boat</b> . It may be a combination of numbers and letters and may include Advertising
"Code"	this Regulation 20 including Table 1 and Table 2
"Competitor"	a person on board a <b>boat</b> while Racing (as defined below), but excluding persons placed on board by the Organizing Authority or by the race committee
"Racing"	the period of time defined in the RRS
"Recognized System"	any System (as defined below) recognized by World Sailing <sup>1</sup>
"Person in Charge"	the person designated in RRS 46
"System"	any handicapping and rating method that provides a correction method to rank unequal <b>boats</b> on the same ranking list

20.1.2 Unless defined above, capitalized words are defined at the start of the Regulations.

20.1.3 A definition in the singular includes the plural, in the masculine gender includes the feminine gender. A term used as defined in the Equipment Rules of Sailing (ERS) is printed in **bold type**.

## 20.2 General

20.2.1 Advertising on a **boat**, **personal equipment** or any other object on board a **boat** shall be displayed in accordance with this Code, the applicable **class rules** and the rules of the relevant System. Advertising that is not specifically permitted under this Code is prohibited.

20.2.2 This Code applies to **boats** and Competitors while Racing and at all other times when so prescribed herein.

20.2.3 The right to display Advertising on a **boat**:

20.2.3.1 shall be subject to prior authorization of World Sailing in the following cases:

- (a) non-World Sailing Classes and non-Recognized Systems with scheduled racing in more than three countries;
- (b) Oceanic events;
- (c) series of events scheduled to take place in more than one country;
- (d) International Events with the same sponsor;

<sup>1</sup> As of February 2013 World Sailing recognizes three Systems: ORC International, IRC and ORC Club.

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- (e) the America's Cup and all qualifying events for the America's Cup;  
and in such cases World Sailing may agree in writing any departure from provisions of the Code on a case by case basis;
- 20.2.3.2 is automatically granted to World Sailing Classes and Recognized Systems, unless subject to Regulation 20.2.3.1;
- 20.2.3.3 shall be subject to prior authorization of the relevant National Authority for all Classes, Systems and events that are within its jurisdiction and not subject to Regulation 20.2.3.1 or 20.2.3.2.
- 20.2.4 Any Advertising and anything advertised shall meet generally accepted moral and ethical standards. Any Advertising which is political, religious, racial or propaganda shall not be displayed on a **boat**, **personal equipment** or any other object on board a **boat** while Racing. Attention is also drawn to the laws of individual nations which may restrict Advertising within their territory or territorial waters.
- 20.2.5 A Competitor may choose not to display Advertising required under Regulations 20.4 or 20.6 which is for alcohol or tobacco, or which he genuinely objects to for substantive moral, political or religious reasons.
- 20.2.6 Advertising on **sails** shall be clearly separated from national letters and sail numbers and from Class insignia unless it is part thereof.
- 20.2.7 With the exception of Regulations 20.7 and 20.9, this Code shall not apply at events at which the International Olympic Charter applies, either directly (e.g. Olympic Games) or indirectly by reference to it made in the charter of the relevant organization (e.g. Regional Games as provided for in Regulation 25).
- 20.2.8 With the prior written approval of World Sailing and in accordance with such approval, the provisions of this Code, with the exception of Regulations 20.7 and 20.9, may be waived at Regional Games as provided for in Regulation 25.
- 20.3 Competitor's Advertising
  - 20.3.1 Each Competitor, with the agreement of the Person in Charge, may display Advertising on **personal equipment** except that bibs provided by the Organizing Authority shall be worn as detailed in Regulation 20.4.
  - 20.3.2 Subject to any limitations on Advertising in the applicable **class rules** or the rules of the relevant System, Advertising chosen by the Person in Charge may be displayed on a **boat** or part thereof, except on the areas detailed in Regulation 20.4 and Table 1.
- 20.4 Event Advertising
  - 20.4.1 Subject to the provisions of Regulation 20.6, the Organizing Authority may require that **boats** display the Advertising listed in this Regulation 20.4.1, provided that such requirement is stated in the notice of race and that the Organizing Authority provides the **boats** with the needed materials (stickers, flags, etc.):
    - 20.4.1.1 Bow Numbers at all times, and
    - 20.4.1.2 Advertising while Racing in accordance with the provisions of Table 1, and
    - 20.4.1.3 sponsor's flag(s) on the **backstay** or **shroud** at all times in accordance with the provisions of Table 1.
    - 20.4.1.4 Event advertising shall be displayed on the forward part of each side of the **boom** in accordance with Table 1 unless, the Class has prescribed in their class rules that Event Advertising shall instead be displayed on the aft part of each side of the **boom**.

- 20.4.2 When a **boat** or part thereof (e.g. **hull, spar, sails**) is supplied by the Organizing Authority, all Advertising permitted by this Code on the supplied **boat** or part thereof is available to the Organizing Authority.
- 20.4.3 At all World Sailing events and at the Sailing World Cup events a bib, or its equivalent, shall be worn by the Competitors in accordance with the notice of race and sailing instructions. Advertising displayed on such bib, or its equivalent, is reserved for World Sailing unless previously agreed otherwise with World Sailing in writing.
- 20.4.4 At all windsurfing and kiteboard events other than those indicated in Regulation 20.4.3 a bib, or its equivalent, shall be worn by the Competitors in accordance with the notice of race and sailing instructions. Advertising displayed on such bib, or its equivalent, is reserved for the Organizing Authority.
- 20.5 Class Rules and rules of a System
- 20.5.1 Except as provided for in this Regulation 20.5, the **class rules** and the rules of a System may prohibit or limit the right to display Advertising on the **boat** as set forth in Regulation 20.3. If the **class rules** or the rules of a System do not prohibit or limit the right to display Advertising, it shall be permitted.
- 20.5.2 In case of conflict between the applicable **class rules** and the rules of a System in respect of the right to display Advertising, the more limiting rules shall prevail.
- 20.5.3 The transitional provisions in this Regulation 20.5.3 shall cease to apply after 31st December 2012:
- When the **class rules** or the rules of a System in force on 31st October 2008:
- (a) prohibited the display of Advertising or did not prescribe about Advertising, such rules shall be deemed to prohibit Advertising until they prescribe otherwise in compliance with this Code;
  - (b) prescribed to limit the right to display Advertising, such rules shall be deemed to prescribe the same limitation until they prescribe otherwise in compliance with this Code.
- 20.5.4 The **class rules** of a **boat** selected by World Sailing as equipment at a future Olympic Sailing Competition shall not prohibit or limit in any way the right to display Advertising while Racing except it may limit or prohibit, where applicable, the right to display Advertising on the jib sail.
- 20.5.5 **Class rules** and the rules of a System may permit or require a **boat** to display the national flag and/or the name of the Competitor on the **mainsail** and shall state the size and location for each. Such permission or requirement shall not be deemed to constitute a prohibition or a limitation of the right of the Competitor to display Advertising.
- 20.6 Sponsor's Advertising of World Sailing Classes and Recognized Systems
- 20.6.1 A World Sailing Class and a Recognized System may enter into a contract with a sponsor which will require the **boats** of that World Sailing Class or certified under that Recognized System to display such sponsor's Advertising provided that:
- (a) the relevant Class Association or the governing body of the Recognized System, in accordance with its rules, has previously approved the principle of a sponsorship contract; and
  - (b) the displaying of such sponsor's Advertising is restricted to areas reserved to the Organizing Authority as detailed in Regulation 20.4 and Table 1; and
  - (c) the **boats** are only required to display such sponsor's Advertising at events at which that World Sailing Class or that Recognized System is the Organizing Authority, or at events for which that World Sailing Class or that Recognized

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System have executed a written agreement with the Organizing Authority permitting the **boats** to display such sponsor's Advertising.

20.6.2 The National Class association of an World Sailing Class or the national governing body of a Recognized System shall not, without the prior written consent of respectively the World Sailing Class Association or the international governing body, enter into a sponsorship contract requiring the **boats** to display Advertising.

20.6.3 A **boat**, whose Person in Charge has agreed with his own National Authority that such **boat** will display Advertising that would conflict with the sponsor's Advertising under Regulation 20.6.1, shall not be required to display such sponsor's Advertising.

### 20.7 Manufacturer's and Sailmaker's Marks

20.7.1 The display of the manufacturer's and sailmaker's marks is permitted at all times as detailed in Table 2 but not on areas detailed in Regulation 20.4 and Table 1 and shall not constitute a limitation to the rights to display Advertising as set forth in the Code, in the **class rules** and in the rules of a System.

20.7.2 A manufacturer's mark may include the name, logo or other identification marks of the designer or manufacturer of the equipment.

20.7.3 A sailmaker's mark may include the name, logo or other identification marks of the sailmaker or of the **sail** cloth manufacturer or the pattern or model of the **sail**.

### 20.8 Fees

20.8.1 World Sailing or the National Authority, as appropriate, may charge a fee when granting authorization under Regulation 20.2.3.

20.8.2 When a Person in Charge of a **boat** chooses to display Advertising pursuant to Regulation 20.3.2, the National Authority of such Person in Charge, and not any other National Authority, may impose an annual fee for that **boat**.

20.8.3 A **boat** shall not be required to pay a fee for displaying Advertising pursuant to this Code except in accordance with this Regulation 20.8.

### 20.9 Protests

20.9.1 Protests alleging a breach of this Code shall be governed by Part 5 of the RRS.

20.9.2 When, after finding the facts at a protest hearing, the protest committee decides that a **boat** and/or Competitor is in breach of any provision of this Code, it shall:

- (a) warn the Person in Charge of the **boat** or the Competitor; or
- (b) impose a penalty on the **boat** in the race or the series; or
- (c) make any other arrangement deemed equitable which may be to impose no penalty.



**Table 1 - Event Advertising**

Allowed Advertising - see regulation 20.4.1

	<b>Hull</b>	<b>Boom</b>	<b>Backstay</b> and Kite line	<b>Sails and Kites</b>
Boat Type or Size	On each side of the <b>hull</b> , but not aft of the longitudinal distance stated from the foremost point on the <b>hull</b>	On the forward part of each side of the <b>boom</b>	A flag, attached to a <b>backstay</b> or kite line, fitting in the following rectangle sizes (2)	On each side of the <b>sails</b>
Boat less than 2.5m <b>hull length</b> (1)	40% of <b>hull length</b>	Not exceeding 20% of the <b>boom</b> length	No Advertising	No Advertising
Boat between 2.5m and 8m <b>hull length</b> (1)	Greater of 1m or 25% of <b>hull length</b>		One flag 750mm x 500mm	
Boat over 8m to 15m <b>hull length</b> (1)	Greater of 2m or 20% of <b>hull length</b>		One flag (or up to two in case of a <b>boat</b> without centreline <b>backstay</b> ) 1900mm x 1400mm	
Boat over 15m <b>hull length</b> (1)	Greater of 3m or 15% of <b>hull length</b>		One flag (or up to two in case of a <b>boat</b> without centreline <b>backstay</b> ) 2100mm x 1600mm	
Windsurfer	No Advertising	No Advertising	No Advertising	Not exceeding 0.4 sq m, placed between the sail numbers and the <b>boom</b> (wishbone) and aft of the <b>foot median</b> line
Kiteboard	Any 25% of the area of the top and bottom surfaces	Not applicable	Up to two flags 150mm x 150mm	No Advertising
Radio-controlled boat	40% of <b>hull length</b>	No Advertising	No Advertising	No Advertising
Boat selected by World Sailing as equipment at a future Olympic Sailing Competition	Greater of 1m or 25% of <b>hull length</b>	Not exceeding 20% of the <b>boom</b> length	One flag 750mm x 500mm	Jib - 60% of the sail area, Mainsail – No Advertising, Spinnaker – No Advertising

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- (1) In this table, the word 'boat' does not include windsurfers, kiteboards or radio-controlled boats.
- (2) If the boat has no **backstay**, the notice of race may require that the flag is attached to a **shroud**.

When a boat has a bow or forward transom, Advertising shall be allowed on this space in addition to the **hull** area stated in the table above.

**Table 2 – Manufacturer's and Sailmaker's Marks**

Allowed marks - see regulation 20.7.1

	<b>Hull</b>	<b>Spars</b> and Equipment	<b>Sails</b> and Kites
Boat Type or Size	On each side of the <b>hull</b> , and may include the name or mark of the designer or builder	On each side of <b>spars</b> and on each side of other equipment	On each side of <b>sails</b> and kites
Boat less than 2.5m <b>hull length</b> (1)	One mark to fit within a rectangle measuring 15% of <b>hull length</b> x 150mm	One mark not exceeding 300mm length	One mark to fit within a 150mm x 150mm square. Except on spinnakers, no part of the mark shall be placed farther than the greater of 300mm or 15% of <b>foot length</b> from the <b>tack point</b>
Boat 2.5m or greater <b>hull length</b> (1)	One mark to fit within a rectangle measuring 500mm x 150mm		
Windsurfer	No restriction	One mark not exceeding 300mm length	One mark to fit within a 150mm x 150mm square. No part of the mark shall be placed farther than 20% of <b>foot length</b> from the <b>tack point</b> or 500mm from the <b>clew point</b>
Kiteboard	No restriction	Not applicable	No restriction
Radio-controlled boat	One mark to fit within a rectangle measuring 15% of <b>hull length</b> x 150mm	One mark not exceeding <b>50mm</b> length	One mark to fit within a 50mm diameter circle

- (1) In this table, the word 'boat' does not include windsurfers, kiteboards or radio-controlled boats.

## APPENDIX 2 – ANTI-DOPING CODE

## 21. ANTI-DOPING CODE

## INTRODUCTION

***Preface***

These Anti-Doping Rules are adopted and implemented in accordance with the International Sailing Federation (World Sailing)'s responsibilities under the Code, and in furtherance of World Sailing's continuing efforts to eradicate doping in sport.

These Anti-Doping Rules are sport rules governing the conditions under which sport is played. Aimed at enforcing anti-doping principles in a global and harmonized manner, they are distinct in nature from criminal and civil laws, and are not intended to be subject to or limited by any national requirements and legal standards applicable to criminal or civil proceedings. When reviewing the facts and the law of a given case, all courts, arbitral tribunals and other adjudicating bodies should be aware of and respect the distinct nature of these Anti-Doping Rules implementing the Code and the fact that these rules represent the consensus of a broad spectrum of stakeholders around the world as to what is necessary to protect and ensure fair sport.

***Fundamental Rationale for the Code and World Sailing's Anti-Doping Rules***

Anti-doping programs seek to preserve what is intrinsically valuable about sport. This intrinsic value is often referred to as "the spirit of sport". It is the essence of Olympism, the pursuit of human excellence through the dedicated perfection of each person's natural talents. It is how we play true. The spirit of sport is the celebration of the human spirit, body and mind, and is reflected in values we find in and through sport, including:

- Ethics, fair play and honesty
- Health
- Excellence in performance
- Character and education
- Fun and joy
- Teamwork
- Dedication and commitment
- Respect for rules and laws
- Respect for self and other *Participants*
- Courage
- Community and solidarity

Doping is fundamentally contrary to the spirit of sport.

***Scope of these Anti-Doping Rules***

These Anti-Doping Rules shall apply to World Sailing and to each of its *Member National Authorities (MNAs)*. They also apply to the following *Athletes, Athlete Support Personnel* and other *Persons*, each of whom is deemed, as a condition of his/her membership, accreditation and/or participation in the sport, to have agreed to be bound by these Anti-Doping Rules, and to have submitted to the authority of World Sailing to enforce these Anti-Doping Rules and to the jurisdiction of the hearing panels specified in Regulation 8 and Regulation 13 to hear and determine cases and appeals brought under these Anti-Doping Rules:

- (a) all *Athletes* and *Athlete Support Personnel* who are members of World Sailing, or of any *MNA*, or of any member or affiliate organization of any *MNA* (including any clubs, teams, associations or leagues);
- (b) all *Athletes* and *Athlete Support Personnel* participating in such capacity in *Events, Competitions* and other activities organized, convened, authorized or recognized by

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- World Sailing, or any *MNA*, or any member or affiliate organization of any *MNA* (including any clubs, teams, associations or leagues), wherever held;
- (c) any other *Athlete* or *Athlete Support Personnel* or other *Person* who, by virtue of an accreditation, a licence or other contractual arrangement, or otherwise, is subject to the jurisdiction of World Sailing, or of any *MNA*, or of any member or affiliate organization of any *MNA* (including any clubs, teams, associations or leagues), for purposes of anti-doping; and
- (d) *Athletes* who are not regular members of World Sailing or of one of its *MNAs* but who want to be eligible to compete in a particular *International Event*. World Sailing may include such *Athletes* in its *Registered Testing Pool* so that they are required to provide information about their whereabouts for purposes of *Testing* under these Anti-Doping Rules for at least one month prior to the *International Event* in question.

Within the overall pool of *Athletes* set out above who are bound by and required to comply with these Anti-Doping Rules, the following *Athletes* shall be considered to be *International-Level Athletes* for purposes of these Anti-Doping Rules, and therefore the specific provisions in these Anti-Doping Rules applicable to *International-Level Athletes* (as regards *Testing* but also as regards *TUEs*, whereabouts information, results management, and appeals) shall apply to such *Athletes*:

- (a) *Athletes* who compete in any of the following *International Events*:

Sailing World Cup  
Sailing World Championship  
Youth Sailing World Championships  
Para World Sailing Championship  
Olympic Games  
Paralympic Games

And any other events that World Sailing adds to the recognized International Events on the World Sailing Anti-Doping microsite found at [www.sailing.org](http://www.sailing.org).

## DEFINITIONS

**ADAMS:** The Anti-Doping Administration and Management System is a Web-based database management tool for data entry, storage, sharing, and reporting designed to assist stakeholders and WADA in their anti-doping operations in conjunction with data protection legislation.

**Administration:** Providing, supplying, supervising, facilitating, or otherwise participating in the *Use* or *Attempted Use* by another *Person* of a *Prohibited Substance* or *Prohibited Method*. However, this definition shall not include the actions of bona fide medical personnel involving a *Prohibited Substance* or *Prohibited Method* used for genuine and legal therapeutic purposes or other acceptable justification and shall not include actions involving *Prohibited Substances* which are not prohibited in *Out-of-Competition Testing* unless the circumstances as a whole demonstrate that such *Prohibited Substances* are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

**Adverse Analytical Finding:** A report from a WADA-accredited laboratory or other WADA-approved laboratory that, consistent with the International Standard for Laboratories and related Technical Documents, identifies in a *Sample* the presence of a *Prohibited Substance* or its *Metabolites* or *Markers* (including elevated quantities of endogenous substances) or evidence of the *Use* of a *Prohibited Method*.

**Adverse Passport Finding:** A report identified as an *Adverse Passport Finding* as described in the applicable *International Standards*.

**Anti-Doping Organization:** A *Signatory* that is responsible for adopting rules for initiating, implementing or enforcing any part of the *Doping Control* process. This includes, for example, the International Olympic Committee, the International Paralympic Committee, other *Major*

*Event Organizations* that conduct *Testing* at their *Events*, *WADA*, International Federations, and *National Anti-Doping Organizations*.

*Athlete*: Any *Person* who competes in sport at the international level (as defined by each International Federation), or the national level (as defined by each *National Anti-Doping Organization*). An *Anti-Doping Organization* has discretion to apply anti-doping rules to an *Athlete* who is neither an *International-Level Athlete* nor a *National-Level Athlete*, and thus to bring them within the definition of “*Athlete*.” In relation to *Athletes* who are neither *International-Level* nor *National-Level Athletes*, an *Anti-Doping Organization* may elect to: conduct limited *Testing* or no *Testing* at all; analyze *Samples* for less than the full menu of *Prohibited Substances*; require limited or no whereabouts information; or not require advance *TUEs*. However, if an Regulation 21.2.1, 21.2.3 or 21.2.5 anti-doping rule violation is committed by any *Athlete* over whom an *Anti-Doping Organization* has authority who competes below the international or national level, then the *Consequences* set forth in the *Code* (except Regulation 21.14.3(b)) must be applied. For purposes of Regulation 21.2.8 and Regulation 21.2.9 and for purposes of anti-doping information and education, any *Person* who participates in sport under the authority of any *Signatory*, government, or other sports organization accepting the *Code* is an *Athlete*.

*Athlete Biological Passport*: The program and methods of gathering and collating data as described in the International Standard for Testing and Investigations and International Standard for Laboratories.

*Athlete Support Personnel*: Any coach, trainer, manager, agent, team staff, official, medical, paramedical personnel, parent or any other *Person* working with, treating or assisting an *Athlete* participating in or preparing for sports *Competition*.

*Attempt*: Purposely engaging in conduct that constitutes a substantial step in a course of conduct planned to culminate in the commission of an anti-doping rule violation. Provided, however, there shall be no anti-doping rule violation based solely on an *Attempt* to commit a violation if the *Person* renounces the *Attempt* prior to it being discovered by a third party not involved in the *Attempt*.

*Atypical Finding*: A report from a *WADA*-accredited laboratory or other *WADA*-approved laboratory which requires further investigation as provided by the International Standard for Laboratories or related Technical Documents prior to the determination of an *Adverse Analytical Finding*.

*Atypical Passport Finding*: A report described as an *Atypical Passport Finding* as described in the applicable *International Standards*.

*CAS*: The Court of Arbitration for Sport.

*Code*: The World Anti-Doping Code.

*Competition*: A single race, match, game or singular sport contest. For example, a basketball game or the finals of the Olympic 100-meter race in athletics. For stage races and other sport contests where prizes are awarded on a daily or other interim basis the distinction between a *Competition* and an *Event* will be as provided in the rules of the applicable International Federation.

*Consequences of Anti-Doping Rule Violations (“Consequences”)*: An *Athlete's* or other *Person's* violation of an anti-doping rule may result in one or more of the following: (a) *Disqualification* means the *Athlete's* results in a particular *Competition* or *Event* are invalidated, with all resulting *Consequences* including forfeiture of any medals, points and prizes; (b) *Ineligibility* means the *Athlete* or other *Person* is barred on account of an anti-doping rule violation for a specified period of time from participating in any *Competition* or other activity or funding as provided in Regulation 21.10.12(a); (c) *Provisional Suspension* means the *Athlete* or other *Person* is barred temporarily from participating in any *Competition* or activity prior to the final decision at a

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hearing conducted under Regulation 21.8; (d) *Financial Consequences* means a financial sanction imposed for an anti-doping rule violation or to recover costs associated with an anti-doping rule violation; and (e) *Public Disclosure or Public Reporting* means the dissemination or distribution of information to the general public or *Persons* beyond those *Persons* entitled to earlier notification in accordance with Regulation 21.14. Teams in *Team Sports* may also be subject to *Consequences* as provided in Regulation 21.11 of the *Code*.

*Contaminated Product*: A product that contains a *Prohibited Substance* that is not disclosed on the product label or in information available in a reasonable Internet search.

*Disqualification*: See *Consequences of Anti-Doping Rule Violations* above.

*Doping Control*: All steps and processes from test distribution planning through to ultimate disposition of any appeal including all steps and processes in between such as provision of whereabouts information, *Sample* collection and handling, laboratory analysis, *TUEs*, results management and hearings.

*Event*: A series of individual *Competitions* conducted together under one ruling body (e.g., the Olympic Games, FINA World Championships, or Pan American Games).

*Event Venues*: Those venues so designated by the ruling body for the *Event*.

*Event Period*: The time between the beginning and end of an *Event*, as established by the ruling body of the *Event*.

*Fault*: *Fault* is any breach of duty or any lack of care appropriate to a particular situation. Factors to be taken into consideration in assessing an *Athlete* or other *Person's* degree of *Fault* include, for example, the *Athlete's* or other *Person's* experience, whether the *Athlete* or other *Person* is a *Minor*, special considerations such as impairment, the degree of risk that should have been perceived by the *Athlete* and the level of care and investigation exercised by the *Athlete* in relation to what should have been the perceived level of risk. In assessing the *Athlete's* or other *Person's* degree of *Fault*, the circumstances considered must be specific and relevant to explain the *Athlete's* or other *Person's* departure from the expected standard of behavior. Thus, for example, the fact that an *Athlete* would lose the opportunity to earn large sums of money during a period of *Ineligibility*, or the fact that the *Athlete* only has a short time left in his or her career, or the timing of the sporting calendar, would not be relevant factors to be considered in reducing the period of *Ineligibility* under Regulation 21.10.5(a) or 21.10.5(b).

*Financial Consequences*: see *Consequences of Anti-Doping Rule Violations*, above.

*In-Competition*: For purposes of differentiating between *In-Competition* and *Out-of-Competition Testing*, for *Events* for which World Sailing is the ruling body, *In-Competition* is defined as that period of time between the scheduled time of the warning signal of the first race of the *Event*, until to the protest time limit following the final race of the *Event*.

*Independent Observer Program*: A team of observers, under the supervision of WADA, who observe and provide guidance on the *Doping Control* process at certain *Events* and report on their observations.

*Individual Sport*: Any sport that is not a *Team Sport*.

*Ineligibility*: See *Consequences of Anti-Doping Rule Violations* above.

*International Event*: An *Event* or *Competition* where the International Olympic Committee, the International Paralympic Committee, an International Federation, a *Major Event Organization*, or another international sport organization is the ruling body for the *Event* or appoints the technical officials for the *Event*.

**International-Level Athlete:** *Athletes* who compete in sport at the international level, as defined by each International Federation, consistent with the International Standard for Testing and Investigations. For the sport of Sailing, *International-Level Athletes* are defined as set out in the Scope section of the Introduction to these Anti-Doping Rules.

**International Standard:** A standard adopted by WADA in support of the *Code*. Compliance with an *International Standard* (as opposed to another alternative standard, practice or procedure) shall be sufficient to conclude that the procedures addressed by the *International Standard* were performed properly. *International Standards* shall include any Technical Documents issued pursuant to the *International Standard*.

**Major Event Organizations:** The continental associations of *National Olympic Committees* and other international multi-sport organizations that function as the ruling body for any continental, regional or other *International Event*.

**Marker:** A compound, group of compounds or biological variable(s) that indicates the *Use* of a *Prohibited Substance* or *Prohibited Method*.

**Metabolite:** Any substance produced by a biotransformation process.

**Minor:** A natural *Person* who has not reached the age of eighteen years.

**National Anti-Doping Organization:** The entity(ies) designated by each country as possessing the primary authority and responsibility to adopt and implement anti-doping rules, direct the collection of *Samples*, the management of test results, and the conduct of hearings at the national level. If this designation has not been made by the competent public authority(ies), the entity shall be the country's *National Olympic Committee* or its designee.

**National Event:** A sport *Event* or *Competition* involving *International-* or *National-Level Athletes* that is not an *International Event*.

**MNA:** A national or regional entity which is a member of or is recognized by World Sailing as the entity governing World Sailing's sport in that nation or region. A *Relevant MNA* means the *MNA* designated by World Sailing as having jurisdiction over the *Athlete* or other *Person* in question. MNAs have the duties and responsibilities of *National Federations* under the *Code*.

**National-Level Athlete:** *Athletes* who compete in sport at the national level, as defined by each *National Anti-Doping Organization*, consistent with the International Standard for Testing and Investigations.

**National Olympic Committee:** The organization recognized by the International Olympic Committee. The term *National Olympic Committee* shall also include the National Sport Confederation in those countries where the National Sport Confederation assumes typical *National Olympic Committee* responsibilities in the anti-doping area.

**No Fault or Negligence:** The *Athlete* or other *Person's* establishing that he or she did not know or suspect, and could not reasonably have known or suspected even with the exercise of utmost caution, that he or she had *Used* or been administered the *Prohibited Substance* or *Prohibited Method* or otherwise violated an anti-doping rule. Except in the case of a *Minor*, for any violation of Regulation 21.2.1, the *Athlete* must also establish how the *Prohibited Substance* entered his or her system.

**No Significant Fault or Negligence:** The *Athlete* or other *Person's* establishing that his or her *Fault* or negligence, when viewed in the totality of the circumstances and taking into account the criteria for *No Fault* or negligence, was not significant in relationship to the anti-doping rule violation. Except in the case of a *Minor*, for any violation of Regulation 21.2.1, the *Athlete* must also establish how the *Prohibited Substance* entered his or her system.

**Out-of-Competition:** Any period which is not *In-Competition*.

Participant: Any *Athlete* or *Athlete Support Person*.

Person: A natural *Person* or an organization or other entity.

Possession: The actual, physical *Possession*, or the constructive *Possession* (which shall be found only if the *Person* has exclusive control or intends to exercise control over the *Prohibited Substance* or *Prohibited Method* or the premises in which a *Prohibited Substance* or *Prohibited Method* exists); provided, however, that if the *Person* does not have exclusive control over the *Prohibited Substance* or *Prohibited Method* or the premises in which a *Prohibited Substance* or *Prohibited Method* exists, constructive *Possession* shall only be found if the *Person* knew about the presence of the *Prohibited Substance* or *Prohibited Method* and intended to exercise control over it. Provided, however, there shall be no anti-doping rule violation based solely on *Possession* if, prior to receiving notification of any kind that the *Person* has committed an anti-doping rule violation, the *Person* has taken concrete action demonstrating that the *Person* never intended to have *Possession* and has renounced *Possession* by explicitly declaring it to an *Anti-Doping Organization*. Notwithstanding anything to the contrary in this definition, the purchase (including by any electronic or other means) of a *Prohibited Substance* or *Prohibited Method* constitutes *Possession* by the *Person* who makes the purchase

Prohibited List: The List identifying the *Prohibited Substances* and *Prohibited Methods*.

Prohibited Method: Any method so described on the *Prohibited List*.

Prohibited Substance: Any substance, or class of substances, so described on the *Prohibited List*.

Provisional Hearing: For purposes of Regulation 21.7.9, an expedited abbreviated hearing occurring prior to a hearing under Regulation 21.8 that provides the *Athlete* with notice and an opportunity to be heard in either written or oral form.

Provisional Suspension: See *Consequences of Anti-Doping Rule Violations* above.

Publicly Disclose or Publicly Report: See *Consequences of Anti-Doping Rule Violations* above.

Regional Anti-Doping Organization: A regional entity designated by member countries to coordinate and manage delegated areas of their national anti-doping programs, which may include the adoption and implementation of anti-doping rules, the planning and collection of *Samples*, the management of results, the review of *TUEs*, the conduct of hearings, and the conduct of educational programs at a regional level.

Registered Testing Pool: The pool of highest-priority *Athletes* established separately at the international level by International Federations and at the national level by *National Anti-Doping Organizations*, who are subject to focused *In-Competition* and *Out-of-Competition Testing* as part of that International Federation's or *National Anti-Doping Organization's* test distribution plan and therefore are required to provide whereabouts information as provided in Regulation 21.5.6 of the *Code* and the International Standard for Testing and Investigations.

Sample or Specimen: Any biological material collected for the purposes of *Doping Control*.

Signatories: Those entities signing the *Code* and agreeing to comply with the *Code*, as provided in Article 23 of the *Code*.

Specified Substance: See Regulation 21.4.2(b).

Strict Liability: The rule which provides that under Regulation 21.2.1 and Regulation 21.2.2, it is not necessary that intent, *Fault*, negligence, or knowing *Use* on the *Athlete's* part be demonstrated by the *Anti-Doping Organization* in order to establish an anti-doping rule violation.

Substantial Assistance: For purposes of Regulation 21.10.6(a), a *Person* providing *Substantial Assistance* must: (1) fully disclose in a signed written statement all information he or she



possesses in relation to anti-doping rule violations, and (2) fully cooperate with the investigation and adjudication of any case related to that information, including, for example, presenting testimony at a hearing if requested to do so by an *Anti-Doping Organization* or hearing panel. Further, the information provided must be credible and must comprise an important part of any case which is initiated or, if no case is initiated, must have provided a sufficient basis on which a case could have been brought.

**Tampering:** Altering for an improper purpose or in an improper way; bringing improper influence to bear; interfering improperly; obstructing, misleading or engaging in any fraudulent conduct to alter results or prevent normal procedures from occurring.

**Target Testing:** Selection of specific *Athletes* for *Testing* based on criteria set forth in the International Standard for Testing and Investigations.

**Team Sport:** A sport in which the substitution of players is permitted during a *Competition*.

**Testing:** The parts of the *Doping Control* process involving test distribution planning, *Sample* collection, *Sample* handling, and *Sample* transport to the laboratory.

**Trafficking:** Selling, giving, transporting, sending, delivering or distributing (or *Possessing* for any such purpose) a *Prohibited Substance* or *Prohibited Method* (either physically or by any electronic or other means) by an *Athlete*, *Athlete Support Person* or any other *Person* subject to the jurisdiction of an *Anti-Doping Organization* to any third party; provided, however, this definition shall not include the actions of "bona fide" medical personnel involving a *Prohibited Substance* used for genuine and legal therapeutic purposes or other acceptable justification, and shall not include actions involving *Prohibited Substances* which are not prohibited in *Out-of-Competition Testing* unless the circumstances as a whole demonstrate such *Prohibited Substances* are not intended for genuine and legal therapeutic purposes or are intended to enhance sport performance.

**TUE:** Therapeutic Use Exemption, as described in Regulation 21.4.4.

**TUE Committee:** A Committee of the World Sailing Medical Commission responsible for evaluating requests for TUEs, as described in Regulation 21.4.

**UNESCO Convention:** The International Convention against Doping in Sport adopted by the 33<sup>rd</sup> session of the UNESCO General Conference on 19 October, 2005 including any and all amendments adopted by the States Parties to the Convention and the Conference of Parties to the International Convention against Doping in Sport.

**Use:** The utilization, application, ingestion, injection or consumption by any means whatsoever of any *Prohibited Substance* or *Prohibited Method*.

**WADA:** The World Anti-Doping Agency

### **Definition of Doping**

21.1 Doping is defined as the occurrence of one or more of the anti-doping rule violations set forth in Regulation 21.2.1 through Regulation 21.2.10 of these Anti-Doping Rules.

### **Anti-Doping Rule Violations**

21.2 The purpose of Regulation 21.2 is to specify the circumstances and conduct which constitute anti-doping rule violations. Hearings in doping cases will proceed based on the assertion that one or more of these specific rules have been violated.

*Athletes* or other *Persons* shall be responsible for knowing what constitutes an anti-doping rule violation and the substances and methods which have been included on the *Prohibited List*.

The following constitute anti-doping rule violations:

### 21.2.1 Presence of a Prohibited Substance or its Metabolites or Markers in an Athlete's Sample

- (a) It is each *Athlete's* personal duty to ensure that no *Prohibited Substance* enters his or her body. *Athletes* are responsible for any *Prohibited Substance* or its *Metabolites* or *Markers* found to be present in their *Samples*. Accordingly, it is not necessary that intent, *Fault*, negligence or knowing *Use* on the *Athlete's* part be demonstrated in order to establish an anti-doping rule violation under Regulation 21.2.1.
- (b) Sufficient proof of an anti-doping rule violation under Regulation 21.2.1 is established by any of the following: presence of a *Prohibited Substance* or its *Metabolites* or *Markers* in the *Athlete's A Sample* where the *Athlete* waives analysis of the *B Sample* and the *B Sample* is not analyzed; or, where the *Athlete's B Sample* is analyzed and the analysis of the *Athlete's B Sample* confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the *Athlete's A Sample*; or, where the *Athlete's B Sample* is split into two bottles and the analysis of the second bottle confirms the presence of the *Prohibited Substance* or its *Metabolites* or *Markers* found in the first bottle
- (c) Excepting those substances for which a quantitative threshold is specifically identified in the Prohibited List, the presence of any quantity of a Prohibited Substance or its Metabolites or Markers in an Athlete's Sample shall constitute an anti-doping rule violation.
- (d) As an exception to the general rule of Regulation 21.2.1, the *Prohibited List* or *International Standards* may establish special criteria for the evaluation of *Prohibited Substances* that can also be produced endogenously.

### 21.2.2 Use or Attempted Use by an Athlete of a Prohibited Substance or a Prohibited Method

- (a) It is each Athlete's personal duty to ensure that no Prohibited Substance enters his or her body and that no Prohibited Method is Used. Accordingly, it is not necessary that intent, Fault, negligence or knowing Use on the Athlete's part be demonstrated in order to establish an anti-doping rule violation for Use of a Prohibited Substance or a Prohibited Method.
- (b) The success or failure of the Use or Attempted Use of a Prohibited Substance or Prohibited Method is not material. It is sufficient that the Prohibited Substance or Prohibited Method was Used or Attempted to be Used for an anti-doping rule violation to be committed.

### 21.2.3 Evading, Refusing or Failing to Submit to Sample Collection

Evading *Sample* collection, or without compelling justification refusing or failing to submit to *Sample* collection after notification as authorized in these Anti-Doping Rules or other applicable anti-doping rules.

### 21.2.4 Whereabouts Failures

Any combination of three missed tests and/or filing failures, as defined in the International Standard for Testing and Investigations, within a twelve-month period by an *Athlete* in a *Registered Testing Pool*.

### 21.2.5 Tampering or Attempted Tampering with any part of Doping Control

Conduct which subverts the *Doping Control* process but which would not otherwise be included in the definition of *Prohibited Methods*. *Tampering* shall include, without limitation, intentionally interfering or attempting to interfere with a *Doping Control* official, providing fraudulent information to an *Anti-Doping Organization*, or intimidating or attempting to intimidate a potential witness.

**21.2.6 Possession of a Prohibited Substance or a Prohibited Method**

- (a) *Possession by an Athlete In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by an Athlete Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition unless the Athlete establishes that the Possession is consistent with a Therapeutic Use Exemption ("TUE") granted in accordance with Regulation 21.4.4 or other acceptable justification.*
- (b) *Possession by an Athlete Support Person In-Competition of any Prohibited Substance or any Prohibited Method, or Possession by an Athlete Support Person Out-of-Competition of any Prohibited Substance or any Prohibited Method which is prohibited Out-of-Competition in connection with an Athlete, Competition or training, unless the Athlete Support Person establishes that the Possession is consistent with a TUE granted to an Athlete in accordance with Regulation 21.4.4 or other acceptable justification.*

**21.2.7 Trafficking or Attempted Trafficking in any Prohibited Substance or Prohibited Method****21.2.8 Administration or Attempted Administration to any Athlete In-Competition of any Prohibited Substance or Prohibited Method, or Administration or Attempted Administration to any Athlete Out-of-Competition of any Prohibited Substance or any Method that is prohibited Out-of-Competition****21.2.9 Complicity**

Assisting, encouraging, aiding, abetting, conspiring, covering up or any other type of intentional complicity involving an anti-doping rule violation, *Attempted* anti-doping rule violation or violation of Regulation 21.10.12(a) by another *Person*.

**21.2.10 Prohibited Association**

Association by an *Athlete* or other *Person* subject to the authority of an *Anti-Doping Organization* in a professional or sport-related capacity with any *Athlete Support Person* who:

- (a) If subject to the authority of an *Anti-Doping Organization*, is serving a period of *Ineligibility*; or
- (b) If not subject to the authority of an *Anti-Doping Organization* and where *Ineligibility* has not been addressed in a results management process pursuant to the *Code*, has been convicted or found in a criminal, disciplinary or professional proceeding to have engaged in conduct which would have constituted a violation of anti-doping rules if *Code*-compliant rules had been applicable to such *Person*. The disqualifying status of such *Person* shall be in force for the longer of six years from the criminal, professional or disciplinary decision or the duration of the criminal, disciplinary or professional sanction imposed; or
- (c) Is serving as a front or intermediary for an individual described in Regulation 21.2.10(a) or 21.2.10(b).

In order for this provision to apply, it is necessary that the *Athlete* or other *Person* has previously been advised in writing by an *Anti-Doping Organization* with jurisdiction over the *Athlete* or other *Person*, or by WADA, of the *Athlete Support Person's* disqualifying status and the potential *Consequence* of prohibited association and that the *Athlete* or other *Person* can reasonably avoid the association. The *Anti-Doping Organization* shall also use reasonable efforts to advise the *Athlete Support Person* who is the subject of the notice to the *Athlete* or other *Person* that the *Athlete Support Person* may, within 15 days, come forward to the *Anti-Doping Organization* to explain that the criteria described in Regulations 21.2.10(a) and 21.2.10(b) do not apply to him or her. (Notwithstanding Regulation 21.17, this Regulation applies even when the *Athlete Support Person's*

disqualifying conduct occurred prior to the effective date provided in Regulation 21.20.7.)

The burden shall be on the *Athlete* or other *Person* to establish that any association with *Athlete Support Personnel* described in Regulation 21.2.10(a) and 21.2.10(b) is not in a professional or sport-related capacity.

*Anti-Doping Organizations* that are aware of *Athlete Support Personnel* who meet the criteria described in Regulation 21.2.10(a) and 21.2.10(b) or 21.2.10(c) shall submit that information to *WADA*.

## 21.3 Proof of **Doping**

### 21.3.1 Burdens and Standards of Proof

World Sailing and its MNAs shall have the burden of establishing that an anti-doping rule violation has occurred. The standard of proof shall be whether World Sailing has established an anti-doping rule violation to the comfortable satisfaction of the hearing panel bearing in mind the seriousness of the allegation which is made. This standard of proof in all cases is greater than a mere balance of probability but less than proof beyond a reasonable doubt. Where these Anti-Doping Rules place the burden of proof upon the *Athlete* or other *Person* alleged to have committed an anti-doping rule violation to rebut a presumption or establish specified facts or circumstances, the standard of proof shall be by a balance of probability.

### 21.3.2 Methods of Establishing Facts and Presumptions

Facts related to anti-doping rule violations may be established by any reliable means, including admissions. The following rules of proof shall be applicable in doping cases:

- (a) Analytical methods or decision limits approved by *WADA* after consultation within relevant scientific community and which have been the subject of peer review are presumed to be scientifically valid. Any *Athlete* or other *Person* seeking to rebut this presumption of scientific validity shall, as a condition precedent to any such challenge, first notify *WADA* of the challenge and the basis of the challenge. *CAS* on its own initiative may also inform *WADA* of any such challenge. At *WADA*'s request, the *CAS* panel shall appoint an appropriate scientific expert to assist the panel in its evaluation of the challenge. Within 10 days of *WADA*'s receipt of such notice, and *WADA*'s receipt of the *CAS* file, *WADA* shall also have the right to intervene as a party, appear amicus curiae, or otherwise provide evidence in such proceeding.
- (b) *WADA*-accredited laboratories, and other laboratories approved by *WADA*, are presumed to have conducted *Sample* analysis and custodial procedures in accordance with the International Standard for Laboratories. The *Athlete* or other *Person* may rebut this presumption by establishing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*. If the *Athlete* or other *Person* rebuts the preceding presumption by showing that a departure from the International Standard for Laboratories occurred which could reasonably have caused the *Adverse Analytical Finding*, then World Sailing shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding*.
- (c) Departures from any other International Standard or other anti-doping rule or policy set forth in the Code or these Anti-Doping Rules which did not cause an *Adverse Analytical Finding* or other anti-doping rule violation shall not invalidate such evidence or results. If the *Athlete* or other *Person* establishes a departure from another International Standard or other anti-doping rule or policy which could reasonably have caused an anti-doping rule violation based on an *Adverse Analytical Finding* or other anti-doping rule violation, then World Sailing shall have the burden to establish that such departure did not cause the *Adverse Analytical Finding* or the factual basis for the anti-doping rule violation.

- (d) The facts established by a decision of a court or professional disciplinary tribunal of competent jurisdiction which is not the subject of a pending appeal shall be irrebuttable evidence against the *Athlete* or other *Person* to whom the decision pertained of those facts unless the *Athlete* or other *Person* establishes that the decision violated principles of natural justice.
- (e) The hearing panel in a hearing on an anti-doping rule violation may draw an inference adverse to the *Athlete* or other *Person* who is asserted to have committed an anti-doping rule violation based on the *Athlete's* or other *Person's* refusal, after a request made in a reasonable time in advance of the hearing, to appear at the hearing (either in person or telephonically as directed by the hearing panel) and to answer questions from the hearing panel or World Sailing.

## 21.4 The Prohibited List

### 21.4.1 Incorporation of the **Prohibited List**

These Anti-Doping Rules incorporate the *Prohibited List*, which is published and revised by WADA as described in Article 4.1 of the *Code*

### 21.4.2 **Prohibited Substances and Prohibited Methods Identified on the Prohibited List**

#### (a) *Prohibited Substances and Prohibited Methods*

Unless provided otherwise in the *Prohibited List* and/or a revision, the *Prohibited List* and revisions shall go into effect under these Anti-Doping Rules three months after publication by WADA, without requiring any further action by World Sailing or its MNAs. All *Athletes* and other *Persons* shall be bound by the *Prohibited List*, and any revisions thereto, from the date they go into effect, without further formality. It is the responsibility of all *Athletes* and other *Persons* to familiarize themselves with the most up-to-date version of the *Prohibited List* and all revisions thereto.

#### (b) *Specified Substances*

For purposes of the application of Regulation 21.10, all *Prohibited Substances* shall be *Specified Substances* except substances in the classes of anabolic agents and hormones and those stimulants and hormone antagonists and modulators so identified on the *Prohibited List*. The category of *Specified Substances* shall not include *Prohibited Methods*.

### 21.4.3 **WADA's Determination of the Prohibited List**

WADA's determination of the *Prohibited Substances* and *Prohibited Methods* that will be included on the *Prohibited List*, the classification of substances into categories on the *Prohibited List*, and the classification of a substance as prohibited at all times or *In-Competition* only, is final and shall not be subject to challenge by an *Athlete* or other *Person* based on an argument that the substance or method was not a masking agent or did not have the potential to enhance performance, represent a health risk or violate the spirit of sport.

### 21.4.4 **Therapeutic Use Exemptions ("TUEs")**

- (a) The presence of a *Prohibited Substance* or its *Metabolites* or *Markers*, and/or the *Use* or *Attempted Use*, *Possession* or *Administration* or *Attempted Administration* of a *Prohibited Substance* or *Prohibited Method*, shall not be considered an anti-doping rule violation if it is consistent with the provisions of a *TUE* granted in accordance with the International Standard for Therapeutic Use Exemptions.
- (b) If an *International-Level Athlete* is using a *Prohibited Substance* or a *Prohibited Method* for therapeutic reasons:

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- (i) Where the *Athlete* already has a *TUE* granted by his or her *National Anti Doping Organization* for the substance or method in question, that *TUE* is not automatically valid for international-level *Competition*. However, the *Athlete* may apply to World Sailing to recognize that *TUE*, in accordance with Article 7 of the International Standard for Therapeutic Use Exemptions. If that *TUE* meets the criteria set out in the International Standard for Therapeutic Use Exemptions, then World Sailing shall recognize it for purposes of international-level *Competition* as well. If World Sailing considers that the *TUE* does not meet those criteria and so refuses to recognize it, World Sailing shall notify the *Athlete* and his or her *National Anti-Doping Organization* promptly, with reasons. The *Athlete* and the *National Anti-Doping Organization* shall have 21 days from such notification to refer the matter to *WADA* for review in accordance with Regulation 21.4.4(f). If the matter is referred to *WADA* for review, the *TUE* granted by the *National Anti Doping Organization* remains valid for national-level *Competition* and *Out-of-Competition Testing* (but is not valid for international-level *Competition*) pending *WADA*'s decision. If the matter is not referred to *WADA* for review, the *TUE* becomes invalid for any purpose when the 21-day review deadline expires.
- (ii) If the *Athlete* does not already have a *TUE* granted by his/her *National Anti-Doping Organization* for the substance or method in question, the *Athlete* must apply directly to World Sailing for a *TUE* in accordance with the process set out in the International Standard for Therapeutic Use Exemptions, using the form posted on ISAF's website at <http://www.sailing.org/sailors/antidoping/documents.php>. If World Sailing denies the *Athlete*'s application, it must notify the *Athlete* promptly, with reasons. If World Sailing grants the *Athlete*'s application, it shall notify not only the *Athlete* but also his/her *National Anti-Doping Organization*. If the *National Anti-Doping Organization* considers that the *TUE* granted by World Sailing does not meet the criteria set out in the International Standard for Therapeutic Use Exemptions, it has 21 days from such notification to refer the matter to *WADA* for review in accordance with Regulation 21.4.4(f). If the *National Anti-Doping Organization* refers the matter to *WADA* for review, the *TUE* granted by World Sailing remains valid for international-level *Competition* and *Out-of-Competition Testing* (but is not valid for national-level *Competition*) pending *WADA*'s decision. If the *National Anti-Doping Organization* does not refer the matter to *WADA* for review, the *TUE* granted by World Sailing becomes valid for national-level *Competition* as well when the 21-day review deadline expires.
- (c) If World Sailing chooses to test an *Athlete* who is not an International-Level *Athlete*, World Sailing shall recognize a *TUE* granted to that *Athlete* by his or her *National Anti-Doping Organization*. If World Sailing chooses to test an *Athlete* who is not an International-Level or a National-Level *Athlete*, World Sailing shall permit that *Athlete* to apply for a retroactive *TUE* for any Prohibited Substance or Prohibited Method that he/she is using for therapeutic reasons.
- (d) An application to World Sailing for grant or recognition of a *TUE* must be made as soon as the need arises and in any event (save in emergency or exceptional situations or where Article 4.3 of the International Standard for Therapeutic Use Exemptions applies) at least 30 days before the *Athlete*'s next *Competition*. World Sailing shall appoint a panel to consider applications for the grant or recognition of *TUEs* (the "*TUE Committee*"). The *TUE Committee* shall promptly evaluate and decide upon the application in accordance with the relevant provisions of the International

Standard for Therapeutic Use Exemptions. Its decision shall be the final decision of World Sailing, and shall be reported to WADA and other relevant Anti-Doping Organizations, including the Athlete's National Anti-Doping Organization, through ADAMS, in accordance with the International Standard for Therapeutic Use Exemptions.

- (i) In offshore races of more than 50 nautical miles, the use of any Prohibited Substance or Prohibited Methods for emergency medical treatment shall be recorded. The TUE Committee may approve such Use and Possession of such medications as reasonably required if the acute situation or exceptional circumstances are confirmed to the satisfaction of the TUE Committee.
  - (ii) If any Prohibited Substances carried on board are used, an explanation satisfactory to the TUE Committee will need to be provided and the process for consideration of retroactive approval of a TUE will take place.
  - (iii) With the approval of the World Sailing or a Member National Authority or National Olympic Committee (NOC), a Team Doctor or a Doctor who is responsible for sailing competitors, officials and others in the care of that Doctor, may carry and employ such medications as the circumstances may require and as might be properly used in the undertaking of the Hippocratic oath. The TUE Committee may retroactively approve such Use.
- (e) Expiration, Cancellation, Withdrawal or Reversal of a TUE
  - (i) A TUE granted pursuant to these Anti-Doping Rules: (a) shall expire automatically at the end of any term for which it was granted, without the for any further notice or other formality; (b) may be cancelled if the Athlete does not promptly comply with any requirements or conditions imposed by the TUE Committee upon grant of the TUE; (c) may be withdrawn by the TUE Committee if it is subsequently determined that the criteria for grant of a TUE are not in fact met; or (d) may be reversed on review by WADA or on appeal.
  - (ii) In such event, the *Athlete* shall not be subject to any *Consequences* based on his/her *Use* or *Possession* or *Administration* of the *Prohibited Substance* or *Prohibited Method* in question in accordance with the *TUE* prior to the effective date of expiry, cancellation, withdrawal or reversal of the *TUE*. The review pursuant to Regulation 21.7.2 of any subsequent *Adverse Analytical Finding* shall include consideration of whether such finding is consistent with *Use* of the *Prohibited Substance* or *Prohibited Method* prior to that date, in which event no anti-doping rule violation shall be asserted.
- (f) Reviews and Appeals of TUE Decisions
  - (i) WADA shall review any decision by World Sailing not to recognize a TUE granted by the National Anti-Doping Organization that is referred to WADA by the Athlete or the Athlete's National Anti-Doping Organization. In addition, WADA shall review any decision by World Sailing to grant a TUE that is referred to WADA by the Athlete's National Anti-Doping Organization. WADA may review any other TUE decisions at any time, whether upon request by those affected or on its own initiative. If the TUE decision being reviewed meets the criteria set out in the International Standard for Therapeutic Use Exemptions, WADA will not interfere with it. If the TUE decision does not meet those criteria, WADA will reverse it.

- (ii) Any TUE decision by World Sailing (or by a National Anti-Doping Organization where it has agreed to consider the application on behalf of World Sailing) that is not reviewed by WADA, or that is reviewed by WADA but is not reversed upon review, may be appealed by the Athlete and/or the Athlete's National Anti-Doping Organization exclusively to CAS, in accordance with Regulation 21.13.
- (iii) A decision by WADA to reverse a TUE decision may be appealed by the Athlete, the National Anti-Doping Organization and/or World Sailing exclusively to CAS, in accordance with Regulation 21.13.
- (iv) A failure to take action within a reasonable time on a properly submitted application for grant or recognition of a *TUE* or for review of a *TUE* decision shall be considered a denial of the application.

### 21.5 **Testing and Investigations**

#### 21.5.1 **Purpose of Testing and Investigations**

*Testing* and investigations shall only be undertaken for anti-doping purposes. They shall be conducted in conformity with the provisions of the International Standard for Testing and Investigations and the specific protocols of World Sailing supplementing that International Standard. (See Annexe 3)

- (a) *Testing* shall be undertaken to obtain analytical evidence as to the *Athlete's* compliance (or non-compliance) with the strict *Code* prohibition on the presence/*Use* of a *Prohibited Substance* or *Prohibited Method*. Test distribution planning, *Testing*, post-*Testing* activity and all related activities conducted by World Sailing shall be in conformity with the International Standard for Testing and Investigations. World Sailing shall determine the number of finishing placement tests, random tests and target tests to be performed, in accordance with the criteria established by the International Standard for Testing and Investigations. All provisions of the International Standard for Testing and Investigations shall apply automatically in respect of all such *Testing*.
- (b) Investigations shall be undertaken:
  - (i) in relation to *Atypical Findings*, *Atypical Passport Findings* and *Adverse Passport Findings*, in accordance with Regulations 21.7.4 and 21.7.5 respectively, gathering intelligence or evidence (including, in particular, analytical evidence) in order to determine whether an anti-doping rule violation has occurred under Regulation 21.2.1 and/or Regulation 21.2.2; and
  - (ii) in relation to other indications of potential anti-doping rule violations, in accordance with Regulations 21.7.6 and 21.7.7, gathering intelligence or evidence (including, in particular, non-analytical evidence) in order to determine whether an anti-doping rule violation has occurred under any of Regulations 21.2.2 to 21.2.10.
- (c) World Sailing may obtain, assess and process anti-doping intelligence from all available sources, to inform the development of an effective, intelligent and proportionate test distribution plan, to plan Target Testing, and/or to form the basis of an investigation into a possible anti-doping rule violation(s).

#### 21.5.2 **Authority to conduct Testing**

- (a) Subject to the jurisdictional limitations for Event Testing set out in Article 5.3 of the Code, World Sailing shall have In-Competition and Out-of-Competition Testing authority over all of the Athletes specified in the Introduction to these Anti-Doping Rules (under the heading "Scope").



- (b) World Sailing may require any Athlete over whom it has Testing authority (including any Athlete serving a period of Ineligibility) to provide a Sample at any time and at any place.
- (c) WADA shall have In-Competition and Out-of-Competition Testing authority as set out in Article 20.7.8 of the Code.
- (d) If World Sailing delegates or contracts any part of Testing to a National Anti-Doping Organization (directly or through a MNA), that National Anti-Doping Organization may collect additional Samples or direct the laboratory to perform additional types of analysis at the National Anti-Doping Organization's expense. If additional Samples are collected or additional types of analysis are performed, World Sailing shall be notified.

### 21.5.3 Event Testing

- (a) Except as provided in Article 5.3 of the Code, only a single organization should be responsible for initiating and directing Testing at Event Venues during an Event Period. At International Events, the collection of Samples shall be initiated and directed by World Sailing (or any other international organization which is the ruling body for the Event). At the request of World Sailing (or any other international organization which is the ruling body for an Event), any Testing during the Event Period outside of the Event Venues shall be coordinated with World Sailing (or the relevant ruling body of the Event).
- (b) If an Anti-Doping Organization which would otherwise have Testing authority but is not responsible for initiating and directing Testing at an Event desires to conduct Testing of Athletes at the Event Venues during the Event Period, the Anti-Doping Organization shall first confer with World Sailing (or any other international organization which is the ruling body of the Event) to obtain permission to conduct and coordinate such Testing. If the Anti-Doping Organization is not satisfied with the response from World Sailing (or any other international organization which is the ruling body of the Event), the Anti-Doping Organization may ask WADA for permission to conduct Testing and to determine how to coordinate such Testing, in accordance with the procedures set out in the International Standard for Testing and Investigations. WADA shall not grant approval for such Testing before consulting with and informing World Sailing (or any other international organization which is the ruling body for the Event). WADA's decision shall be final and not subject to appeal. Unless otherwise provided in the authorization to conduct Testing, such tests shall be considered Out-of-Competition tests. Results management for any such test shall be the responsibility of the Anti-Doping Organization initiating the test unless provided otherwise in the rules of the ruling body of the Event.

### 21.5.4 Test Distribution Planning

Consistent with the International Standard for Testing and Investigations, and in coordination with other *Anti-Doping Organizations* conducting *Testing* on the same *Athletes*, World Sailing shall develop and implement an effective, intelligent and proportionate test distribution plan that prioritizes appropriately between disciplines, categories of Athletes, types of *Testing*, types of *Samples* collected, and types of *Sample* analysis, all in compliance with the requirements of the International Standard for Testing and Investigations. World Sailing shall provide WADA upon request with a copy of its current test distribution plan.

### 21.5.5 Coordination of Testing

Where reasonably feasible, *Testing* shall be coordinated through ADAMS or another system approved by WADA in order to maximize the effectiveness of the combined *Testing* effort and to avoid unnecessary repetitive *Testing*.

### 21.5.6 *Athlete Whereabouts Information*

- (a) World Sailing shall identify a Registered Testing Pool of those Athletes who are required to comply with the whereabouts requirements of Annex I to the International Standard for Testing and Investigations, and shall make available through ADAMS, a list which identifies those Athletes included in its Registered Testing Pool either by name or by clearly defined, specific criteria. World Sailing shall coordinate with National Anti-Doping Organizations the identification of such Athletes and the collection of their whereabouts information. World Sailing shall review and update as necessary its criteria for including Athletes in its Registered Testing Pool, and shall revise the membership of its Registered Testing Pool from time to time as appropriate in accordance with the set criteria. Athletes shall be notified before they are included in a Registered Testing Pool and when they are removed from that pool. Each Athlete in the Registered Testing Pool shall do the following, in each case in accordance with Annex I to the International Standard for Testing and Investigations: (a) advise World Sailing of his/her whereabouts on a quarterly basis; (b) update that information as necessary so that it remains accurate and complete at all times; and (c) make him/herself available for Testing at such whereabouts.
- (b) For purposes of Regulation 21.2.4, an *Athlete's* failure to comply with the requirements of the International Standard for Testing and Investigations shall be deemed a filing failure or a missed test (as defined in the International Standard for Testing and Investigations) where the conditions set forth in the International Standard for Testing and Investigations for declaring a filing failure or missed test are met.
- (c) An Athlete in World Sailing's Registered Testing Pool shall continue to be subject to the obligation to comply with the whereabouts requirements of Annex I to the International Standard for Testing and Investigations unless and until (a) the Athlete gives written notice to World Sailing that he/she has retired or (b) World Sailing has informed him or her that he/she no longer satisfies the criteria for inclusion in World Sailing's Registered Testing Pool.
- (d) Whereabouts information relating to an *Athlete* shall be shared (through ADAMS) with WADA and other *Anti-Doping Organizations* having authority to test that *Athlete*, shall be maintained in strict confidence at all times, shall be used exclusively for the purposes set out in Article 5.6 of the *Code*, and shall be destroyed in accordance with the International Standard for the Protection of Privacy and Personal Information once it is no longer relevant for these purposes.

### 21.5.7 *Retired Athletes Returning to Competition*

- (a) An Athlete in World Sailing's Registered Testing Pool who has given notice of retirement to World Sailing may not resume competing in International Events or National Events until he/she has given World Sailing written notice of his/her intent to resume competing and has made him/herself available for Testing for a period of six months before returning to Competition, including (if requested) complying with the whereabouts requirements of Annex I to the International Standard for Testing and Investigations. WADA, in consultation with World Sailing and the Athlete's National Anti-Doping Organization, may grant an exemption to the six-month written notice rule where the strict application of that rule would be manifestly unfair to an Athlete. This decision may be appealed under Regulation 21.13. Any competitive results obtained in violation of this Regulation 21.5.7(a) shall be Disqualified.
- (b) If an Athlete retires from sport while subject to a period of Ineligibility, the Athlete shall not resume competing in International Events or National Events until the Athlete has given six months prior written notice (or notice equivalent to the

period of Ineligibility remaining as of the date the Athlete retired, if that period was longer than six months) to World Sailing and to his/her National Anti-Doping Organization of his/her intent to resume competing and has made him/herself available for Testing for that notice period, including (if requested) complying with the whereabouts requirements of Annex I to the International Standard for Testing and Investigations.

#### **21.5.8 Independent Observer Program**

World Sailing and the organizing committees for World Sailing's *Events*, as well as the *MNAs* and the organizing committees for *National Events*, shall authorize and facilitate the *Independent Observer Program* at such *Events*.

### **21.6 Analysis of Samples**

*Samples* shall be analyzed in accordance with the following principles

#### **21.6.1 Use of Accredited and Approved Laboratories**

#### **21.6.2 Purpose of Analysis of Samples**

- (a) Samples shall be analyzed to detect Prohibited Substances and Prohibited Methods and other substances as may be directed by WADA pursuant to the Monitoring Program described in Article 4.5 of the Code; or to assist World Sailing in profiling relevant parameters in an Athlete's urine, blood or other matrix, including DNA or genomic profiling; or for any other legitimate anti-doping purpose. Samples may be collected and stored for future analysis.
- (b) World Sailing shall ask laboratories to analyze Samples in conformity with Article 6.4 of the Code and Article 4.7 of the International Standard for Testing and Investigations.

#### **21.6.3 Research on Samples**

No *Sample* may be used for research without the *Athlete's* written consent. *Samples* used for purposes other than Regulation 21.6.2 shall have any means of identification removed such that they cannot be traced back to a particular *Athlete*.

#### **21.6.4 Standards for Sample Analysis and Reporting**

Laboratories shall analyze *Samples* and report results in conformity with the International Standard for Laboratories. To ensure effective *Testing*, the Technical Document referenced at Article 5.4.1 of the *Code* will establish risk assessment-based *Sample* analysis menus appropriate for particular sports and sport disciplines, and laboratories shall analyze *Samples* in conformity with those menus, except as follows:

- (a) World Sailing may request that laboratories analyze its Samples using more extensive menus than those described in the Technical Document.
- (b) World Sailing may request that laboratories analyze its Samples using less extensive menus than those described in the Technical Document only if it has satisfied WADA that, because of the particular circumstances of its sport, as set out in its test distribution plan, less extensive analysis would be appropriate.
- (c) As provided in the International Standard for Laboratories, laboratories at their own initiative and expense may analyze *Samples* for *Prohibited Substances* or *Prohibited Methods* not included on the *Sample* analysis menu described in the Technical Document or specified by the *Testing* authority. Results from any such analysis shall be reported and have the same validity and consequence as any other analytical result.

#### **21.6.5 Further Analysis of Samples**

Any *Sample* may be stored and subsequently subjected to further analysis for the purposes set out in Regulation 21.6.2: (a) by *WADA* at any time; and/or (b) by World Sailing at any time before both the A and B *Sample* analytical results (or A *Sample* result where B *Sample* analysis has been waived or will not be performed) have been communicated by World Sailing to the *Athlete* as the asserted basis for an Regulation 21.2.1 anti-doping rule violation. Such further analysis of *Samples* shall conform with the requirements of the International Standard for Laboratories and the International Standard for Testing and Investigations.

## **21.7 Results Management**

### **21.7.1 Responsibility for Conducting Results Management**

The circumstances in which World Sailing shall take responsibility for conducting results management in respect of anti-doping rule violations involving *Athletes* and other *Persons* under its jurisdiction shall be determined by reference to and in accordance with Article 7 of the *Code*.

### **21.7.2 Review of Adverse Analytical Findings From Tests Initiated by World Sailing**

Results management in respect of the results of tests initiated by World Sailing (including tests performed by *WADA* pursuant to agreement with World Sailing) shall proceed as follows:

- (a) The results from all analyses must be sent to World Sailing in encoded form, in a report signed by an authorized representative of the laboratory. All communication must be conducted confidentially and in conformity with ADAMS.
- (b) Upon receipt of an Adverse Analytical Finding, World Sailing shall conduct a review to determine whether: (a) an applicable TUE has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the Adverse Analytical Finding.
- (c) If the review of an *Adverse Analytical Finding* under Regulation 21.7.2(b) reveals an applicable *TUE* or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Atypical Finding*, the entire test shall be considered negative and the *Athlete*, the *Athlete's National Anti-Doping Organization* and *WADA* shall be so informed.

### **21.7.3 Notification After Review Regarding Adverse Analytical Findings**

- (a) If the review of an Adverse Analytical Finding under Regulation 21.7.2(b) does not reveal an applicable TUE or entitlement to a TUE as provided in the International Standard for Therapeutic Use Exemptions, or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the Adverse Analytical Finding, World Sailing shall promptly notify the *Athlete*, and simultaneously the Relevant MNA, the *Athlete's National Anti-Doping Organization* and *WADA*, in the manner set out in Regulation 21.14.1, of: (a) the Adverse Analytical Finding; (b) the anti-doping rule violated; (c) the *Athlete's* right to promptly request the analysis of the B Sample or, failing such request, that the B Sample analysis may be deemed waived; (d) the scheduled date, time and place for the B Sample analysis if the *Athlete* or World Sailing chooses to request an analysis of the B Sample; (e) the opportunity for the *Athlete* and/or the *Athlete's* representative to attend the B Sample opening and analysis in accordance with the International Standard for Laboratories if such analysis is requested; and (f) the *Athlete's* right to request copies of the A and B Sample laboratory documentation package which includes information as required by the International Standard for Laboratories. If World

Sailing decides not to bring forward the Adverse Analytical Finding as an anti-doping rule violation, it shall so notify the Athlete, the Relevant MNA, the Athlete's National Anti-Doping Organization and WADA.

- (b) Where requested by the Athlete or World Sailing, arrangements shall be made to analyze the B Sample in accordance with the International Standard for Laboratories. An Athlete may accept the A Sample analytical results by waiving the requirement for B Sample analysis. World Sailing may nonetheless elect to proceed with the B Sample analysis.
- (c) The Athlete and/or his representative shall be allowed to be present at the analysis of the B Sample. Also, a representative of World Sailing as well as a representative of the Relevant MNA shall be allowed to be present.
- (d) If the B Sample analysis does not confirm the A Sample analysis, then (unless World Sailing takes the case forward as an anti-doping rule violation under Regulation 21.2.2) the entire test shall be considered negative and the Athlete, the Athlete's National Anti-Doping Organization and WADA shall be so informed.

#### **21.7.4 Review of *Atypical Findings***

- (a) As provided in the International Standard for Laboratories, in some circumstances laboratories are directed to report the presence of *Prohibited Substances*, which may also be produced endogenously, as *Atypical Findings*, i.e., as findings that are subject to further investigation.
- (b) Upon receipt of an *Atypical Finding*, World Sailing shall conduct a review to determine whether: (a) an applicable TUE has been granted or will be granted as provided in the International Standard for Therapeutic Use Exemptions, or (b) there is any apparent departure from the International Standard for Testing and Investigations or International Standard for Laboratories that caused the *Atypical Finding*.
- (c) If the review of an *Atypical Finding* under Regulation 21.7.4(b) reveals an applicable TUE or a departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Atypical Finding*, the entire test shall be considered negative and the *Athlete*, the *Relevant MNA*, the *Athlete's National Anti-Doping Organization* and *WADA* shall be so informed.
- (d) If that review does not reveal an applicable TUE or a departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Atypical Finding*, World Sailing shall conduct the required investigation or cause it to be conducted. After the investigation is completed, either the *Atypical Finding* will be brought forward as an Adverse Analytical Finding, in accordance with Regulation 21.7.3(a), or else the Athlete, the Relevant MNA, the Athlete's National Anti-Doping Organization and WADA shall be notified that the *Atypical Finding* will not be brought forward as an Adverse Analytical Finding.
- (e) World Sailing will not provide notice of an *Atypical Finding* until it has completed its investigation and has decided whether it will bring the *Atypical Finding* forward as an Adverse Analytical Finding unless one of the following circumstances exists:
  - (i) If World Sailing determines the B Sample should be analyzed prior to the conclusion of its investigation, it may conduct the B Sample analysis after notifying the Athlete, with such notice to include a description of the *Atypical Finding* and the information described in Regulation 21.7.3.1(d)-(f).

- (ii) If World Sailing is asked (a) by a Major Event Organization shortly before one of its International Events, or (b) by a sport organization responsible for meeting an imminent deadline for selecting team members for an International Event, to disclose whether any Athlete identified on a list provided by the Major Event Organization or sport organization has a pending Atypical Finding, World Sailing shall so advise the Major Event Organization or sports organization after first providing notice of the Atypical Finding to the Athlete.

### 21.7.5 Review of *Atypical Passport Findings* and *Adverse Passport Findings*

Review of *Atypical Passport Findings* and *Adverse Passport Findings* shall take place as provided in the International Standard for Testing and Investigations and International Standard for Laboratories. At such time as World Sailing is satisfied that an anti-doping rule violation has occurred, it shall promptly give the *Athlete* (and simultaneously the *Relevant MNA*, the *Athlete's National Anti-Doping Organization* and *WADA*) notice of the anti-doping rule violation asserted and the basis of that assertion.

### 21.7.6 Review of Whereabouts Failures

World Sailing shall review potential filing failures and missed tests, as defined in the International Standard for Testing and Investigations, in respect of *Athletes* who file their whereabouts information with World Sailing, in accordance with Annex I to the International Standard for Testing and Investigations. At such time as World Sailing is satisfied that an Regulation 21.2.4 anti-doping rule violation has occurred, it shall promptly give the *Athlete* (and simultaneously the *Relevant MNA*, the *Athlete's National Anti-Doping Organization* and *WADA*) notice that it is asserting a violation of Regulation 2.4 and the basis of that assertion.

### 21.7.7 Review of Other Anti-Doping Rule Violations Not Covered by Regulations

#### 21.7.2–21.7.6

World Sailing shall conduct any follow-up investigation required into a possible anti-doping rule violation not covered by Regulations 21.7.2- 21.7.6. At such time as World Sailing is satisfied that an anti-doping rule violation has occurred, it shall promptly give the *Athlete* or other *Person* (and simultaneously the *Relevant MNA*, the *Athlete's* or other *Person's National Anti-Doping Organization* and *WADA*) notice of the anti-doping rule violation asserted and the basis of that assertion.

### 21.7.8 Identification of Prior Anti-Doping Rule Violations

Before giving an *Athlete* or other *Person* notice of an asserted anti-doping rule violation as provided above, World Sailing shall refer to *ADAMS* and contact *WADA* and other relevant *Anti-Doping Organizations* to determine whether any prior anti-doping rule violation exists.

### 21.7.9 Provisional Suspensions

- (a) **Mandatory Provisional Suspension:** If analysis of an *A Sample* has resulted in an *Adverse Analytical Finding* for a *Prohibited Substance* that is not a *Specified Substance*, or for a *Prohibited Method*, and a review in accordance with Regulation 21.7.2(b) does not reveal an applicable *TUE* or departure from the International Standard for Testing and Investigations or the International Standard for Laboratories that caused the *Adverse Analytical Finding*, a *Provisional Suspension* shall be imposed by the *Relevant MNA*, upon or promptly after the notification described in Regulations 21.7.2, 21.7.3 or 21.7.5.
- (b) **Optional Provisional Suspension:** In case of an *Adverse Analytical Finding* for a *Specified Substance*, or in the case of any other anti-doping rule violations not covered by Regulation 21.7.9(a), the *Relevant MNA*, may impose a *Provisional Suspension* on the *Athlete* or other *Person* against whom the anti-doping rule violation is asserted at any time after the review and notification described in

Regulations 21.7.2–21.7.7 and prior to the final hearing as described in Regulation 8.

- (c) Where a *Provisional Suspension* is imposed pursuant to Regulation 21.7.9(a) or Regulation 21.7.9(b), the *Athlete* or other *Person* shall be given either: (a) an opportunity for a *Provisional Hearing* either before or on a timely basis after imposition of the *Provisional Suspension*; or (b) an opportunity for an expedited final hearing in accordance with Regulation 21.8 on a timely basis after imposition of the *Provisional Suspension*. Furthermore, the *Athlete* or other *Person* has a right to appeal from the *Provisional Suspension* in accordance with Regulation 21.13.2 (save as set out in Regulation 21.7.9(c)(i)).
  - (i) The *Provisional Suspension* may be lifted if the *Athlete* demonstrates to the hearing panel that the violation is likely to have involved a *Contaminated Product*. A hearing panel's decision not to lift a mandatory *Provisional Suspension* on account of the *Athlete's* assertion regarding a *Contaminated Product* shall not be appealable.
  - (ii) The *Provisional Suspension* shall be imposed (or shall not be lifted) unless the *Athlete* or other *Person* establishes that: (a) the assertion of an anti-doping rule violation has no reasonable prospect of being upheld, e.g., because of a patent flaw in the case against the *Athlete* or other *Person*; or (b) the *Athlete* or other *Person* has a strong arguable case that he/she bears *No Fault or Negligence* for the anti-doping rule violation(s) asserted, so that any period of *Ineligibility* that might otherwise be imposed for such a violation is likely to be completely eliminated by application of Regulation 21.10.4; or (c) some other facts exist that make it clearly unfair, in all of the circumstances, to impose a *Provisional Suspension* prior to a final hearing in accordance with Regulation 21.8. This ground is to be construed narrowly, and applied only in truly exceptional circumstances. For example, the fact that the *Provisional Suspension* would prevent the *Athlete* or other *Person* participating in a particular *Competition* or *Event* shall not qualify as exceptional circumstances for these purposes.
- (d) If a *Provisional Suspension* is imposed based on an A *Sample Adverse Analytical Finding* and subsequent analysis of the B *Sample* does not confirm the A *Sample* analysis, then the *Athlete* shall not be subject to any further *Provisional Suspension* on account of a violation of Regulation 21.2.1. In circumstances where the *Athlete* (or the *Athlete's* team) has been removed from a *Competition* based on a violation of Regulation 21.2.1 and the subsequent B *Sample* analysis does not confirm the A *Sample* finding, then if it is still possible for the *Athlete* or team to be reinserted, without otherwise affecting the *Competition*, the *Athlete* or team may continue to take part in the *Competition*. In addition, the *Athlete* or team may thereafter take part in other *Competitions* in the same *Event*.
- (e) In all cases where an *Athlete* or other *Person* has been notified of an anti-doping rule violation but a *Provisional Suspension* has not been imposed on him or her, the *Athlete* or other *Person* shall be offered the opportunity to accept a *Provisional Suspension* voluntarily pending the resolution of the matter.

#### 21.7.10 Resolution Without a Hearing

- (a) An *Athlete* or other *Person* against whom an anti-doping rule violation is asserted may admit that violation at any time, waive a hearing, and accept the *Consequences* that are mandated by these Anti-Doping Rules or (where some discretion as to *Consequences* exists under these Anti-Doping Rules) that have been offered by the *Relevant MNA*.

- (b) Alternatively, if the Athlete or other Person against whom an anti-doping rule violation is asserted fails to dispute that assertion within the deadline specified in the notice sent by World Sailing asserting the violation, then he/she shall be deemed to have admitted the violation, to have waived a hearing, and to have accepted the Consequences that are mandated by these Anti-Doping Rules or (where some discretion as to Consequences exists under these Anti-Doping Rules) that have been offered by the Relevant MNA.

### 21.7.11 Notification of Results Management Decisions

In all cases where World Sailing has asserted the commission of an anti-doping rule violation, withdrawn the assertion of an anti-doping rule violation, a *Relevant MNA* has imposed a *Provisional Suspension*, or a *Relevant MNA* has agreed with an *Athlete* or other *Person* on the imposition of *Consequences* without a hearing, World Sailing or the *Relevant MNA* (as appropriate) shall give notice thereof in accordance with Regulation 21.14.2(a) to World Sailing, the *Relevant MNA*, and other *Anti-Doping Organizations* with a right to appeal under Regulation 21.13.2(c).

### 21.7.12 Retirement from Sport

If an *Athlete* or other *Person* retires while World Sailing is conducting the results management process, World Sailing retains jurisdiction to complete its results management process. If an *Athlete* or other *Person* retires before any results management process has begun, and World Sailing would have had results management authority over the *Athlete* or other *Person* at the time the *Athlete* or other *Person* committed an anti-doping rule violation, World Sailing has authority to conduct results management in respect of that anti-doping rule violation.

## 21.8 Right to a Fair Hearing

### 21.8.1 Principles for a Fair Hearing

- (a) When World Sailing sends a notice to an Athlete or other Person asserting an anti-doping rule violation, and the Athlete or other Person does not waive a hearing in accordance with Regulation 21.7.10(a) or Regulation 21.7.10(b), then the case shall be referred to a hearing panel of the Relevant MNA ("the Panel"), for hearing and adjudication.
- (b) Hearings shall be scheduled and completed within a reasonable time. Hearings held in connection with *Events* that are subject to these Anti-Doping Rules may be conducted by an expedited process where permitted by the hearing panel.
- (c) The *Panel* shall determine the procedure to be followed at the hearing (which may include reference to any rules of procedure adopted by the *Relevant MNA*).
- (d) WADA and World Sailing may attend the hearing as observers. In any event, the Relevant MNA, shall keep WADA and World Sailing fully apprised as to the status of pending cases and the result of all hearings.
- (e) The *Panel* shall act in a fair and impartial manner towards all parties at all times.

### 21.8.2 Decisions

- (a) At the end of the hearing, or on a timely basis thereafter, the *Panel* shall issue a written decision that includes the full reasons for the decision and for any period of *Ineligibility* imposed, including (if applicable) a justification for why the greatest potential *Consequences* were not imposed.
- (b) The decision may be appealed to the CAS as provided in Regulation 21.13. Copies of the decision shall be provided to the Athlete or other Person, World Sailing and to other Anti-Doping Organizations with a right to appeal under Regulation 21.13.2(c).



- (c) If no appeal is brought against the decision, then (a) if the decision is that an anti-doping rule violation was committed, the decision shall be *Publicly Disclosed* as provided in Regulation 21.14.3(b); but (b) if the decision is that no anti-doping rule violation was committed, then the decision shall only be *Publicly Disclosed* with the consent of the *Athlete* or other *Person* who is the subject of the decision. The *Relevant MNA* shall use reasonable efforts to obtain such consent, and if consent is obtained, shall *Publicly Disclose* the decision in its entirety or in such redacted form as the *Athlete* or other *Person* may approve. The principles contained at Regulation 21.14.3(f) shall be applied in cases involving a *Minor*.

### 21.8.3 Single Hearing Before CAS

- (a) Cases asserting anti-doping rule violations may be heard directly at CAS, with no requirement for a prior hearing, with the consent of the *Athlete*, World Sailing, the *Relevant MNA*, WADA, and any other Anti-Doping Organization that would have had a right to appeal a first instance hearing decision to CAS.
- (b) If World Sailing is satisfied that a *Relevant MNA* is not conducting the hearing process in accordance with this Code, it may direct that the case be heard directly at CAS. World Sailing shall consult with the *Athlete*, WADA and the *Relevant MNA* before exercising its discretion under this Regulation.

### 21.9 Automatic *Disqualification* of Individual Results

An anti-doping rule violation in *Individual Sports* in connection with an *In-Competition* test automatically leads to *Disqualification* of the result obtained in that *Competition* with all resulting *Consequences*, including forfeiture of any medals, points and prizes.

### 21.10 Sanctions on Individuals

#### 21.10.1 *Disqualification* of Results in the *Event* during which an Anti-Doping Rule Violation Occurs

An anti-doping rule violation occurring during or in connection with an *Event* may, upon the decision of the ruling body of the *Event*, lead to *Disqualification* of all of the *Athlete's* individual results obtained in that *Event* with all *Consequences*, including forfeiture of all medals, points and prizes, except as provided in Regulation 21.10.1(a).

Factors to be included in considering whether to *Disqualify* other results in an *Event* might include, for example, the seriousness of the *Athlete's* anti-doping rule violation and whether the *Athlete* tested negative in the other *Competitions*.

- (a) If the *Athlete* establishes that he or she bears *No Fault or Negligence* for the violation, the *Athlete's* individual results in the other *Competitions* shall not be *Disqualified*, unless the *Athlete's* results in *Competitions* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

#### 21.10.2 *Ineligibility* for Presence, Use or Attempted Use, or Possession of a Prohibited Substance or Prohibited Method

The period of *Ineligibility* for a violation of Regulations 21.2.1, 21.2.2 or 21.2.6 shall be as follows, subject to potential reduction or suspension pursuant to Regulations 21.10.4, 21.10.5 or 21.10.6:

- (a) The period of *Ineligibility* shall be four years where:
  - (i) The anti-doping rule violation does not involve a *Specified Substance*, unless the *Athlete* or other *Person* can establish that the anti-doping rule violation was not intentional.
  - (ii) The anti-doping rule violation involves a *Specified Substance* and World Sailing can establish that the anti-doping rule violation was intentional.

- (b) If Regulation 21.10.2(a) does not apply, the period of *Ineligibility* shall be two years.
- (c) As used in Regulations 21.10.2 and 21.10.3, the term “intentional” is meant to identify those *Athletes* who cheat. The term therefore requires that the *Athlete* or other *Person* engaged in conduct which he or she knew constituted an anti-doping rule violation or knew that there was a significant risk that the conduct might constitute or result in an anti-doping rule violation and manifestly disregarded that risk. An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall be rebuttably presumed to be not intentional if the substance is a *Specified Substance* and the *Athlete* can establish that the *Prohibited Substance* was *Used Out-of-Competition*. An anti-doping rule violation resulting from an *Adverse Analytical Finding* for a substance which is only prohibited *In-Competition* shall not be considered intentional if the substance is not a *Specified Substance* and the *Athlete* can establish that the *Prohibited Substance* was *Used Out-of-Competition* in a context unrelated to sport performance.

### 21.10.3 *Ineligibility* for Other Anti-Doping Rule Violations

- (a) For violations of Regulation 21.2.3 or Regulation 21.2.5, the period of *Ineligibility* shall be four years unless, in the case of failing to submit to *Sample* collection, the *Athlete* can establish that the commission of the anti-doping rule violation was not intentional (as defined in Regulation 21.10.2(c)), in which case the period of *Ineligibility* shall be two years.
- (b) For violations of Regulation 21.2.4, the period of *Ineligibility* shall be two years, subject to reduction down to a minimum of one year, depending on the *Athlete's* degree of *Fault*. The flexibility between two years and one year of *Ineligibility* in this Regulation is not available to *Athletes* where a pattern of last-minute whereabouts changes or other conduct raises a serious suspicion that the *Athlete* was trying to avoid being available for *Testing*.
- (c) For violations of Regulation 21.2.7 or 21.2.8, the period of *Ineligibility* shall be a minimum of four years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Regulation 21.2.7 or Regulation 21.2.8 violation involving a *Minor* shall be considered a particularly serious violation and, if committed by *Athlete* (c) For violations of Regulation 21.2.7 or 21.2.8, the period of *Ineligibility* shall be a minimum of four years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Regulation 21.2.7 or Regulation 21.2.8 violation involving a *Minor* shall be considered a particularly serious violation and, if committed by *Athlete* (c) For violations of Regulation 21.2.7 or 21.2.8, the period of *Ineligibility* shall be a minimum of four years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Regulation 21.2.7 or Regulation 21.2.8 violation involving a *Minor* shall be considered a particularly serious violation and, if committed by *Athlete* (c) For violations of Regulation 21.2.7 or 21.2.8, the period of *Ineligibility* shall be a minimum of four years up to lifetime *Ineligibility*, depending on the seriousness of the violation. An Regulation 21.2.7 or Regulation 21.2.8 violation involving a *Minor* shall be considered a particularly serious violation and, if committed by *Athlete Support Personnel* for violations other than for *Specified Substances*, shall result in lifetime *Ineligibility* for *Athlete Support Personnel*. In addition, significant violations of Regulation 21.2.7 or 21.2.8 which may also violate non-sporting laws and regulations, shall be reported to the competent administrative, professional or judicial authorities.

- (d) For violations of Regulation 21.2.9, the period of *Ineligibility* imposed shall be a minimum of two years, up to four years, depending on the seriousness of the violation.
- (e) For violations of Regulation 21.2.10, the period of *Ineligibility* shall be two years, subject to reduction down to a minimum of one year, depending on the *Athlete* or other *Person's* degree of *Fault* and other circumstances of the case.

#### **21.10.4 Elimination of the Period of Ineligibility where there is No Fault or Negligence**

If an *Athlete* or other *Person* establishes in an individual case that he or she bears *No Fault or Negligence*, then the otherwise applicable period of *Ineligibility* shall be eliminated.

#### **21.10.5 Reduction of the Period of Ineligibility based on No Significant Fault or Negligence**

- (a) Reduction of Sanctions for *Specified Substances* or *Contaminated Products* for Violations of Regulation 21.2.1, 21.2.2 or 21.2.6.
  - (i) *Specified Substances*  
Where the anti-doping rule violation involves a *Specified Substance*, and the *Athlete* or other *Person* can establish *No Significant Fault or Negligence*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a maximum, two years of *Ineligibility*, depending on the *Athlete's* or other *Person's* degree of *Fault*.
  - (ii) *Contaminated Products*  
In cases where the *Athlete* or other *Person* can establish *No Significant Fault or Negligence* and that the detected *Prohibited Substance* came from a *Contaminated Product*, then the period of *Ineligibility* shall be, at a minimum, a reprimand and no period of *Ineligibility*, and at a maximum, two years *Ineligibility*, depending on the *Athlete's* or other *Person's* degree of *Fault*.
- (b) Application of *No Significant Fault or Negligence* beyond the Application of Regulation 21.10.5(a)  
If an *Athlete* or other *Person* establishes in an individual case where Regulation 21.10.5(a) is not applicable that he or she bears *No Significant Fault or Negligence*, then, subject to further reduction or elimination as provided in Regulation 21.10.6, the otherwise applicable period of *Ineligibility* may be reduced based on the *Athlete* or other *Person's* degree of *Fault*, but the reduced period of *Ineligibility* may not be less than one-half of the period of *Ineligibility* otherwise applicable. If the otherwise applicable period of *Ineligibility* is a lifetime, the reduced period under this Regulation may be no less than eight years.

#### **21.10.6 Elimination, Reduction, or Suspension of Period of Ineligibility or other Consequences for Reasons Other than Fault**

- (a) *Substantial Assistance* in Discovering or Establishing Anti-Doping Rule Violations
  - (i) World Sailing may, prior to a final appellate decision under Regulation 21.13 or the expiration of the time to appeal, suspend a part of the period of *Ineligibility* imposed in an individual case in which it has results management authority where the *Athlete* or other *Person* has provided *Substantial Assistance* to an Anti-Doping Organization, criminal authority or professional disciplinary body which results in: (i) the Anti-Doping Organization discovering or bringing forward an anti-doping rule violation by another *Person*, or (ii) which results in a criminal or disciplinary body discovering or bringing forward a criminal offense or the breach of

professional rules committed by another Person and the information provided by the Person providing Substantial Assistance is made available to World Sailing. After a final appellate decision under Regulation 21.13 or the expiration of time to appeal, World Sailing may only suspend a part of the otherwise applicable period of Ineligibility with the approval of WADA. The extent to which the otherwise applicable period of Ineligibility may be suspended shall be based on the seriousness of the anti-doping rule violation committed by the Athlete or other Person and the significance of the Substantial Assistance provided by the Athlete or other Person to the effort to eliminate doping in sport. No more than three-quarters of the otherwise applicable period of Ineligibility may be suspended. If the otherwise applicable period of Ineligibility is a lifetime, the non-suspended period under this Regulation must be no less than eight years. If the Athlete or other Person fails to continue to cooperate and to provide the complete and credible Substantial Assistance upon which a suspension of the period of Ineligibility was based, World Sailing shall reinstate the original period of Ineligibility. If World Sailing decides to reinstate a suspended period of Ineligibility or decides not to reinstate a suspended period of Ineligibility, that decision may be appealed by any Person entitled to appeal under Regulation 21.13.

- (ii) To further encourage Athletes and other Persons to provide Substantial Assistance to Anti-Doping Organizations, at the request of World Sailing or at the request of the Athlete or other Person who has (or has been asserted to have) committed an anti-doping rule violation, WADA may agree at any stage of the results management process, including after a final appellate decision under Regulation 21.13, to what it considers to be an appropriate suspension of the otherwise-applicable period of Ineligibility and other Consequences. In exceptional circumstances, WADA may agree to suspensions of the period of Ineligibility and other Consequences for Substantial Assistance greater than those otherwise provided in this Regulation, or even no period of Ineligibility, and/or no return of prize or payment of fines or costs. WADA's approval shall be subject to reinstatement of sanction, as otherwise provided in this Regulation. Notwithstanding Regulation 21.13, WADA's decisions in the context of this Regulation may not be appealed by any other Anti-Doping Organization.
- (iii) If World Sailing suspends any part of an otherwise applicable sanction because of *Substantial Assistance*, then notice providing justification for the decision shall be provided to the other Anti-Doping Organizations with a right to appeal under Regulation 21.13.2(c) as provided in Regulation 21.14.2. In unique circumstances where WADA determines that it would be in the best interest of anti-doping, WADA may authorize World Sailing to enter into appropriate confidentiality agreements limiting or delaying the disclosure of the Substantial Assistance agreement or the nature of *Substantial Assistance* being provided.

(b) Admission of an Anti-Doping Rule Violation in the Absence of Other Evidence

Where an *Athlete* or other *Person* voluntarily admits the commission of an anti-doping rule violation before having received notice of a *Sample* collection which could establish an anti-doping rule violation (or, in the case of an anti-doping rule violation other than Regulation 21.2.1, before receiving first notice of the admitted violation pursuant to Regulation 21.7) and that admission is the only reliable evidence of the violation at the time of admission, then the period of *Ineligibility* may be reduced, but not below one-half of the period of *Ineligibility* otherwise applicable.

- (c) Prompt Admission of an Anti-Doping Rule Violation after being Confronted with a Violation Sanctionable under Regulation 21.10.2(a) or Regulation 21.10.3(a)

An *Athlete* or other *Person* potentially subject to a four-year sanction under Regulation 21.10.2(a) or 21.10.3(a) (for evading or refusing *Sample Collection* or *Tampering with Sample Collection*), by promptly admitting the asserted anti-doping rule violation after being confronted by World Sailing, and also upon the approval and at the discretion of both *WADA* and World Sailing, may receive a reduction in the period of *Ineligibility* down to a minimum of two years, depending on the seriousness of the violation and the *Athlete* or other *Person's* degree of *Fault*.

- (d) Application of Multiple Grounds for Reduction of a Sanction

Where an *Athlete* or other *Person* establishes entitlement to reduction in sanction under more than one provision of Regulation 21.10.4, 21.10.5 or 21.10.6, before applying any reduction or suspension under Regulation 21.10.6, the otherwise applicable period of *Ineligibility* shall be determined in accordance with Regulations 21.10.2, 21.10.3, 21.10.4, and 21.10.5. If the *Athlete* or other *Person* establishes entitlement to a reduction or suspension of the period of *Ineligibility* under Regulation 21.10.6, then the period of *Ineligibility* may be reduced or suspended, but not below one-fourth of the otherwise applicable period of *Ineligibility*.

#### 21.10.7 Multiple Violations

- (a) For an *Athlete* or other *Person's* second anti-doping rule violation, the period of *Ineligibility* shall be the greater of:
  - (i) six months;
  - (ii) one-half of the period of *Ineligibility* imposed for the first anti-doping rule violation without taking into account any reduction under Regulation 21.10.6; or
  - (iii) twice the period of *Ineligibility* otherwise applicable to the second anti-doping rule violation treated as if it were a first violation, without taking into account any reduction under Regulation 21.10.6.

The period of *Ineligibility* established above may then be further reduced by the application of Regulation 21.10.6.

- (b) A third anti-doping rule violation will always result in a lifetime period of *Ineligibility*, except if the third violation fulfills the condition for elimination or reduction of the period of *Ineligibility* under Regulation 21.10.4 or 21.10.5, or involves a violation of Regulation 21.2.4. In these particular cases, the period of *Ineligibility* shall be from eight years to lifetime *Ineligibility*.
- (c) An anti-doping rule violation for which an *Athlete* or other *Person* has established *No Fault or Negligence* shall not be considered a prior violation for purposes of this Regulation.
- (d) Additional Rules for Certain Potential Multiple Violations
  - (i) For purposes of imposing sanctions under Regulation 21.10.7, an anti-doping rule violation will only be considered a second violation if World Sailing can establish that the *Athlete* or other *Person* committed the second anti-doping rule violation after the *Athlete* or other *Person* received notice pursuant to Regulation 21.7, or after World Sailing made reasonable efforts to give notice of the first anti-doping rule violation. If World Sailing cannot establish this, the violations shall be considered together as one single first violation, and the sanction imposed shall be based on the violation that carries the more severe sanction.

- (ii) If, after the imposition of a sanction for a first anti-doping rule violation, World Sailing discovers facts involving an anti-doping rule violation by the Athlete or other Person which occurred prior to notification regarding the first violation, then World Sailing shall impose an additional sanction based on the sanction that could have been imposed if the two violations had been adjudicated at the same time. Results in all Competitions dating back to the earlier anti-doping rule violation will be Disqualified as provided in Regulation 21.10.8.
- (e) Multiple Anti-Doping Rule Violations during Ten-Year Period  
For purposes of Regulation 21.10.7, each anti-doping rule violation must take place within the same ten-year period in order to be considered multiple violations.

### **21.10.8 Disqualification of Results in Competitions Subsequent to Sample Collection or Commission of an Anti-Doping Rule Violation**

In addition to the automatic *Disqualification* of the results in the *Competition* which produced the positive *Sample* under Regulation 21.9, all other competitive results of the *Athlete* obtained from the date a positive *Sample* was collected (whether *In-Competition* or *Out-of-Competition*), or other anti-doping rule violation occurred, through the commencement of any *Provisional Suspension* or *Ineligibility* period, shall, unless fairness requires otherwise, be *Disqualified* with all of the resulting *Consequences* including forfeiture of any medals, points and prizes.

### **21.10.9 Allocation of CAS Cost Awards and Forfeited Prize Money**

The priority for repayment of CAS cost awards and forfeited prize money shall be: first, payment of costs awarded by CAS; and second, reimbursement of the expenses of the *Relevant MNA and World Sailing (in the proportions they were incurred)*.

### **21.10.10 Financial Consequences**

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### **21.10.11 Commencement of Ineligibility Period**

Except as provided below, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived or there is no hearing, on the date *Ineligibility* is accepted or otherwise imposed.

- (a) Delays Not Attributable to the *Athlete* or other *Person*  
Where there have been substantial delays in the hearing process or other aspects of *Doping Control* not attributable to the *Athlete* or other *Person*, World Sailing may start the period of *Ineligibility* at an earlier date commencing as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. All competitive results achieved during the period of *Ineligibility*, including retroactive *Ineligibility*, shall be *Disqualified*.
- (b) Timely Admission  
Where the *Athlete* or other *Person* promptly (which, in all events, for an *Athlete* means before the *Athlete* competes again) admits the anti-doping rule violation after being confronted with the anti-doping rule violation by World Sailing, the period of *Ineligibility* may start as early as the date of *Sample* collection or the date on which another anti-doping rule violation last occurred. In each case, however, where this Regulation is applied, the *Athlete* or other *Person* shall serve at least one-half of the period of *Ineligibility* going forward from the date the *Athlete* or other *Person* accepted the imposition of a sanction, the date of a hearing decision imposing a sanction, or the date the sanction is otherwise imposed. This Regulation shall not apply where the period of *Ineligibility* has already been reduced under Regulation 21.10.6(c).

- (c) Credit for *Provisional Suspension* or Period of *Ineligibility* Served
- (i) If a *Provisional Suspension* is imposed and respected by the *Athlete* or other *Person*, then the *Athlete* or other *Person* shall receive a credit for such period of *Provisional Suspension* against any period of *Ineligibility* which may ultimately be imposed. If a period of *Ineligibility* is served pursuant to a decision that is subsequently appealed, then the *Athlete* or other *Person* shall receive a credit for such period of *Ineligibility* served against any period of *Ineligibility* which may ultimately be imposed on appeal.
  - (ii) If an *Athlete* or other *Person* voluntarily accepts a *Provisional Suspension* in writing from World Sailing and thereafter respects the *Provisional Suspension*, the *Athlete* or other *Person* shall receive a credit for such period of voluntary *Provisional Suspension* against any period of *Ineligibility* which may ultimately be imposed. A copy of the *Athlete* or other *Person*'s voluntary acceptance of a *Provisional Suspension* shall be provided promptly to each party entitled to receive notice of an asserted anti-doping rule violation under Regulation 21.14.1.
  - (iii) No credit against a period of *Ineligibility* shall be given for any time period before the effective date of the *Provisional Suspension* or voluntary *Provisional Suspension* regardless of whether the *Athlete* elected not to compete or was suspended by his or her team.
  - (iv) In *Team Sports*, where a period of *Ineligibility* is imposed upon a team, unless fairness requires otherwise, the period of *Ineligibility* shall start on the date of the final hearing decision providing for *Ineligibility* or, if the hearing is waived, on the date *Ineligibility* is accepted or otherwise imposed. Any period of team *Provisional Suspension* (whether imposed or voluntarily accepted) shall be credited against the total period of *Ineligibility* to be served.

#### 21.10.12 Status During Ineligibility

- (a) Prohibition Against Participation During *Ineligibility*

No *Athlete* or other *Person* who has been declared *Ineligible* may, during the period of *Ineligibility*, participate in any capacity in a *Competition* or activity (other than authorized anti-doping education or rehabilitation programs) authorized or organized by World Sailing or any *MNA* or a club or other member organization of World Sailing or any *MNA*, or in *Competitions* authorized or organized by any professional league or any international or national level *Event* organization or any elite or national-level sporting activity funded by a governmental agency.

An *Athlete* or other *Person* subject to a period of *Ineligibility* longer than four years may, after completing four years of the period of *Ineligibility*, participate as an *Athlete* in local sport events not sanctioned or otherwise under the jurisdiction of a *Code Signatory* or member of a *Code Signatory*, but only so long as the local sport event is not at a level that could otherwise qualify such *Athlete* or other *Person* directly or indirectly to compete in (or accumulate points toward) a national championship or *International Event*, and does not involve the *Athlete* or other *Person* working in any capacity with *Minors*.

An *Athlete* or other *Person* subject to a period of *Ineligibility* shall remain subject to *Testing*.

- (b) Return to Training

As an exception to Regulation 21.10.12(a), an *Athlete* may return to train with a team or to use the facilities of a club or other member organization of World Sailing's member organization during the shorter of: (1) the last two months of

the *Athlete's* period of *Ineligibility*, or (2) the last one-quarter of the period of *Ineligibility* imposed.

(c) **Violation of the Prohibition of Participation During Ineligibility**

Where an *Athlete* or other *Person* who has been declared *Ineligible* violates the prohibition against participation during *Ineligibility* described in Regulation 21.10.12(a), the results of such participation shall be *Disqualified* and a new period of *Ineligibility* equal in length up to the original period of *Ineligibility* shall be added to the end of the original period of *Ineligibility*. The new period of *Ineligibility* may be adjusted based on the *Athlete* or other *Person's* degree of *Fault* and other circumstances of the case. The determination of whether an *Athlete* or other *Person* has violated the prohibition against participation, and whether an adjustment is appropriate, shall be made by World Sailing. This decision may be appealed under Regulation 21.13.

Where an *Athlete Support Person* or other *Person* assists a *Person* in violating the prohibition against participation during *Ineligibility*, World Sailing shall impose sanctions for a violation of Regulation 21.2.9 for such assistance.

(d) **Withholding of Financial Support during Ineligibility**

In addition, for any anti-doping rule violation not involving a reduced sanction as described in Regulation 21.10.4 or 21.10.5, some or all sport-related financial support or other sport-related benefits received by such *Person* will be withheld by World Sailing and its *MNAs*.

### **21.10.13 Automatic Publication of Sanction**

A mandatory part of each sanction shall include automatic publication, as provided in Regulation 21.14.3.

## **21.11 Consequences to Teams**

### **21.11.1 Testing of Teams Sports**

Where one member of a team has been notified of an anti-doping rule violation under Regulation 21.7 in connection with an Event, the ruling body for the Event shall conduct appropriate Target Testing of all members of the team during the Event Period.

### **21.11.3 Event Ruling Body may Establish Stricter Consequences for Team Sports**

The ruling body for an *Event* may elect to establish rules for the *Event* which impose *Consequences for Team Sports* stricter than those in Regulation 21.11.2 for the purposes of the *Event*.

21.11.4 The boat and its crewmembers may be subject to such further disciplinary action by the Protest Committee, Jury or World Sailing as deemed appropriate, when satisfied that there has been a breaching Racing Rule of Sailing 2 (Fair Sailing).

### **21.11.5 Testing of Teams**

Where one member of a team (outside of *Team Sports*) has been notified of an anti-doping rule violation under Regulation 21.7 in connection with an *Event*, the ruling body for the *Event* shall conduct appropriate *Target Testing* of all members of the team during the *Event Period*.

- (a) An anti-doping rule violation committed by a member of a team in connection with an In-Competition test automatically leads to Disqualification of the result obtained by the team in that Competition, with all resulting consequences for the team and its members, including forfeiture of any medals, points and prizes.
- (b) An anti-doping rule violation committed by a member of a team occurring during or in connection with an *Event* may lead to *Disqualification* of all of the results



obtained by the team in that *Event* with all consequences for the team and its members, including forfeiture of all medals, points and prizes, except as provided in Regulation 21.11.5(c).

- (c) Where an *Athlete* who is a member of a team committed an anti-doping rule violation during or in connection with one *Competition* in an *Event*, if the other member(s) of the team establish(es) that he/she/they bear(s) *No Fault or Negligence* for that violation, the results of the team in any other *Competition(s)* in that *Event* shall not be *Disqualified* unless the results of the team in the *Competition(s)* other than the *Competition* in which the anti-doping rule violation occurred were likely to have been affected by the *Athlete's* anti-doping rule violation.

The boat and its crewmembers may be subject to such further disciplinary action by the protest committee, jury or World Sailing as deemed appropriate, when satisfied that there has been a breaching RRS 2 (Fair Sailing).

## 21.12 Sanctions and Costs Assessed Against Sporting Bodies

12.1 World Sailing has the authority to withhold some or all funding or other non-financial support to *MNAs* that are not in compliance with these Anti-Doping Rules

## 21.13 Appeals

### 21.13.1 Decisions Subject to Appeal

Decisions made under these Anti-Doping Rules may be appealed as set forth below in Regulation 21.13.2 through 21.13.7 or as otherwise provided in these Anti-Doping Rules, the *Code* or the *International Standards*. Such decisions shall remain in effect while under appeal unless the appellate body orders otherwise. Before an appeal is commenced, any post-decision review provided in the *Anti-Doping Organization's* rules must be exhausted, provided that such review respects the principles set forth in Regulation 21.2.2 below (except as provided in Regulation 21.13.1(c)).

- (a) **Scope of Review Not Limited**  
The scope of review on appeal includes all issues relevant to the matter and is expressly not limited to the issues or scope of review before the initial decision maker.
- (b) **CAS Shall Not Defer to the Findings Being Appealed**  
In making its decision, *CAS* need not give deference to the discretion exercised by the body whose decision is being appealed.
- (c) **WADA Not Required to Exhaust Internal Remedies**  
Where *WADA* has a right to appeal under Regulation 21.13 and no other party has appealed a final decision within World Sailing and its *MNA's* process, *WADA* may appeal such decision directly to *CAS* without having to exhaust other remedies in World Sailing and its *MNA's* process.

### 21.13.2 Appeals from Decisions Regarding Anti-Doping Rule Violations, Consequences, Provisional Suspensions, Recognition of Decisions and Jurisdiction

A decision that an anti-doping rule violation was committed, a decision imposing *Consequences* or not imposing *Consequences* for an anti-doping rule violation, or a decision that no anti-doping rule violation was committed; a decision that an anti-doping rule violation proceeding cannot go forward for procedural reasons (including, for example, prescription); a decision by *WADA* not to grant an exception to the six months notice requirement for a retired *Athlete* to return to *Competition* under Regulation 21.5.7(a); a decision by *WADA* assigning results management under Article 7.1 of the *Code*; a decision by World Sailing not to bring forward an *Adverse Analytical Finding* or

an *Atypical Finding* as an anti-doping rule violation, or a decision not to go forward with an anti-doping rule violation after an investigation under Regulation 21.7.7; a decision to impose a *Provisional Suspension* as a result of a *Provisional Hearing*; World Sailing's failure to comply with Regulation 21.7.9; a decision that World Sailing and/or its MNA lacks jurisdiction to rule on an alleged anti-doping rule violation or its *Consequences*; a decision to suspend, or not suspend, a period of *Ineligibility* or to reinstate, or not reinstate, a suspended period of *Ineligibility* under Regulation 21.10.6(a); a decision under Regulation 21.10.12(c); and a decision by World Sailing not to recognize another *Anti-Doping Organization's* decision under Regulation 21.15, may be appealed exclusively as provided in Regulations 21.13.2 – 21.13.7

(a) Appeals Involving *International-Level Athletes* or *International Events*

In cases arising from participation in an *International Event* or in cases involving *International-Level Athletes*, the decision may be appealed exclusively to CAS.

(b) Appeals Involving Other *Athletes* or Other *Persons*

In cases where Regulation 21.13.2(a) is not applicable, the decision may be appealed to a national-level appeal body, being an independent and impartial body established in accordance with rules adopted by the *National Anti-Doping Organization* having jurisdiction over the *Athlete* or other *Person*. The rules for such appeal shall respect the following principles: a timely hearing; a fair and impartial hearing panel; the right to be represented by counsel at the *Person's* own expense; and a timely, written, reasoned decision. If the *National Anti-Doping Organization* has not established such a body, the decision may be appealed to CAS in accordance with the provisions applicable before such court.

(c) *Persons* Entitled to Appeal

In cases under Regulation 21.13.2(a), the following parties shall have the right to appeal to CAS: (a) the *Athlete* or other *Person* who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) World Sailing; (d) the *National Anti-Doping Organization* of the *Person's* country of residence or countries where the *Person* is a national or license holder; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA.

In cases under Regulation 21.13.2(b), the parties having the right to appeal to the national-level appeal body shall be as provided in the *National Anti-Doping Organization's* rules but, at a minimum, shall include the following parties: (a) the *Athlete* or other *Person* who is the subject of the decision being appealed; (b) the other party to the case in which the decision was rendered; (c) World Sailing; (d) the *National Anti-Doping Organization* of the *Person's* country of residence; (e) the International Olympic Committee or International Paralympic Committee, as applicable, where the decision may have an effect in relation to the Olympic Games or Paralympic Games, including decisions affecting eligibility for the Olympic Games or Paralympic Games; and (f) WADA. For cases under Regulation 21.13(b), WADA, the International Olympic Committee, the International Paralympic Committee, and World Sailing shall also have the right to appeal to CAS with respect to the decision of the national-level appeal body. Any party filing an appeal shall be entitled to assistance from CAS to obtain all relevant information from the *Anti-Doping Organization* whose decision is being appealed and the information shall be provided if CAS so directs.

Notwithstanding any other provision herein, the only *Person* who may appeal from a *Provisional Suspension* is the *Athlete* or other *Person* upon whom the *Provisional Suspension* is imposed.

## (d) Cross Appeals and other Subsequent Appeals Allowed

Cross appeals and other subsequent appeals by any respondent named in cases brought to *CAS* under the *Code* are specifically permitted. Any party with a right to appeal under this Regulation 13 must file a cross appeal or subsequent appeal at the latest with the party's answer.

**21.13.3 Failure to Render a Timely Decision**

Where, in a particular case, World Sailing and/or its MNAs fails to render a decision with respect to whether an anti-doping rule violation was committed within a reasonable deadline set by *WADA*, *WADA* may elect to appeal directly to *CAS* as if World Sailing and its MNAs had rendered a decision finding no anti-doping rule violation. If the *CAS* hearing panel determines that an anti-doping rule violation was committed and that *WADA* acted reasonably in electing to appeal directly to *CAS*, then *WADA*'s costs and attorney fees in prosecuting the appeal shall be reimbursed to *WADA* by World Sailing and/or its MNAs.

**21.13.4 Appeals Relating to TUEs**

*TUE* decisions may be appealed exclusively as provided in Regulation 21.4.4.

**21.13.5 Notification of Appeal Decisions**

Any *Anti-Doping Organization* that is a party to an appeal shall promptly provide the appeal decision to the *Athlete* or other *Person* and to the other *Anti-Doping Organizations* that would have been entitled to appeal under Regulation 21.13.2(c) as provided under Regulation 21.14.2.

**21.13.6 Appeal from Decisions Pursuant to Regulation 12**

Decisions by World Sailing pursuant to Regulation 21.12 may be appealed exclusively to *CAS* by the *MNA*.

**21.13.7 Time for Filing Appeals**(a) Appeals to *CAS*

The time to file an appeal to *CAS* shall be twenty-one days from the date of receipt of the decision by the appealing party. The above notwithstanding, the following shall apply in connection with appeals filed by a party entitled to appeal but which was not a party to the proceedings that led to the decision being appealed:

- (i) Within fifteen days from notice of the decision, such party/ies shall have the right to request a copy of the case file from the body that issued the decision;
- (ii) If such a request is made within the fifteen-day period, then the party making such request shall have twenty-one days from receipt of the file to file an appeal to *CAS*.

The above notwithstanding, the filing deadline for an appeal filed by *WADA* shall be the later of:

- (i) Twenty-one days after the last day on which any other party in the case could have appealed; or
- (ii) Twenty-one days after *WADA*'s receipt of the complete file relating to the decision.

## (b) Appeals Under Regulation 21.13.2(b)

The time to file an appeal to an independent and impartial body established at national level in accordance with rules established by the *National Anti-Doping*

*Organization* shall be indicated by the same rules of the *National Anti-Doping Organization*.

The above notwithstanding, the filing deadline for an appeal or intervention filed by *WADA* shall be the later of:

- (i) Twenty-one days after the last day on which any other party in the case could have appealed, or
- (ii) Twenty-one days after *WADA*'s receipt of the complete file relating to the decision.

### 21.14 Confidentiality and Reporting

#### 21.14.1 Information Concerning **Adverse Analytical Findings, Atypical Findings, and Other Asserted Anti-Doping Rule Violations**

- (a) Notice of Anti-Doping Rule Violations to Athletes and other Persons Notice to *Athletes* or other *Persons* of anti-doping rule violations asserted against them shall occur as provided under Regulations 21.7 and 21.14 of these Anti-Doping Rules.

- (b) Notice of Anti-Doping Rule Violations to National Anti-Doping Organizations and *WADA*

Notice of the assertion of an anti-doping rule violation to *National Anti-Doping Organizations* and *WADA* shall occur as provided under Regulations 21.7 and 21.14 of these Anti-Doping Rules, simultaneously with the notice to the *Athlete* or other *Person*.

- (c) Content of an Anti-Doping Rule Violation Notice

Notification of an anti-doping rule violation under Regulation 21.2.1 shall include: the *Athlete's* name, country, sport and discipline within the sport, the *Athlete's* competitive level, whether the test was *In-Competition* or *Out-of-Competition*, the date of *Sample* collection, the analytical result reported by the laboratory, and other information as required by the International Standard for Testing and Investigations.

Notice of anti-doping rule violations other than under Regulation 21.2.1 shall include the rule violated and the basis of the asserted violation.

- (d) Status Reports

Except with respect to investigations which have not resulted in notice of an anti-doping rule violation pursuant to Regulation 21.14.1(a), *National Anti-Doping Organizations* and *WADA* shall be regularly updated on the status and findings of any review or proceedings conducted pursuant to Regulation 21.7, 21.8 or 21.13 and shall be provided with a prompt written reasoned explanation or decision explaining the resolution of the matter.

- (e) Confidentiality

The recipient organizations shall not disclose this information beyond those *Persons* with a need to know (which would include the appropriate personnel at the applicable *National Olympic Committee*, *MNA*, and team in a *Team Sport*) until World Sailing has made *Public Disclosure* or has failed to make *Public Disclosure* as required in Regulation 21.14.3.

- (f) World Sailing shall ensure that information concerning Adverse Analytical Findings, Atypical Findings, and other asserted anti-doping rule violations remains confidential until such information is Publicly Disclosed in accordance with Regulation 21.14.3.

#### 21.14.2 Notice of Anti-Doping Rule Violation Decisions and Request for Files

- (a) Anti-doping rule violation decisions rendered pursuant to Regulation 21.7.11, 21.8.2, 21.10.4, 21.10.5, 21.10.6, 21.10.12(c) or 21.13.5 shall include the full reasons for the decision, including, if applicable, a justification for why the greatest possible *Consequences* were not imposed. Where the decision is not in English or French, the *Relevant MNA* shall provide a short English or French summary of the decision and the supporting reasons.
- (b) An Anti-Doping Organization having a right to appeal a decision received pursuant to Regulation 21.14.2(a) or World Sailing may, within fifteen days of receipt, request a copy of the full case file pertaining to the decision.

### 21.14.3 Public Disclosure

- (a) The identity of any Athlete or other Person who is asserted by the Relevant MNA to have committed an anti-doping rule violation may be Publicly Disclosed by the Relevant MNA only after notice has been provided to the Athlete or other Person in accordance with Regulation 21.7.3, 21.7.4, 21.7.5, 21.7.6 or 21.7.7 and simultaneously to WADA, World Sailing, and the National Anti-Doping Organization of the Athlete or other Person in accordance with Regulation 21.14.1(b).
- (b) No later than twenty days after it has been determined in a final appellate decision under Regulation 21.13.2(a) or 21.13.2(b), or such appeal has been waived, or a hearing in accordance with Regulation 21.8 has been waived, the Relevant MNA the assertion of an anti-doping rule violation has not been timely challenged, World Sailing must Publicly Report the disposition of the matter, including the sport, the anti-doping rule violated, the name of the Athlete or other Person committing the violation, the Prohibited Substance or Prohibited Method involved (if any), and the Consequences imposed. The Relevant MNA must also Publicly Report within twenty days the results of final appeal decisions concerning anti-doping rule violations, including the information described above.
- (c) In any case where it is determined, after a hearing or appeal, that the *Athlete* or other *Person* did not commit an anti-doping rule violation, the decision may be *Publicly Disclosed* only with the consent of the *Athlete* or other *Person* who is the subject of the decision. The *Relevant MNA* shall use reasonable efforts to obtain such consent. If consent is obtained, the *Relevant MNA* shall *Publicly Disclose* the decision in its entirety or in such redacted form as the *Athlete* or other *Person* may approve.
- (d) Publication shall be accomplished at a minimum by placing the required information on the *Relevant MNA* website or publishing it through other means and leaving the information up for the longer of one month or the duration of any period of *Ineligibility*.
- (e) Neither World Sailing, nor its MNAs, nor any official of either body, shall publicly comment on the specific facts of any pending case (as opposed to general description of process and science) except in response to public comments attributed to the Athlete or other Person against whom an anti-doping rule violation is asserted, or their representatives.
- (f) The mandatory *Public Reporting* required in Regulation 21.14.3(b) shall not be required where the *Athlete* or other *Person* who has been found to have committed an anti-doping rule violation is a *Minor*. Any optional *Public Reporting* in a case involving a *Minor* shall be proportionate to the facts and circumstances of the case.

### 21.14.4 Statistical Reporting

World Sailing shall publish at least annually a general statistical report of its *Doping Control* activities, with a copy provided to WADA. World Sailing may also publish reports

showing the name of each *Athlete* tested and the date of each *Testing*.

#### **21.14.5 Doping Control Information Clearinghouse**

To facilitate coordinated test distribution planning and to avoid unnecessary duplication in *Testing* by the various *Anti-Doping Organizations*, World Sailing shall report all *In-Competition* and *Out-of-Competition* tests on such *Athletes* to the WADA clearinghouse, using ADAMS, as soon as possible after such tests have been conducted. This information will be made accessible, where appropriate and in accordance with the applicable rules, to the *Athlete*, the *Athlete's National Anti-Doping Organization* and any other *Anti-Doping Organizations* with *Testing* authority over the *Athlete*.

#### **21.14.6 Data Privacy**

- (a) World Sailing and MNAs may collect, store, process or disclose personal information relating to *Athletes* and other *Persons* where necessary and appropriate to conduct their anti-doping activities under the Code, the International Standards (including specifically the International Standard for the Protection of Privacy and Personal Information) and these Anti-Doping Rules.
- (b) Any *Participant* who submits information including personal data to any *Person* in accordance with these Anti-Doping Rules shall be deemed to have agreed, pursuant to applicable data protection laws and otherwise, that such information may be collected, processed, disclosed and used by such *Person* for the purposes of the implementation of these Anti-Doping Rules, in accordance with the International Standard for the Protection of Privacy and Personal Information and otherwise as required to implement these Anti-Doping Rules.

#### **21.15 Application and Recognition of Decisions**

21.15.1 Subject to the right to appeal provided in Regulation 21.13, *Testing*, hearing results or other final adjudications of any *Signatory* which are consistent with the Code and are within that *Signatory's* authority shall be applicable worldwide and shall be recognized and respected by World Sailing and all its MNAs.

21.15.2 World Sailing and its MNAs shall recognize the measures taken by other bodies which have not accepted the Code if the rules of those bodies are otherwise consistent with the Code.

21.15.3 Subject to the right to appeal provided in Regulation 21.13, any decision of World Sailing regarding a violation of these Anti-Doping Rules shall be recognized by all MNAs, which shall take all necessary action to render such decision effective.

#### **21.16 Incorporation of World Sailing Anti-Doping Rules and Obligations of MNAs**

21.16.1 All MNAs and their members shall comply with these Anti-Doping Rules. All MNAs and other members shall include in their regulations the provisions necessary to ensure that World Sailing may enforce these Anti-Doping Rules directly as against *Athletes* under their anti doping jurisdiction (including *National-Level Athletes*). These Anti-Doping Rules shall also be incorporated either directly or by reference into each MNA's rules so that the MNA may enforce them itself directly as against *Athletes* under its anti-doping jurisdiction (including *National-Level Athletes*).

21.16.2 All MNAs shall establish rules requiring all *Athletes* and each *Athlete Support Personnel* who participates as coach, trainer, manager, team staff, official, medical or paramedical personnel in a *Competition* or activity authorized or organized by a MNA or one of its member organizations to agree to be bound by these Anti-Doping Rules and to submit to the results management authority of the *Anti-Doping Organization* responsible under the Code as a condition of such participation.

21.16.3 All *MNAs* shall report any information suggesting or relating to an anti-doping rule violation to World Sailing and to their *National Anti-Doping Organizations*, and shall cooperate with investigations conducted by any *Anti-Doping Organization* with authority to conduct the investigation.

21.16.4 All *MNAs* shall have disciplinary rules in place to prevent *Athlete Support Personnel* who are *Using Prohibited Substances* or *Prohibited Methods* without valid justification from providing support to *Athletes* under the jurisdiction of World Sailing or the *MNA*.

21.16.5 All *MNAs* shall be required to conduct anti-doping education in coordination with their *National Anti-Doping Organizations*.

### 21.17 Stature of Limitations

No anti-doping rule violation proceeding may be commenced against an *Athlete* or other *Person* unless he or she has been notified of the anti-doping rule violation as provided in Regulation 21.7, or notification has been reasonably attempted, within ten years from the date the violation is asserted to have occurred.

### 21.18 World Sailing Compliance Reports to WADA

World Sailing will report to WADA on World Sailing's compliance with the *Code* in accordance with Article 23.5.2 of the *Code*.

### 21.19 Education

World Sailing shall plan, implement, evaluate and monitor information, education and prevention programs for doping-free sport on at least the issues listed at Regulation 21.18.2 of the *Code*, and shall support active participation by *Athletes* and *Athlete Support Personnel* in such programs.

### 21.20 Amendment and Interpretation of Anti-Doping Rules

21.20.1 These Anti-Doping Rules may be amended from time to time by World Sailing.

21.20.2 These Anti-Doping Rules shall be interpreted as an independent and autonomous text and not by reference to existing law or statutes.

21.20.3 The headings used for the various Parts and Regulations of these Anti-Doping Rules are for convenience only and shall not be deemed part of the substance of these Anti-Doping Rules or to affect in any way the language of the provisions to which they refer.

21.20.4 The *Code* and the *International Standards* shall be considered integral parts of these Anti-Doping Rules and shall prevail in case of conflict.

21.20.5 These Anti-Doping Rules have been adopted pursuant to the applicable provisions of the *Code* and shall be interpreted in a manner that is consistent with applicable provisions of the *Code*. The Introduction shall be considered an integral part of these Anti-Doping Rules.

21.20.6 The comments annotating various provisions of the *Code* and these Anti-Doping Rules shall be used to interpret these Anti-Doping Rules.

21.20.7 These Anti-Doping Rules have come into full force and effect on 1 January 2015 (the "Effective Date"). They shall not apply retroactively to matters pending before the Effective Date; provided, however, that:

- (a) Anti-doping rule violations taking place prior to the Effective Date count as "first violations" or "second violations" for purposes of determining sanctions under Regulation 21.10 for violations taking place after the Effective Date.
- (b) The retrospective periods in which prior violations can be considered for purposes of multiple violations under Regulation 21.10.7(e) and the statute of

limitations set forth in Regulation 21.17 are procedural rules and should be applied retroactively; provided, however, that Regulation 21.17 shall only be applied retroactively if the statute of limitations period has not already expired by the Effective Date. Otherwise, with respect to any anti-doping rule violation case which is pending as of the Effective Date and any anti-doping rule violation case brought after the Effective Date based on an anti-doping rule violation which occurred prior to the Effective Date, the case shall be governed by the substantive anti-doping rules in effect at the time the alleged anti-doping rule violation occurred unless the panel hearing the case determines the principle of “lex mitior” appropriately applies under the circumstances of the case.

- (c) Any Regulation 21.2.4 whereabouts failure (whether a Filing Failure or a Missed Test, as those terms are defined in the International Standard for Testing and Investigations) prior to the Effective Date shall be carried forward and may be relied upon, prior to expiry, in accordance with the International Standard for Testing and Investigation, but it shall be deemed to have expired 12 months after it occurred.
- (d) With respect to cases where a final decision finding an anti-doping rule violation has been rendered prior to the Effective Date, but the *Athlete* or other *Person* is still serving the period of *Ineligibility* as of the Effective Date, the *Athlete* or other *Person* may apply to the *Anti-Doping Organization* which had results management responsibility for the anti-doping rule violation to consider a reduction in the period of *Ineligibility* in light of these Anti-Doping Rules. Such application must be made before the period of *Ineligibility* has expired. The decision rendered may be appealed pursuant to Regulation 21.13.2. These Anti-Doping Rules shall have no application to any case where a final decision finding an anti-doping rule violation has been rendered and the period of *Ineligibility* has expired.
- (e) For purposes of assessing the period of *Ineligibility* for a second violation under Regulation 21.10.7(a), where the sanction for the first violation was determined based on rules in force prior to the Effective Date, the period of *Ineligibility* which would have been assessed for that first violation had these Anti-Doping Rules been applicable, shall be applied.

### 21.21 Interpretation of the *Code*

- 21.21.1 The official text of the *Code* shall be maintained by WADA and shall be published in English and French. In the event of any conflict between the English and French versions, the English version shall prevail.
- 21.21.2 The comments annotating various provisions of the *Code* shall be used to interpret the *Code*.
- 21.21.3 The *Code* shall be interpreted as an independent and autonomous text and not by reference to the existing law or statutes of the *Signatories* or governments.
- 21.21.4 The headings used for the various Parts and Regulations of the *Code* are for convenience only and shall not be deemed part of the substance of the *Code* or to affect in any way the language of the provisions to which they refer.
- 21.21.5 The *Code* shall not apply retroactively to matters pending before the date the *Code* is accepted by a *Signatory* and implemented in its rules. However, pre-*Code* anti-doping rule violations would continue to count as “first violations” or “second violations” for purposes of determining sanctions under Regulation 21.10 for subsequent post-*Code* violations.
- 21.21.6 The Purpose, Scope and Organization of the World Anti-Doping Program and the *Code* and Definitions, and Appendix 1, Examples of the Application of Regulation 21.10, shall be considered integral parts of the *Code*.



## 21.22 Additional Roles and Responsibilities of *Athletes* and Other *Persons*

### 21.22.1 Roles and Responsibilities of *Athletes*

It is the responsibility of *Athletes*:

- (a) to be knowledgeable of and comply with these Anti-Doping Rules;
- (b) to be available for *Sample* collection at all times;
- (c) take responsibility, in the context of anti-doping, for what they ingest and *Use*;
- (d) to inform medical personnel of their obligation not to *Use Prohibited Substances* and *Prohibited Methods* and to take responsibility to make sure that any medical treatment received does not violate these Anti-Doping Rules;
- (e) to disclose to their National Anti-Doping Organization and to World Sailing any decision by a non-Signatory finding that the Athlete committed an anti-doping rule violation within the previous ten years; and
- (f) to cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations.
- (g) Failure by any Athlete to cooperate in full with World Sailing, a MNA or Anti-Doping Organizations investigating anti-doping rule violations may result in a report being made under Racing Rule of Sailing 69 and the failure may be regarded as gross misconduct under that rule.

### 21.22.2 Roles and Responsibilities of *Athlete Support Personnel*

It is the responsibility of *Athletes Support Personnel*:

- (a) to be knowledgeable of and comply with these Anti-Doping Rules;
- (b) to cooperate with the *Athlete Testing* program;
- (c) to use his or her influence on *Athlete* values and behavior to foster anti-doping attitudes;
- (d) to disclose to his or her *National Anti-Doping Organization* and to World Sailing any decision by a non-Signatory finding that he or she committed an anti-doping rule violation within the previous ten years;
- (e) to cooperate with *Anti-Doping Organizations* investigating anti-doping rule violations; and
- (f) not to *Use* or *Possess* any *Prohibited Substance* or *Prohibited Method* without valid justification.

Failure by *Athlete Support Personnel* to cooperate in full with *Anti-Doping Organizations* investigating anti-doping rule violations, or *Use* or *Possession* of a *Prohibited Substance* or *Prohibited Method* by an *Athlete Support Personnel* without valid justification, may result in a report being made under Racing Rule of Sailing 69 and the conduct in question may be regarded as gross misconduct.

## ANNEXE 1 EXAMPLES OF THE APPLICATION OF REGULATION 21.10

## EXAMPLE 1.

Facts: An *Adverse Analytical Finding* results from the presence of an anabolic steroid in an *In-Competition* test (Regulation 21.2.1); the *Athlete* promptly admits the anti-doping rule violation; the *Athlete* establishes *No Significant Fault or Negligence*; and the *Athlete* provides *Substantial Assistance*.

Application of Consequences:

1. The starting point would be Regulation 21.10.2. Because the *Athlete* is deemed to have *No Significant Fault* that would be sufficient corroborating evidence (Regulations 21.10.2(a)(i) and 21.10(b)(iii)) that the anti-doping rule violation was not intentional, the period of *Ineligibility* would thus be two years, not four years (Regulation 21.10.2(b)).
2. In a second step, the panel would analyze whether the *Fault*-related reductions (Regulations 21.10.4 and 21.10.5) apply. Based on *No Significant Fault or Negligence* (Regulation 21.10.5(a)) since the anabolic steroid is not a *Specified Substance*, the applicable range of sanctions would be reduced to a range of two years to one year (minimum one-half of the two year sanction). The panel would then determine the applicable period of *Ineligibility* within this range based on the *Athlete's* degree of *Fault*. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of 16 months.)
3. In a third step, the panel would assess the possibility for suspension or reduction under Regulation 21.10.6 (reductions not related to *Fault*). In this case, only Regulation 21.10.6(a) (*Substantial Assistance*) applies. (Regulation 21.10.6(c), Prompt Admission, is not applicable because the period of *Ineligibility* is already below the two-year minimum set forth in Regulation 21.10.6(c).) Based on *Substantial Assistance*, the period of *Ineligibility* could be suspended by three-quarters of 16 months.\* The minimum period of *Ineligibility* would thus be four months. (Assume for purposes of illustration in this example that the panel suspends ten months and the period of *Ineligibility* would thus be six months.)
4. Under Regulation 21.10.11, the period of *Ineligibility*, in principle, starts on the date of the final hearing decision. However, because the *Athlete* promptly admitted the anti-doping rule violation, the period of *Ineligibility* could start as early as the date of *Sample* collection, but in any event the *Athlete* would have to serve at least one-half of the *Ineligibility* period (i.e., three months) after the date of the hearing decision (Regulation 21.10.11(b)).
5. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would have to automatically *Disqualify* the result obtained in that *Competition* (Regulation 21.9).
6. According to Regulation 21.10.8, all results obtained by the *Athlete* subsequent to the date of the *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
7. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).
8. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Regulation 21.10.12(a)). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's*

period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Regulation 21.10.12(b)). Thus, the *Athlete* would be allowed to return to training one and one-half months before the end of the period of *Ineligibility*.

#### EXAMPLE 2.

Facts: An *Adverse Analytical Finding* results from the presence of a stimulant which is a *Specified Substance* in an *In-Competition* test (Regulation 21.2.1); the *Anti-Doping Organization* is able to establish that the *Athlete* committed the anti-doping rule violation intentionally; the *Athlete* is not able to establish that the *Prohibited Substance* was *Used Out-of-Competition* in a context unrelated to sport performance; the *Athlete* does not promptly admit the anti-doping rule violation as alleged; the *Athlete* does provide *Substantial Assistance*.

#### Application of Consequences:

1. The starting point would be Regulation 21.10.2. Because the *Anti-Doping Organization* can establish that the anti-doping rule violation was committed intentionally and the *Athlete* is unable to establish that the substance was permitted *Out-of-Competition* and the *Use* was unrelated to the *Athlete's* sport performance (Regulation 21.10.2(c)), the period of *Ineligibility* would be four years (Regulation 21.10.2.(a)(ii)).
2. Because the violation was intentional, there is no room for a reduction based on *Fault* (no application of Regulations 21.10.4 and 21.10.5). Based on *Substantial Assistance*, the sanction could be suspended by up to three-quarters of the four years.\* The minimum period of *Ineligibility* would thus be one year.
3. Under Regulation 21.10.11, the period of *Ineligibility* would start on the date of the final hearing decision.
4. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would automatically *Disqualify* the result obtained in the *Competition*.
5. According to Regulation 21.10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
6. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).
7. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Regulation 21.10.12(a)). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Regulation 21.10.12(b)). Thus, the *Athlete* would be allowed to return to training two months before the end of the period of *Ineligibility*.

#### EXAMPLE 3.

Facts: An *Adverse Analytical Finding* results from the presence of an anabolic steroid in an *Out-of-Competition* test (Regulation 21.2.1); the *Athlete* establishes *No Significant Fault or Negligence*; the *Athlete* also establishes that the *Adverse Analytical Finding* was caused by a *Contaminated Product*.

#### Application of Consequences:

1. The starting point would be Regulation 21.10.2. Because the *Athlete* can establish through corroborating evidence that he did not commit the anti-doping rule violation intentionally, i.e., he had *No Significant Fault* in *Using a Contaminated Product* (Regulations 21.10.2(a)(i) and 21.10.2(c)), the period of *Ineligibility* would be two years (Regulations 21.10.2(b)).

2. In a second step, the panel would analyze the *Fault*-related possibilities for reductions (Regulations 21.10.4 and 21.10.5). Since the *Athlete* can establish that the anti-doping rule violation was caused by a *Contaminated Product* and that he acted with *No Significant Fault or Negligence* based on Regulation 21.10.5(a)(ii), the applicable range for the period of *Ineligibility* would be reduced to a range of two years to a reprimand. The panel would determine the period of *Ineligibility* within this range, based on the *Athlete's* degree of *Fault*. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of four months.)
3. According to Regulation 21.10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would be *Disqualified* unless fairness requires otherwise.
4. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).
5. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Regulation 21.10.12(a)). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Regulation 21.10.12(b)). Thus, the *Athlete* would be allowed to return to training one month before the end of the period of *Ineligibility*.

### EXAMPLE 4.

Facts: An *Athlete* who has never had an *Adverse Analytical Finding* or been confronted with an anti-doping rule violation spontaneously admits that she *Used* an anabolic steroid to enhance her performance. The *Athlete* also provides *Substantial Assistance*.

### Application of Consequences:

1. Since the violation was intentional, Regulation 21.10.2(a) would be applicable and the basic period of *Ineligibility* imposed would be four years.
2. There is no room for *Fault*-related reductions of the period of *Ineligibility* (no application of Regulations 21.10.4 and 21.10.5).
3. Based on the *Athlete's* spontaneous admission (Regulation 21.10.6(b)) alone, the period of *Ineligibility* could be reduced by up to one-half of the four years. Based on the *Athlete's Substantial Assistance* (Regulation 21.10.6(a)) alone, the period of *Ineligibility* could be suspended up to three-quarters of the four years.\* Under Regulation 21.10.6(d), in considering the spontaneous admission and *Substantial Assistance* together, the most the sanction could be reduced or suspended would be up to three-quarters of the four years. The minimum period of *Ineligibility* would be one year.
4. The period of *Ineligibility*, in principle, starts on the day of the final hearing decision (Regulation 21.10.11). If the spontaneous admission is factored into the reduction of the period of *Ineligibility*, an early start of the period of *Ineligibility* under Regulation 21.10.11(b) would not be permitted. The provision seeks to prevent an *Athlete* from benefitting twice from the same set of circumstances. However, if the period of *Ineligibility* was suspended solely on the basis of *Substantial Assistance*, Regulation 21.10.11(b) may still be applied, and the period of *Ineligibility* started as early as the *Athlete's* last *Use* of the anabolic steroid.
5. According to Regulation 21.10.8, all results obtained by the *Athlete* subsequent to the date of the anti-doping rule violation until the start of the period of *Ineligibility* would be *Disqualified* unless fairness requires otherwise.

6. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).
7. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Regulation 21.10.12(a)). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Regulation 21.10.12(b)). Thus, the *Athlete* would be allowed to return to training two months before the end of the period of *Ineligibility*.

### EXAMPLE 5.

#### Facts:

An *Athlete Support Person* helps to circumvent a period of *Ineligibility* imposed on an *Athlete* by entering him into a *Competition* under a false name. The *Athlete Support Person* comes forward with this anti-doping rule violation (Regulation 21.2.9) spontaneously before being notified of an anti-doping rule violation by an *Anti-Doping Organization*.

#### Application of Consequences:

1. According to Regulation 21.10.3(d), the period of *Ineligibility* would be from two up to four years, depending on the seriousness of the violation. (Assume for purposes of illustration in this example that the panel would otherwise impose a period of *Ineligibility* of three years.)
2. There is no room for *Fault*-related reductions since intent is an element of the anti-doping rule violation in Regulation 21.2.9 (see comment to Regulation 21.10.5(b)).
3. According to Regulation 21.10.6(b), provided that the admission is the only reliable evidence, the period of *Ineligibility* may be reduced down to one-half. (Assume for purposes of illustration in this example that the panel would impose a period of *Ineligibility* of 18 months.)
4. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed* unless the *Athlete Support Person* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).

### EXAMPLE 6.

Facts: An *Athlete* was sanctioned for a first anti-doping rule violation with a period of *Ineligibility* of 14 months, of which four months were suspended because of *Substantial Assistance*. Now, the *Athlete* commits a second anti-doping rule violation resulting from the presence of a stimulant which is not a *Specified Substance* in an *In-Competition* test (Regulation 21.2.1); the *Athlete* establishes *No Significant Fault or Negligence*; and the *Athlete* provided *Substantial Assistance*. If this were a first violation, the panel would sanction the *Athlete* with a period of *Ineligibility* of 16 months and suspend six months for *Substantial Assistance*.

#### Application of Consequences:

1. Regulation 21.10.7 is applicable to the second anti-doping rule violation because Regulation 21.10.7(d)(i) and Regulation 21.10.7(e) apply.
2. Under Regulation 21.10.7(a), the period of *Ineligibility* would be the greater of:
  - (a) six months;

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- (b) one-half of the period of *Ineligibility* imposed for the first anti-doping rule violation without taking into account any reduction under Regulation 21.10.6 (in this example, that would equal one-half of 14 months, which is seven months); or
- (c) twice the period of *Ineligibility* otherwise applicable to the second anti-doping rule violation treated as if it were a first violation, without taking into account any reduction under Regulation 21.10.6 (in this example, that would equal two times 16 months, which is 32 months).

Thus, the period of *Ineligibility* for the second violation would be the greater of (a), (b) and (c), which is a period of *Ineligibility* of 32 months.

3. In a next step, the panel would assess the possibility for suspension or reduction under Regulation 21.10.6 (non-*Fault*-related reductions). In the case of the second violation, only Regulation 21.10.6(a) (*Substantial Assistance*) applies. Based on *Substantial Assistance*, the period of *Ineligibility* could be suspended by three-quarters of 32 months.\* The minimum period of *Ineligibility* would thus be eight months. (Assume for purposes of illustration in this example that the panel suspends eight months of the period of *Ineligibility* for *Substantial Assistance*, thus reducing the period of *Ineligibility* imposed to two years.)
4. Since the *Adverse Analytical Finding* was committed in a *Competition*, the panel would automatically *Disqualify* the result obtained in the *Competition*.
5. According to Regulation 21.10.8, all results obtained by the *Athlete* subsequent to the date of *Sample* collection until the start of the period of *Ineligibility* would also be *Disqualified* unless fairness requires otherwise.
6. The information referred to in Regulation 21.14.3(b) must be *Publicly Disclosed*, unless the *Athlete* is a *Minor*, since this is a mandatory part of each sanction (Regulation 21.10.13).
7. The *Athlete* is not allowed to participate in any capacity in a *Competition* or other sport-related activity under the authority of any *Signatory* or its affiliates during the *Athlete's* period of *Ineligibility* (Regulation 21.10.12(a)). However, the *Athlete* may return to train with a team or to use the facilities of a club or other member organization of a *Signatory* or its affiliates during the shorter of: (a) the last two months of the *Athlete's* period of *Ineligibility*, or (b) the last one-quarter of the period of *Ineligibility* imposed (Regulation 21.10.12(b)). Thus, the *Athlete* would be allowed to return to training two months before the end of the period of *Ineligibility*.

\* Upon the approval of WADA in exceptional circumstances, the maximum suspension of the period of *Ineligibility* for *Substantial Assistance* may be greater than three-quarters, and reporting and publication may be delayed.

**ANNEXE 2    Consent Form**

As a member of [MNA] and/or a participant in an event authorized or recognized by [MNA or International Federation], I hereby declare as follows:

I acknowledge that I am bound by, and confirm that I shall comply with, all of the provisions of World Sailing Anti-Doping Rules (as contained in World Sailing Regulation 21, as amended from time to time) and the *International Standards* issued by the World Anti-Doping Agency and published on its website.

I acknowledge the authority of World Sailing [and its member MNAs and/or National Anti-Doping Organizations] under the World Sailing Anti-Doping Rules to enforce, to manage results under, and to impose sanctions in accordance with, the World Sailing Anti-Doping Rules.

**I acknowledge and agree that any dispute arising out of a decision made pursuant to the World Sailing Anti-Doping Rules, after exhaustion of the process expressly provided for in the World Sailing Anti-Doping Rules, may be appealed exclusively as provided in Regulation 21.13 of the World Sailing Anti-Doping Rules to an appellate body for final and binding arbitration, which in the case of International-Level Athletes is the Court of Arbitration for Sport (CAS).**

I acknowledge and agree that the decisions of the arbitral appellate body referenced above shall be final and enforceable, and that I will not bring any claim, arbitration, lawsuit or litigation in any other court or tribunal.

I have read and understand the present declaration.

\_\_\_\_\_  
Date

\_\_\_\_\_  
Print Name (Last Name, First Name)

\_\_\_\_\_  
Date of Birth  
(Day/Month/Year)

\_\_\_\_\_  
Signature (or, if a minor, signature of  
legal guardian)

## ANNEXE 3 Testing

### 1. Validation of the Identity of Athletes

The *Sample* Collection Authority appointed by World Sailing will validate the identity of an *Athlete* selected to provide a sample by their Event accreditation which includes a photograph, or by their passport.

### 2. Delayed Reporting

The DCO / Chaperone may at his / her discretion consider any reasonable third party request or any request by the *Athlete* for permission to delay reporting to the *Doping Control* Station following acknowledgement and acceptance of notification, and / or to leave the *Doping Control* Station temporarily after arrival, and may grant such permission if the *Athlete* can be continuously chaperoned and kept under direct observation during the delay. For example, delayed reporting to / temporary departure from the *Doping Control* Station may be permitted for the following activities:

- (a) For *In-Competition Testing*:
  - (i) The washing down of equipment and making safe;
  - (ii) Participation in a presentation ceremony;
  - (iii) Fulfilment of media commitments;
  - (iv) Obtaining necessary medical treatment;
  - (v) Locating a representative and / or interpreter;
  - (vi) Obtaining photo identification; or
  - (vii) Any other reasonable circumstances, as determined by the DCO, taking into account any instructions of the *Testing* Authority.
- (b) For *Out-of-Competition Testing*:
  - (i) Locating a representative;
  - (ii) Completing a training session;
  - (iii) Receiving necessary medical treatment;
  - (iv) Obtaining photo identification; or
  - (v) Any other reasonable circumstances, as determined by the DCO, taking into account any instructions of the *Testing* Authority

### 3. Who May be Present During the Sample Collection Session

In addition to the *Sample* Collection Personnel the following people may be present during the *Sample* Collection Session.

- (a) A representative and / or interpreter; except when the *Athlete* is passing a urine sample;
- (b) A *Minor* may choose to be accompanied by a representative throughout the entire *Sample* Collection Session. The representative shall not witness the passing of a urine *Sample* unless requested to do so by the *Minor*. Even if the *Minor* declines a representative, the *Sample* Collection Authority, DCO or Chaperone shall consider whether another third party ought to be present during notification and / or collection of the *Sample* from the *Athlete*.



- (c) An *Athlete* with an intellectual, physical or sensorial impairment may be assisted by the *Athlete's* representative or *Sample* collection personnel during the *Sample* Collection process where authorized by the *Athlete* and agreed to by the DCO.
- (d) A WADA observer where applicable under the *Independent Observer Program*. The WADA observer shall not directly observe the passing of a urine *Sample*.

#### 4. Storage of *Sample* Collected

To ensure the integrity, identity and security of each *Sample* collected prior to transport from the Doping Control Station:

- (a) *Samples* must not be left unattended, unless they are locked away in a refrigerator or cupboard, for example. Access shall be restricted to authorized personnel.
- (b) Where possible, *Samples* should be stored in a cool environment. Warm conditions should be avoided.
- (c) The DCO shall accurately complete appropriate documentation for each transport bag / container to ensure that the laboratory can verify the contents of the bag / container.
- (d) The DCO shall follow the ADO's system to ensure that, where required, instructions for the type of analysis to be conducted are provided to the laboratory.
- (e) The DCO shall complete the Chain of Custody form. The laboratory copy of this form(s) and the laboratory copy of the Doping Control form should be placed in the transport bag with the *Samples*. Documentation identifying the *Athlete* shall not be included with the *Samples*.
- (i) The DCO shall keep the *Samples* secured and under his or her control until they are passed to the courier.

**APPENDIX 3 – ELIGIBILITY CODE**

*Note: From 1 January 2017, Regulations 19.8 – 19.13 will be substantially amended. For details, please see Submission 008-15 on the World Sailing website.*

**19. ELIGIBILITY CODE****19.1 Competition Eligibility**

- 19.1 An individual shall have Competition Eligibility unless that eligibility has been suspended or revoked. 'Competition Eligibility' means the individual has a general entitlement to take part in competition in the sport of sailing.
- 19.2 An individual who does not have Competition Eligibility, or who is in breach of any condition imposed on his Competition Eligibility, shall not compete in any competition in the sport of sailing to which the RRS or these Regulations apply in whole or in part.
- 19.3 A boat that races with, as part of her crew, a competitor who is in breach of this Code shall be disqualified from all such races.

**World Sailing Eligibility**

- 19.4 In addition to Competition Eligibility, a competitor has 'World Sailing Eligibility' if he meets the following conditions:
- (a) he is a member of his/her Member National Authority or one of its affiliated organizations. Such membership to be established by the competitor either:
    - (i) by being entered by a national authority of the country of which the competitor is a national or ordinarily a resident; or
    - (ii) by presenting a valid membership card or certificate, or other satisfactory evidence of identity and membership;
  - (b) he is registered as an 'World Sailing Sailor' on the World Sailing Website for those events listed under Regulations 19.6(a) to (c), Olympic Qualification Events and World Sailing Graded Match Racing Events;
  - (c) he has agreed to be governed and bound by the Regulations and any other requirements issued by World Sailing; and
  - (d) he has not had either his Competition Eligibility or World Sailing Eligibility suspended or revoked under Regulation 19.
- 19.5 In exceptional circumstances the Executive Committee may waive the requirements contained in Regulations 19.4.(a) and (b) for a sailor who, for good reason, is unable to comply with those requirements.

**Events Requiring World Sailing Eligibility**

- 19.6 World Sailing Eligibility is required for the following events:
- (a) the Olympic Sailing Competition;
  - (b) the sailing events of Regional Games recognized by the International Olympic Committee;
  - (c) events including "World Sailing" in their titles;
  - (d) world and continental championships of World Sailing classes and world championships of the IMS, Major Events and other events approved by World Sailing as a World Championship;
  - (e) any event at which the Organizing Authority, Member National Authority or World Sailing has appointed an International Jury, International Umpires,

International Race Officers, International Measurers or World Sailing Technical Delegates to act in that capacity;

- (f) any event approved by a Member National Authority of World Sailing as an Olympic qualifying event;
- (h) any event designated by a Member National Authority within its jurisdiction as requiring competitors to satisfy the requirements of Regulation 19.7; and
- (i) any event designated by World Sailing.

19.7 Additionally, with the prior approval of the relevant Member National Authority, an Organizing Authority may also impose the same requirements as in Regulation 19.6 above for an event and that fact shall then be stated in the notice of race and the sailing instructions.

## **Nationality Criteria**

- 19.8 When participating in an World Sailing Event, a competitor must be a national of the Member National Authority that enters him or that he represents as determined under the guidelines set out in 19.12 below. However, the Notice of Race may specify that Regulation 19.8 does not apply to crew substitutes.
- 19.9 The following wording shall be included in the notice of race and sailing instructions:  
*“Any competitor in the championship must be a national of the country of the Member National Authority which is entering him/her or which he represents.”*
- 19.10 All applications or disputes relating to the determination of the country that a competitor may represent in the championship shall be determined by the Executive Committee.
- 19.11 The requirements of Regulation 19.8 shall not apply to competitors participating in the World Sailing Open Match World Racing Championship, World Sailing Women’s Match Racing World Championship or the World Sailing Offshore Team World Championship.
- 19.12 On application, the Executive Committee may grant exemptions to Regulation 19.8 in case of a competitor being a permanent resident of the country he wishes to represent. The following conditions will apply:
  - (a) a competitor must have been an ordinary resident of the country for more than three (3) consecutive years and must be able to establish his residency for this period;
  - (b) a competitor must have been member of the relevant Member National Authority, or a club or other organization affiliated to the relevant national authority for at least three (3) consecutive years;
  - (c) a competitor who has represented one country in the Olympic Games, in continental or regional games or in world or regional championships recognized by the Federation (World Sailing), and who wants to represent another country, may represent this country provided that at least three (3) consecutive years have passed since the competitor last represented a different country in the events referred to above or the Executive Committee has reduced this period.

## **Nationality Criteria Guidelines**

- 19.13 Based on the criteria used by the IOC for the Olympic Games and World Sailing policy, the following guidelines shall be used by the Executive Committee in assessing an application or dispute:
  - (a) A competitor who is a national of two or more countries at the same time may represent either one of them, as he/she may elect. However, after having represented one country in the Olympic Games, in World Sailing events, in continental or regional games or in world or regional championships recognized

by World Sailing, he may not represent another country unless he/she meets the conditions set forth in Regulation 19.12 that apply to persons who have changed their nationality or acquired a new nationality.

- (b) A competitor who has represented one country in the Olympic Games, in World Sailing events, in continental or regional games or in world or regional championships recognized by World Sailing, and who has changed his nationality or acquired a new nationality, shall not participate in any of the events referred to above in this paragraph to represent his new country until three years after such change or acquisition. The Executive Committee may after taking into account the circumstances of each case, and with the agreement of the Member National Authorities concerned, reduce or cancel this period.
- (c) If a part of an existing country such as an associated state, province or overseas department, a country or colony acquires independence and becomes a new country or is incorporated into or is associated with another country recognized by the IOC, Regulations 19.12(a) and (b) shall not apply and a competitor may:
  - (i) continue to represent the former country if he remains a national of that country; or
  - (ii) represent the new country of the country into which the newly independent territory has been incorporated provided that country has a Member National Authority and the competitor becomes or applies to become a national of that country; and
  - (iii) the choice provided for in this paragraph may only be made once in respect of such change in national status.
- (d) In all cases not expressly addressed in these Regulations, in particular those cases in which a competitor would be in a position to represent a country other than that of which he/she is a national, or to have a choice as to the country which he/she intends to represent, the Executive Committee may take all decisions of a general or individual nature, and in particular issue specific requirements relating to nationality, citizenship, domicile or residence of the competitors, including the duration of any waiting period.
- (e) In the instance of applications that need to be decided in haste, possibly shortly before the start of a championship, the Executive Committee shall nominate two individuals to determine applications/disputes on its behalf for the specific championships only.

### ***Suspension or revocation of Competitor Eligibility or World Sailing Eligibility***

19.14 Competition Eligibility or World Sailing Eligibility (or both) may be suspended or revoked:

- (a) by a National Authority (for events within its own jurisdiction) or by World Sailing:
  - (i) for a breach of RRS 69.1(a); or
  - (ii) for competing, within the preceding two years, in an event that the competitor knew or should reasonably have known was a Prohibited Event; or
- (b) for a breach of RRS 5.

World Sailing's powers in this Regulation are in addition to the provisions of RRS 69.

19.15 A 'Prohibited Event' means an event:

- (a) permitting or requiring advertising beyond that permitted by the World Sailing Advertising Code;
- (b) with prizes or other benefits referred to in Regulation 25.12.2 that is a national event not approved by the National Authority of the venue or an international event not approved by World Sailing;
- (c) that is described as a world championship or uses the word "world", either in the title of the event or otherwise, and that is not approved by World Sailing;
- (d) that does not conform to the requirements of RRS 89.1 and is not otherwise approved by World Sailing; or
- (e) for which the Organizing Authority that has not paid the World Sailing event fees, and
- (f) has been listed on the World Sailing website on a list maintained for this purpose

### Subsequent review and appeals

- 19.16 The procedures for reviewing and appealing decisions of a National Authority or World Sailing under this Regulation are set out in Regulation 35.
- 19.17 After a decision to suspend or revoke, or to impose conditions on, Competition Eligibility and/or World Sailing Eligibility has become final, the Chief Executive Officer shall report the decision to all National Authorities, World Sailing Class Associations and other World Sailing affiliated organizations, which may also suspend eligibility for events held within their own jurisdiction. The Chief Executive Officer may do this by publishing an appropriate notice on the World Sailing website.

### ***Reinstatement of Competition and/or World Sailing Eligibility***

- 19.18 A competitor may apply to the World Sailing Judicial Board for his Competition Eligibility and/or World Sailing Eligibility to be reinstated, or have any conditions imposed upon it removed or amended, if
- (a) he establishes substantial, changed circumstances justifying the change; and
  - (b) a minimum of three years has passed since the original decision.

### ***Class Eligibility***

- 19.26 Any competitor whose eligibility has been suspended, denied, or revoked by an World Sailing Class may appeal that decision to the Judicial Board in accordance with Articles 77 to 81 and the procedures set out in the Judicial Board Rules of Procedure

## **APPENDIX 4 – WORLD SAILING SAILOR CLASSIFICATION CODE**

### **22. ISAF SAILOR CLASSIFICATION CODE**

#### **General**

The World Sailing Sailor Classification Code exists as a service to provide events and classes with an international system of classification for sailors.

Events and classes are not under any obligation to use a classification system but should they wish to do so the World Sailing Code is the only system that shall be used. When using the Code, they are under an obligation to properly administer and implement the Code.

Events organized for boats currently selected as equipment for the Olympic Sailing Competition shall not include any provision, whether in class rules, the notice of race or the sailing instructions preventing sailors from taking part, whatever their classification.

When the World Sailing Sailor Classification Code is selected for an event it shall be stated in the notice of race unless already stated in the class rules.

The display of advertising on a boat or equipment does not influence the competitor's classification in this Code.

The display of Advertising by the competitor in accordance with Regulation 20.3 even if *payment* is received for it, does not influence the sailor's classification in this Code.

### 22.1 Definitions of this Code

In this Regulation defined words that have a specific meaning are shown in italics and their specific meanings can be found below.

*Work includes:*

employment, self-employment, and any ad-hoc activity whether full time, part time or occasional and whether in person or through a partnership, limited company or any other organization and including any services for which there is payment or financial benefit directly or indirectly.

*Pay and its derivatives means:*

the receipt by a sailor of; or the acceptance by a sailor of an offer to him to give money, money's worth, remuneration, fees, grants, gratuities, any financial benefit whether arising directly or indirectly or compensation in any form whether received by him or an associate, but not including *Personal Expenses*.

*Personal Expenses means*

a grant towards; or  
provision of; or  
reimbursement of money not exceeding the amount of reasonable expense incurred for entry fees, travel, accommodation and meals in connection with and necessary for a specific event.

*Entrant means:*

as the context requires, the boat, the person or organization that enters the boat (RRS 75) the person in charge (RRS 46) and the boat owner.

*Racing means:*

Taking part in races organized in accordance with RRS 89.1.

*The Classification Authority:*

is World Sailing.

*The Classification Date is:*

the date when a classification or change of classification is requested, or, if later, the date when a classification is validly challenged by a protest or appeal.

*The Qualification Period to become a Group 1 competitor or to change from a Group 3 competitor to a Group 1 competitor is:*

the continuous period of 24 months before the *Classification Date*, during which the competitor has not been engaged in Group 3 activities

*The Crew Deadline is:*

the date stated in the notice of race or class rules by which time a complete crew list for each *entrant* shall be submitted.

*The Classification Protest Limit Time is:*

the time and date stated in the class rules, notice of race or sailing instructions after which a boat shall not protest a classification under Regulation 22.5.1.

### **Competitor Classifications**

22.2 The classifications of competitors are to be determined as follows:

## 22.2.1 Group 1

- (a) A competitor who takes part in *racing* only as a pastime is a Group 1 competitor, unless within the *qualification period* he/she has undertaken one of the activities listed in Regulation 22.2.2 and is a Group 3 competitor.
- (b) However:
  - (i) a competitor who is under 18 years of age is a Group 1 competitor; and
  - (ii) a competitor who is over 18 years of age, but under 24 years of age, or 70 years of age and over, is a Group 1 competitor provided that he/she has not engaged in any of the activities listed in Regulation 22.2.2 for more than 100 days (for the avoidance of doubt this is calculated on the total period of *paid work*) in the *qualification period* (excluding any activities undertaken before his/her 18<sup>th</sup> or after his/her 70<sup>th</sup> birthday respectively).

## 22.2.2 Group 3

A Group 3 competitor is a competitor who, within the *Qualification Period*

- (a) has been *paid* for work that includes competing in a race; and/or
- (b) has been *paid* for work that includes managing, training, practising, tuning, testing, maintaining or otherwise preparing a boat, its crew, sails or performance enhancing equipment for *racing*, and then competed on that boat, or in a team competition, in a boat of the same team; or
- (c) has been *paid*:
  - (i) to provide a boat or its sails for racing; or
  - (ii) services in connection with providing a boat or its sails; for racing and
  - (iii) then raced competed on that boat, or in a team competition, in a boat of the same team.

However a Group 1 competitor who, as an owner of a boat, is occasionally *paid* a charter fee to provide that boat for a *racing* competition shall remain a Group 1 competitor if he/she does not steer that boat in the competition. If the competition is a team event this dispensation shall only apply if he does not steer any boat in the same team as the boat chartered; or

- (d) has been *paid* for *work* (except coaching), in a marine business or organization which require knowledge or skill:
  - (i) that is capable of enhancing the performance of a boat in a *race*; and
  - (ii) which can be utilized by the competitor whilst on board a boat when *racing*; or
- (e) has been *paid* for *work* that includes the coaching of:
  - (i) any competitor, crew or team to prepare for or compete in any of the following:
    - The Olympic and Paralympic Sailing Competitions and Qualifying Events
    - Regional Games;
    - America's Cup Match, Acts and Series;
    - Grade WC or Grade I Match Racing Events;
    - World and Continental Championships of World Sailing Classes;
    - World Sailing Events;
    - Global and Trans-Oceanic races; or

- (ii) a national, state or provincial team; or
- (iii) a collegiate or university team where the work is the principal *paid* activity of the competitor; or
- (f) has been *paid* for allowing his or her name or likeness to be used in connection with his or her sailing performance, sail racing results or sailing reputation, for the advertising or promotion of any product or service; or
- (g) has publicly identified himself or herself as a Group 3 competitor or as a professional racing sailor.

### 22.2.3 Group 3

If a Group 3 competitor has *raced* in an Olympic Sailing Competition, a Volvo Ocean Race or any *racing* which forms part of the events connected with the America's Cup (as determined by the Commission (which shall issue prior written notice of such determinations), whether or not the competitor was Group 3 at that time, then:

- (a) the competitor shall be a Group 3 competitor for a period of at least five years from that event notwithstanding that he may otherwise be entitled to a Group 1 classification during that time;
- (b) at the end of the five year period, the normal *Qualification Period* shall be applied when assessing the competitor's classification; and
- (c) this Regulation shall not apply to any *racing* in the listed events by a competitor prior to 1 December 2011 and the Commission may on a transitional basis exempt certain *racing* from the effect of this Regulation.

## 22.3 Classification Procedures and Requirements

22.3.1 A competitor may be required to be a member of his/her MNA to hold a valid World Sailing Sailor Classification.

22.3.2 A competitor applying for, or holding, a classification shall comply with the following requirements: He/she shall

- (a) not hold, nor apply for, more than one classification;
- (b) not take any action or act in a manner which is contrary to the spirit or purposes of the Code or which is a subterfuge for circumventing the Code;
- (c) at all times fully and freely disclose all relevant information to the *Classification Authority* (whether or not specifically asked for);
- (d) not provide the *Classification Authority* with information that is false, misleading or potentially misleading;
- (e) immediately notify the *Classification Authority* of any change in his circumstances which affects, or may affect, his classification status; and
- (f) if a Group 1 competitor, he shall not engage in any activities which are incompatible with Group 1 status unless he has notified the *Classification Authority* of a potential change of classification.

22.3.3 If the *Classification Authority* has good reason to believe that a competitor has breached any provision of the Classification Code, it may immediately change, suspend or cancel his/her classification (or in the case of an application for classification, may decline to issue a classification).

22.3.4 A competitor, having read this Code, shall declare his or her correct classification by personally completing a form approved by World Sailing and submitting it to the *Classification Authority*.



- 22.3.5 There is no fee. The *Classification Authority* shall review each form and confirm or correct the competitor's classification within 28 days of receiving all necessary information. It may ask the competitor to supply further information, or may itself seek further information from any source. However, if the application for classification is received within seven (7) days of any specific event deadline, a fee will be charged at the time of such application. If such application is received within 48 hours of the event deadline, a higher fee will be charged. The fees charged will be in accordance with published rates.
- 22.3.6 A classification, once issued, shall remain in force for two years, unless the classification is previously changed, suspended or cancelled by the *Classification Authority*.
- (a) because the competitor has submitted a form indicating that his or her classification has changed; or
  - (b) because the *Classification Authority* believes it has good reason to do so; or
  - (c) as a result of an appeal requested by the competitor under Regulation 22.3.7.
- However when a competitor would have been classified as Group 3 but for Regulation 22.2.1(b) the *Classification Authority* may issue a classification that shall only remain in force up to his 24<sup>th</sup> birthday.
- 22.3.7 When a competitor does not agree with a decision made by the *Classification Authority* which affects his classification (except the making of a report under RRS 69), the competitor may appeal to the *Classification Authority* within 60 days of the decision using the process on the World Sailing website or by such other method as shall be notified in the World Sailing Yearbook. An appeal shall state the grounds on which the competitor believes the decision was incorrect.
- (a) Appeals shall be considered by three members of the *Classification Authority* (the *Appeal Panel*) who were not party to the decision. No more than two shall be from the same country.
  - (b) The *Appeal Panel* will consider the appeal and review the information in the appeal, the decision and any previous application and where necessary ask for more information from the competitor, from the original reviewers, or from any other source. It may uphold, change or reverse the decision, dismiss the appeal or declare it invalid.
  - (c) The *Appeal Panel* having made its decision after receiving all necessary information will inform the competitor of its decision in writing. A fee may be payable.
  - (d) The decision of the *Classification Authority* shall be binding on the competitor until the decision of the *Appeal Panel* is published.
  - (e) Subject to the provisions of Regulation 35, the decision of the *Appeal Panel* shall be final.
- 22.3.8 The *Classification Authority* may decline to issue a classification or may suspend a current classification when the competitor has been penalized under RRS 69 for a classification matter. Such refusal or suspension shall not be longer than the period during which the competitor's eligibility is suspended under Rule 69.
- 22.3.9 World Sailing will maintain and publish on a website a list of the classifications of competitors. The list will state the status or expiry date of the classification.
- 22.3.10 Information provided by the competitor or from any other source shall be kept confidential within the *Classification Authority* and the World Sailing Secretariat and not disclosed to any other person except to an international jury or Member National Authority which has been sent a report by the *Classification Authority* concerning the

competitor's classification or sent a report under RRS 69 and then only after notifying the competitor through the classification process.

However when the *Classification Authority* makes a decision under Regulation 22.3.6 shortly before or during an event it may provide the class association and/or the organizing authority with a summary of the reasons for its decision.

### 22.4 Event Procedures

- 22.4.1 The *entrant* shall give to the organizing authority, no later than the *Crew Deadline* in the notice of race, a crew list stating the World Sailing User ID and classification of each competitor. The crew list may comprise more competitors than will take part in any one race, in which case the *entrant* shall also give the organizing authority, no later than the *Crew Deadline* in the notice of race, a crew list for each race.
- 22.4.2 An *entrant* wishing to use a competitor not included in the initial crew list shall submit to the organizing authority a revised crew list no later than the *Crew Deadline* when no change to the crew is permitted after that time, otherwise as soon as possible.
- 22.4.3 The organizing authority will display the latest crew lists on the official notice board as soon as possible after the *Crew Deadline*.
- 22.4.4 The notice of race, sailing instructions or class rules may alter these arrangements.
- 22.4.5 An *entrant* is responsible for their crew under Regulation 22 and as such by entering their crew list in any event using Sailor Classification is also subject to the jurisdiction of the rules (including the appeal and review procedures provided in them). By submitting a crew list an *entrant* declares that they have done this in full knowledge and responsibility of compliance with these requirements for the event.

### **Protest Grounds and Procedures**

- 22.5.1 After the *Crew Deadline* a boat may be protested on the grounds that:
  - (a) when classification for a competitor was applied for, information that should have led to a higher classification was not disclosed; or
  - (b) a competitor has, since being classified, engaged in activities incompatible with the classification

and that the boat would break the crew limitations in the notice of race, sailing instructions or class rules were the classification to be corrected. The time limit for a boat to protest is the *Classification Protest Time Limit*, or, if later, 24 hours after the posting of a changed crew list. The sailing instructions may state a different time limit.

- 22.5.2 When a protest is upheld, and:
  - (a) the boat has not yet raced in the event, the boat will not be penalize; but if
  - (b) the decision to uphold the protest is made after the boat has raced in the event, the boat shall be disqualified from all races already completed except when the protest arises from a report as set out in 22.5.6 in which case 22.5.6 shall apply.

The boat shall not race with that competitor as crew, unless the sailing instructions permit a change of crew after the *Crew Deadline* and then only when the protest committee is satisfied that the boat meets the crew limitations.

- 22.5.3 The protestee is entitled, on request, to present evidence of a personal or private nature in the absence of the protestor, and the protest committee shall not record that evidence in the protest decision. When the protest committee, on hearing the evidence, is not satisfied that it is of a personal or private nature, it shall disregard the evidence unless it is offered again in the presence of the protestor. This changes RRS 63.3(a).

- 22.5.4 When the protest committee is in doubt as to the classification of a competitor, it may refer its facts found to the *Classification Authority* and shall be governed by the decision by the *Classification Authority* on those facts.
- 22.5.5 The protest committee shall report within 14 days its decision to the *Classification Authority*. If a competitor has given evidence under Regulation 22.5.3 the protest committee shall also report within 14 days a summary of that evidence to the *Classification Authority*.
- 22.5.6 When the classification of a competitor has been changed, suspended or cancelled during an event in accordance with Regulation 22.3.6(b) the new classification may be backdated by the *Classification Authority* to the commencement of the event. When as a result a boat may have broken a crew limitation rule, the *Classification Authority* shall report its decision in writing to the race committee which shall protest the boat. Any penalty shall be at the discretion of the protest committee.

**The World Sailing Classification Code application form can be completed online at:**  
**[www.sailing.org/classification](http://www.sailing.org/classification)**

**Any question can be sent to World Sailing by email to [classification@isaf.com](mailto:classification@isaf.com)**

**APPENDIX 5 – BETTING AND ANTI-CORRUPTION RULES****37. BETTING AND ANTI-CORRUPTION RULES****37.1 Introduction**

World Sailing has adopted these rules ("Rules") as a means of safeguarding the integrity of the sport of sailing by (i) prohibiting any conduct that may impact improperly on the outcome of its events and competitions and (ii) establishing a mechanism of enforcement and sanction for those who, through their corrupt conduct, place the integrity of the sport at risk.

**37.2 Definitions**

In addition to the definitions in the Regulations, in these Rules the following terms have the following meanings:

- 37.2.1 "Athlete" means any athlete who participates or is selected to participate in an Event or Competition;
- 37.2.2 "Athlete Support Personnel" means any coach, trainer, manager, athlete representative, agent, team staff member, official, medical or para-medical personnel, family member or any other person employed by or working with an Athlete or the Athlete's Member National Authority participating in a Competition.
- 37.2.3 "Benefit" means the direct or indirect receipt or provision (as relevant) of money or money's worth (other than prize money and/or contractual payments to be made under endorsement, sponsorship or other contracts);
- 37.2.4 "Bet" means a wager of money or any other form of financial speculation;
- 37.2.5 "Betting" means making, accepting, or laying a Bet and shall include, without limitation, activities commonly referred to as sports betting such as fixed and running odds, totalisator/toto games, live betting, betting exchange, spread betting and other games offered by sports betting operators;
- 37.2.6 "CAS" means the Court of Arbitration for Sport in Lausanne, Switzerland;
- 37.2.7 "Competition" means an Event or series of Events conducted over one or more days under one or more organizing authorities;
- 37.2.8 "Event" means a single race, match or contest in the sport of sailing.
- 37.2.9 "Inside Information" means any information relating to any Competition or Event that a Participant possesses by virtue of his position within the sport. Such information includes, but is not limited to, factual information regarding the competitors, the conditions, tactical considerations or any other aspect of the Competition or Event, but does not include such information that is already published or a matter of public record, readily acquired by an interested member of the public or disclosed according to the rules and regulations governing the relevant Competition or Event;
- 37.2.10 "International Competition" means a Major or Recognised Event as defined by the Regulations or any other event designated as such by a Member National Authority.
- 37.2.11 "Member National Authority" has the meaning set out in Article 1.1 of the World Sailing Constitution.
- 37.2.12 "Major Event Organisation" means any international multi-sport organisation that acts as the overall ruling body for any continental, regional or other International Competition.

37.2.13 "Participant" means any Athlete, Athlete Support Personnel, race official, delegate, commissioner, event official, national team or delegation member and any other accredited person;

37.2.14 "Person" shall include natural persons, bodies corporate and unincorporated associations and partnerships (whether or not any of them have separate legal personality);

37.2.15 "Sanction" means any sanction that World Sailing has the right to impose in accordance with Regulation 35; and

37.2.16 "Violation" means a violation of these Rules as set out in Rule 4.

### 37.3 **Application and Scope**

37.3.1 These Rules shall apply to all Participants who participate or assist in an International Competition and each Participant shall be automatically bound by, and be required to comply with, these Rules by virtue of such participation or assistance.

37.3.2 It shall be the personal responsibility of every Participant to make himself aware of these Rules including, without limitation, what conduct constitutes a Violation of the Rules and to comply with those requirements. Participants should also be aware that conduct prohibited under these Rules may also constitute a criminal offence and/or a breach of other applicable laws and regulations. Participants must comply with all applicable laws and regulations at all times.

37.3.3 Each Participant submits to the exclusive jurisdiction of World Sailing to hear and determine any charges brought and to the exclusive jurisdiction of CAS to determine any appeal from any final decision made by World Sailing.

37.3.4 Each Participant shall be bound by these Rules until a date six months following his last participation or assistance in a Competition. Each Participant shall continue to be bound by these Rules in respect of his participation or assistance in Competitions taking place prior to that date.

37.3.5 Member National Authorities shall use their best endeavours to assist World Sailing in making immediate contact with the Participants on whom World Sailing may wish to serve a notice under these Rules.

### 37.4 **Rule Violations**

The following conduct shall constitute a Violation of these Rules (in each case whether effected directly or indirectly):

37.4.1 Betting:

- (a) Participation in, support for, or promotion of, any form of Betting related to:
  - (i) an Event or Competition in which the Participant is participating; or
  - (ii) an event or competition that is taking place in another sport at an International Competition hosted by a Major Event Organisation in which the Participant is participating;

and this includes Betting with another Person on the result, progress, outcome, conduct or any other aspect of such an Event or Competition).

- (b) Inducing, instructing, facilitating or encouraging a Participant to commit a Violation set out in this Rule 4.1.

37.4.2 Manipulation of results:

- (a) Fixing or contriving in any way or otherwise improperly influencing, or being a party to fix or contrive in any way or otherwise improperly influence, the result,

progress, outcome, conduct or any other aspect of an Event or Competition.

- (b) Ensuring or seeking to ensure the occurrence of a particular incident in an Event or Competition which occurrence is to the Participant's knowledge the subject of a Bet and for which he or another Person expects to receive or has received a Benefit.
- (c) Failing in return for a Benefit (or the legitimate expectation of a Benefit, irrespective of whether such Benefit is in fact given or received) to perform to the best of one's abilities in an Event or Competition.
- (d) Inducing, instructing, facilitating or encouraging a Participant to commit a Violation set out in this Rule 4.2.

### 37.4.3 Corrupt Conduct:

- (a) Accepting, offering, agreeing to accept or offer, an undue Benefit (or the expectation of a Benefit, irrespective of whether such Benefit is in fact given or received) to fix or contrive in any way or otherwise to influence the result, progress, outcome, conduct or any other aspect of an Event or Competition.
- (b) Inducing, instructing, facilitating or encouraging a Participant to commit a Violation as set out in this Rule 4.3.

### 37.4.4 Inside Information:

- (a) Using Inside Information for Betting purposes or otherwise in relation to Betting.
- (b) Disclosing Inside Information to any Person with or without Benefit where the Participant might reasonably be expected to know that its disclosure in the circumstances could be used in relation to Betting.
- (c) Inducing, instructing, facilitating or encouraging a Participant to commit a Violation set out in this Rule 4.4

### 37.4.5 Other Violations:

- (a) Any attempt by a Participant, or any agreement by a Participant with any other person, to engage in conduct that would culminate in the commission of any Violation of this Rule 4 shall be treated as if a Violation had been committed, whether or not such attempt or agreement in fact resulted in such Violation. However, there shall be no Violation under this Rule 4 where the Participant renounces his attempt or agreement prior to it being discovered by a third party not involved in the attempt or agreement.
- (b) Knowingly assisting, covering up or otherwise being complicit in any acts or omissions of the type described in Rule 4 committed by a Participant.
- (c) Failing to disclose to World Sailing or other competent authority (without undue delay) full details of any approaches or invitations received by the Participant to engage in conduct or incidents that would amount to a Violation as set out in this Rule 4.
- (d) Failing to disclose to World Sailing or other competent authority (without undue delay) full details of any incident, fact or matter that comes to the attention of the Participant that may evidence a Violation under this Rule by a third party, including (without limitation) approaches or invitations that have been received by any other party to engage in conduct that would amount to a Violation of this Rule.
- (e) Failing to cooperate with any reasonable investigation carried out by World Sailing or other competent authority in relation to a possible breach of these Rules, including failing to provide any information and/or documentation

requested by World Sailing or competent authority that may be relevant to the investigation.

37.4.6 The following are not relevant to the determination of a Violation of these Rules:

- (a) Whether or not the Participant was participating, or a Participant assisted by another Participant was participating, in the specific Event or Competition;
- (b) The nature or outcome of any Bet in issue;
- (c) The outcome of the Event or Competition on which the Bet was made;
- (d) Whether or not the Participant's efforts or performance (if any) in any Event or Competition in issue were (or could be expected to be) affected by the acts or omissions in question;
- (e) Whether or not the results in the Event or Competition in issue were (or could be expected to be) affected by the acts or omissions in question.

### 37.5 **Reports Concerning Violations**

37.5.1 Any report concerning a Violation (or alleged Violation) shall be made to the Chief Executive Officer. Regulation 35 shall then apply to the report. World Sailing may investigate the report, conduct any relevant hearing and impose sanctions on a Participant for any proven Violations in accordance with Regulation 35.

37.5.2 World Sailing may conduct an investigation into the activities of any Participant that it believes may have committed a Violation of these Rules and may appoint one or more Persons for this purpose. Such investigation may be conducted in conjunction with relevant competent national or international authorities (including criminal, administrative, professional and/or judicial authorities) and all Participants must co-operate fully with such investigations. World Sailing shall have discretion, where it deems it appropriate, to stay its own investigation pending the outcome of investigations conducted by other competent authorities.

37.5.3 As part of any such investigation, if World Sailing reasonably suspects that a Participant has committed a Violation of these Rules, it may make a written demand to such Participant for information that is related to the alleged Violation and/or require the attendance of such Participant for interview, or a combination of the two. Any interview shall be at a time and place to be determined by World Sailing and the relevant Participant shall be given reasonable notice in writing of the requirement to attend. Interviews shall be recorded and the Participant shall be entitled to have legal counsel and an interpreter present.

37.5.4 By participation in a Competition, each Participant shall be deemed to have agreed for the purposes of applicable data protection laws and other laws, and for all other purposes, to have consented to the collection, processing, disclosure or any other use of information relating to his activities (including without limitation personal information) to the extent permitted under these Rules and shall confirm such agreement in writing upon demand.

### 37.6 **Disqualification of Results**

37.6.1 Subject to Rule 6.2, a Violation of these Rules by a Participant automatically leads to disqualification of the result obtained by a boat of which the Participant is a crewmember in that Competition with all resulting consequences, including forfeiture of any medals, points and prizes.

37.6.2 If a Participant is a crew member of a boat having a crew of more than three crewmembers and he is found to have committed a Violation of these Rules during an Event or Competition, Rule 6.1 does not apply. Instead the boat may be subject to such disciplinary action by World Sailing as it deems appropriate if there have been Violations of these Rules by more than one Participant who is a crew member of (or is

associated with) the boat and World Sailing is satisfied there has been a resulting material advantage to such boat in breach of these Rules, or such breaches have been organized or condoned by other Participants being crewmembers of the boat or those charged with managing the boat and/or its crewmembers.

### 37.7 **Recognition of Decisions**

37.7.1 Member National Authorities shall comply with, recognise and take all necessary and reasonable steps within their powers to enforce and give effect to these Rules and to all decisions and Sanctions imposed hereunder.

37.7.2 Final decisions of a Major Event Organisation in relation to a Participant that are within the Major Event Organisation's jurisdiction and based on the same or similar betting and anti-corruption rules as these Rules shall be recognised and respected by World Sailing upon receipt of notice of the same. Any disciplinary process, so far as determining a Participant's Sanction beyond the sanction imposed by the Major Event Organisation is concerned, shall be determined by World Sailing in accordance with these Rules.



**APPENDIX 6 – DISCIPLINARY, APPEALS AND REVIEW CODE****35.1 Introduction**

- 35.1.1 This Code defines the structures applicable to allegations of Misconduct and to other judicial processes in the sport of sailing.
- 35.1.2 This Introduction is intended to give an overview of the way in which the Code operates. The introduction does not define the structures, and participants should carefully read all the applicable Parts of this Code.
- 35.1.3 There are four different structures in place, each designed to address the different circumstances which will apply to matters which will be addressed under them. Those structures are:
- (a) those relating to major international events (Part C);
  - (b) those relating to all other events (Part D);
  - (c) those relating to other disciplinary complaints (Part E); and
  - (d) those relating to appeals and reviews of other decisions made or within World Sailing.
- 35.1.4 The whole structure and process is administered by the Judicial Board.

*Major International Events*

- 35.1.5 At major international events, an investigator (known as the Event Disciplinary Investigation Officer) is appointed and makes decisions as to whether cases of Misconduct should be presented to the protest committee. If a case is to be presented, the matter proceeds to the protest committee at the event, which makes decisions as to any penalties appropriate to the event in question. Appeals against such event-level decisions are governed by the RRS, which exclude appeals from decisions of an international jury acting in accordance with RRS Appendix N.
- 35.1.6 If the penalty imposed at the event is above a certain threshold, the protest committee must report the matter directly to World Sailing. World Sailing will investigate and decide whether a charge of Misconduct should be laid against the participant and be determined by an independent panel appointed by the Judicial Board. That Panel will be empowered to impose sanctions wider than the event itself, including national or international sanctions, if it decides that the charge is made out.
- 35.1.7 An appeal lies to the Court of Arbitration for Sport against any decision by the Independent Panel (special arrangements apply for the Olympic and Paralympic Games).

*All Other Events*

- 35.1.8 At all other events the protest committee decides all issues of Misconduct at event level in accordance with the RRS. Appeals against such event-level decisions are governed by the RRS, which exclude appeals from decisions of an international jury acting in accordance with RRS Appendix N.
- 35.1.9 If the penalty imposed at the event is above a certain threshold the protest committee must report the matter to the Participant's MNA, which then has three months to decide

whether a wider penalty should be imposed or not (subject to any extensions of time which may be granted by the Judicial Board). Each MNA will have its own procedures by which such decisions are to be made and it is the responsibility of each MNA to ensure that such processes are fair and compliant with due process.

- 35.1.10 An appeal against the MNA decision will lie to either:
- (a) an Independent Appeal Panel appointed by the Judicial Board; or
  - (b) any other judicial making body that the MNA has previously nominated and which has been previously approved by World Sailing for that purpose.
- 35.1.11 If the MNA fails to reach any decision within 3 months, it must report the matter to World Sailing, and Judicial Board will then investigate the matter as if the event was a major international event.

### *Other Disciplinary Cases*

- 35.1.12 Any complaint of Misconduct concerning an World Sailing committee or commission member, World Sailing Member, World Sailing officer, World Sailing Race Official or an World Sailing Representative may be made by certain persons with a legitimate interest in the alleged Misconduct. The complaint is to be made to the World Sailing Chief Executive Officer.
- 35.1.13 For other disciplinary complaints, the complaint is made to the relevant National Authority and processed under its rules of procedure and this Code.
- 35.1.14 For World Sailing -level complaints:
- (a) the Judicial Board will appoint a Disciplinary Investigation Officer to investigate and decide whether a charge should be laid against the person against whom the complaint is made;
  - (b) if a charge is laid, the Judicial Board will appoint an Independent Panel to determine the case and impose any appropriate sanction; and
  - (c) an appeal will lie to an Independent Appeal Panel appointed by the Judicial Board.

### *Appeals and Reviews of Decisions made by World Sailing*

- 35.1.15 Where the World Sailing Constitution or Regulations permit a decision to be reviewed or appealed the appeal will be heard by an Independent Panel appointed by the Judicial Board. In certain cases, an appeal will lie to the Court of Arbitration for Sport. In other cases, there is no appeal against the decision of the Independent Panel.

## **35.2 Part A – Obligations concerning Misconduct**

- 35.2.1 In this Code, a “Participant” means any competitor, boat owner, support person, World Sailing committee or commission member, World Sailing Member, World Sailing Officer, World Sailing Race Official, or World Sailing Representative.
- 35.2.2 In this Code “Misconduct” means a breach of Regulation 35.2.3, 35.2.4 and/or Regulation 35.3.9.
- 35.2.3 No Participant shall commit gross misconduct, as defined under RRS 69.1(a).
- 35.2.4 No World Sailing committee or commission member, World Sailing member, World Sailing officer, World Sailing Race Official or World Sailing Representative shall commit a breach of the World Sailing Constitution or Regulations that is deliberate, repeated or otherwise more than a misjudgement.

### 35.3 Part B –Bodies, Personnel and General Provisions

#### *The Judicial Board*

- 35.3.1 The Judicial Board is appointed and constituted under Article 77 of the World Sailing Constitution.
- 35.3.2 The Judicial Board is responsible and has authority for:
- (a) overall administrative oversight of the disciplinary system of World Sailing and ensuring that all disciplinary functions are carried out by appropriate persons or panels;
  - (b) overall administrative oversight of the appellate and judicial system of World Sailing and ensuring that all appeals and reviews permitted by the World Sailing Constitution or Regulations of any decisions made within or by World Sailing are carried out by appropriate persons or panels;
  - (c) appointing and maintaining lists of people who may sit on Independent Panels to carry out decision making in respect of disciplinary issues and other matters of an appellate or review nature;
  - (d) appointing Disciplinary Investigating Officers to major international events, or to disciplinary matters in which World Sailing has become involved by virtue of this Code;
  - (e) appointing Independent Panels to make decisions and impose sanctions in relation to disciplinary matters in which World Sailing has become involved by virtue of this Code and other matters of an appellate or review nature;
  - (f) issuing rules of procedure for all forms of hearings and procedures contemplated under this Code;
  - (g) publishing guidance on disciplinary matters (including recommended sanctions);
  - (h) ensuring cases are conducted expeditiously and authorising any requests for under it);
  - (i) maintaining and publishing a database of Independent Panel and National Authority decisions in relation to disciplinary matters made under the auspices of this code; and
  - (j) the proper education and training of Disciplinary Investigating Officers and Independent Panel members.

#### *Disciplinary Investigating Officer*

- 35.3.3 A Disciplinary Investigating Officer is an official appointed by the Judicial Board who is responsible for one or more of the following (depending upon the stage of the process in respect of which he is appointed):
- (a) the investigation of allegations of Misconduct at any event at which he is appointed;
  - (b) presenting any case of Misconduct before a protest committee at any event at which he is appointed;
  - (c) the investigation of reports received by World Sailing which require investigation;
  - (d) any decision to charge a Participant for Misconduct; and
  - (e) the prosecution of such cases before an Independent Panel.

- 35.3.4 A Disciplinary Investigating Officer shall have the right to conduct an initial interview and follow-up interviews (as deemed necessary by him), with any Participant in furtherance of investigation of the possibility of any commission of act(s) of Misconduct, subject as follows:
- (a) the date and time of interviews shall be determined by the Disciplinary Investigating Officer with reasonable allowances made for Participants' racing commitments and schedules;
  - (b) a Participant has the right to be accompanied to an interview (including by counsel at his own cost);
  - (c) interviews will be recorded and recorded interviews shall be used for transcription and evidentiary purposes and thereafter will be retained by World Sailing for a minimum of 3 years in a secure place;
  - (d) a Participant has the right to request an interpreter (the interpreter shall be arranged by the Participant and provided at his own cost); and
  - (e) a recording or a transcript of an interview shall be provided to the Participant upon request within a reasonable time following its conclusion.

### *Independent Panel*

- 35.3.5 An Independent Panel is a panel appointed by the Judicial Board to hear and adjudicate upon any matter in which World Sailing becomes involved by virtue of this Code.
- 35.3.6 Except as set out in Regulation 35.3.7, an Independent Panel shall consist of either:
- (a) three persons, in which case its chairman shall be legally qualified. As far as possible, at least one member of a 3-person Independent Panel shall be an active competitor. No World Sailing employee, World Sailing officer or Judicial Board member shall be appointed as a member of a 3-person Independent Panel; or
  - (b) one person, in which case he shall be legally qualified. No World Sailing committee or commission member, World Sailing employee, World Sailing officer or World Sailing Race Official or Judicial Board member shall be appointed as a one-person Independent Panel.
- 35.3.7 If an Independent Panel is appointed to exercise any disciplinary appellate functions under this Code (an Independent Appeals Panel), it shall consist of three legally qualified persons appointed by the Judicial Board. No World Sailing employee or World Sailing officer shall be appointed as a member of an Independent Appeals Panel.
- 35.3.8 The Judicial Board shall decide upon the constitution of the Independent Panel or Independent Appeals Panel in any individual case, and shall appoint it, having regard to all the circumstances of the case, and to any guidance which it has published. Any challenge to the constitution of the Panel shall be made in accordance with the time limits and procedure set out in the Rules of Procedure and shall be decided by the Judicial Board. There shall be no appeal against the decision of the Judicial Board in this respect.

### *General Provisions*

- 35.3.9 All Participants must take all reasonable measures to assist Disciplinary Investigating Officers in the collection of evidence. If a Disciplinary Investigating Officer requests the production of evidence from any person that person shall (subject to considerations of legal professional privilege or other legal entitlement) be under an

obligation to provide it. Failure to comply with a Disciplinary Investigating Officer's request shall be regarded as Misconduct.

- 35.3.10 Participants shall be determined to be immediately available at the most current postal address held by World Sailing, by a National Authority or by the Organising Authority of an event concerning the investigation which has been commenced.
- 35.3.11 Any notice delivered to a Participant shall be deemed to have been received by the Participant on the date of delivery to such an address in the recorded in the confirmation of delivery provided by any courier or postal service. Any other method of secure and confidential communication may be used including email and fax but the burden of proving delivery in such circumstances shall rest with the person delivering the document.
- 35.3.12 All decisions made under the auspices of this Code by any Independent Panel or Independent Appeals Panel, or by any National Authority, shall be published by the Judicial Board, save that the Judicial Board may, in its absolute discretion (against which there is no appeal):
  - (a) decide not to publish a decision if such publication is inappropriate in all the circumstances; or
  - (b) decide to redact parts of the decision and publish only the redacted copy.
- 35.3.13 Where any National Authority is required under this Code to reach any decision on any disciplinary or other matter, that National Authority shall use its best endeavours to ensure that the procedures by which it reaches such a decision are fair and appropriate having regard to all the circumstances of any individual case.
- 35.3.14 Subject to the rights of appeal provided in this Code, all decisions made under this Code shall be recognized by World Sailing and all National Authorities, which shall take any necessary action to render such decision effective.

### *Transitional Provisions Relating to Disciplinary and Misconduct Complaints*

- 35.3.15 These Regulations shall apply only to allegations of Misconduct occurring after 14 November 2015. For all Misconduct occurring on or before 14 November 2015 the provisions in force as at 13 November 2015 shall apply until the conclusion of those processes, including any appeal.
- 35.3.16 In the event that it is unclear whether the alleged Misconduct occurred on or before 14 November 2015, or if the alleged Misconduct occurred or continued both before and after 14 November 2015, the Judicial Board shall decide whether to follow the old or new process, and shall make that decision having regard to the requirements of natural justice and having provided the person alleged to have committed the Misconduct a reasonable opportunity to make representations as to the appropriate procedure. Such a decision shall not be open to appeal.

### *Transitional Provisions Relating to Appeals and Reviews of other Decisions of World Sailing*

- 35.3.17 Part G of this Regulation shall apply only to appeals or reviews of any decision of World Sailing if such appeal or review is made after 14 November 2015. For all appeals and reviews commenced on or before 14 November 2015 the provisions in force as at 13 November 2015 shall apply until the conclusion of those processes.

## **35.4 Part C – Misconduct at Major International Events**

- 35.4.1 The procedures in this Part apply only to the following events.
  - (a) Olympic and Paralympic Sailing Competition;
  - (b) all events organised by or on behalf of World Sailing;

- (c) the America's Cup and its associated events; and
- (d) the Volvo Ocean Race.

### *Investigation of allegations of Misconduct*

- 35.4.2 The Judicial Board shall appoint a Disciplinary Investigating Officer (the "Event Disciplinary Investigating Officer") to investigate at the event complaints of Misconduct. If the Event Disciplinary Investigating Officer is a member of the international jury of the event, then he shall not be part of any hearing panel and shall not take part in any discussions or decisions concerning the case. The international jury remains properly constituted if one of its number is unable to hear a case due to appointment as the Event Disciplinary Investigating Officer, as provided for in RRS Appendix N.
- 35.4.3 Any complaint of Misconduct shall be referred to the Event Disciplinary Investigating Officer. Referrals shall be made within 48 hours of the alleged Misconduct occurring unless the Event Disciplinary Investigating Officer determines, in his absolute discretion (against which there is no appeal), that there is good reason to extend this time.
- 35.4.4 The Event Disciplinary Investigating Officer shall, following and considering his investigation, in his absolute discretion (against which there is no appeal):
  - (a) take no further action; or
  - (b) issue a warning to a Participant against whom the complaint was made, and thereafter take no further action; or
  - (c) charge the Participant with Misconduct.
- 35.4.5 The decision of the Event Disciplinary Investigating Officer made under Regulation 35.4.4 shall be communicated in writing to the Participant and to the protest committee at the first reasonable opportunity.

### *Protest Committee Hearing and Decision*

- 35.4.6 If the Event Disciplinary Investigating Officer decides that a charge of Misconduct should be made against the Participant, that case shall be considered by and adjudicated upon by the protest committee in accordance with the procedures in RRS 69 as modified by this Part of the Code. The protest committee may impose any sanctions relevant to the event as provided for in the RRS. Any right of appeal of that decision will be governed by the RRS.
- 35.4.7 The protest committee shall report its decision, and provide all recordings of the hearing and all documents or other evidence available to it, to World Sailing if it:
  - (a) imposes a penalty greater than one non-excludable disqualification from a race;
  - (b) excludes the person from the event or venue; or
  - (c) in any other case if it considers it appropriate to do so.
- 35.4.8 When a report is received by World Sailing under Regulation 35.4.7, the Judicial Board shall appoint a new Disciplinary Investigating Officer (the World Sailing Disciplinary Investigating Officer) to review it. The World Sailing Disciplinary Investigating Officer shall not be the Event Disciplinary Investigating Officer and shall not have been a race official at the event.
- 35.4.9 Following the review, the World Sailing Disciplinary Investigating Officer shall in his absolute discretion (against which there is no appeal):

- (a) take no further action; or
  - (b) issue a warning to any Participant in the report, and thereafter take no further action; or
  - (c) charge any Participant with any act(s) of Misconduct which, in the opinion of the World Sailing Disciplinary Investigating Officer, may warrant disciplinary action wider than the event jurisdiction of the protest committee.
- 35.4.10 The decision of the World Sailing Disciplinary Investigating Officer made under Regulation 35.4.9 shall be communicated in writing to the Participant and to the Judicial Board at the first reasonable opportunity.
- 35.4.11 Within 14 days of receipt of a charge of Misconduct, the Judicial Board shall appoint an Independent Panel to determine the charge.
- 35.4.12 Rules of procedure published by the Judicial Board shall apply to the conduct, determination and hearing of the charge by the Independent Panel and to the time limits for any appeal.
- 35.4.13 The sanctions that may be imposed by the Independent Panel following a proven charge of Misconduct are set out in Part F of this Code. When considering sanctions, the Independent Panel shall have regard to:
  - (a) any sanction guidelines published by the Judicial Board prior to the event; and
  - (b) any published decisions under RRS 69 and/or this Regulation which are consistent with relevant sanction guidelines (such decisions will be a guide but are in no sense binding precedents).

### *Appeals against the Decision of the Independent Panel*

- 35.4.14 World Sailing and Participants hereby agree to respect and be bound by the decision of any Independent Panel, subject only to the right of appeal set out below.
- 35.4.15 There is a right of appeal from a decision of the Independent Panel acting under Part C of this Code by way of arbitration brought before the Court of Arbitration for Sport in Lausanne, Switzerland. An appeal may be brought:
  - (a) by World Sailing; or
  - (b) by a Participant who has had a penalty greater than a warning, admonishment or caution imposed on him,
- 35.4.16 The arbitration shall be conducted in accordance with the Code of Sports-Related Arbitration. The Court of Arbitration for Sport panel will consist of one arbitrator and the language of the arbitration will be English.

### *General Procedures relating to Charging*

- 35.4.17 If a charge is issued, as soon as practicable a written notice of the charge shall be provided to the Participant and the protest committee and/or Judicial Board (as appropriate), which shall:
  - (a) state briefly the nature of the alleged Misconduct;
  - (b) identify the rule(s) alleged to have been breached; and
  - (c) provide copies of documents or other material referred to in the charge.
- 35.4.18 A single charge may be issued against a Participant in respect of more than one instance of Misconduct, but the charge shall state separately the nature of each alleged act of Misconduct and the provision(s) alleged to have been breached and shall have effect as separate charges.

- 35.4.19 Where the subject matter of or facts relating to a charge or charges against one or more Participant(s) are sufficiently linked (including, but not limited to, where Misconduct is alleged to have been committed at the same time or place or where there is common evidence), the relevant Disciplinary Investigating Officer may consolidate the disciplinary proceedings so that they are conducted together and determined at a joint hearing, subject to the protest committee and/or Independent Panel appointed deciding upon some alternative procedure.

### **35.5 Part D – All Other Events**

- 35.5.1 The provisions of this Part of this Code apply to all events raced under the RRS except those listed in Regulation 35.4.1.
- 35.5.2 All complaints, allegations or reports of Misconduct shall be considered and adjudicated upon by the protest committee in accordance with the procedures in RRS 69. The protest committee may impose any sanctions relevant to the event as provided for in RRS 69. Any right of appeal of that decision will be governed by the RRS.
- 35.5.3 The protest committee shall report its decision, and provide all recordings of the hearing and all documents or other evidence available to it, to the National Authority of the Participant if it:
- (a) imposes a penalty greater than one non-excludable disqualification from a race;
  - (b) excludes the person from the event or venue; or
  - (c) in any other case if it considers it appropriate.

#### *Review by the National Authority and further action*

- 35.5.4 When a report is received by a National Authority under Regulation 35.5.3, it shall review the report and may conduct a further investigation in relation to the matters in the report.
- 35.5.5 The National Authority shall, within three months of receipt of the report from the protest committee, issue a decision in writing in which it decides:
- (a) to take no further action; or
  - (b) to issue a warning to any Participant in the report, and thereafter take no further action; or
  - (c) that a case of Misconduct has been made out and whether any and if so what further sanction within the jurisdiction of the National Authority should be imposed.
- 35.5.6 The decision of the National Authority made under Regulation 35.5.5 shall be communicated in writing to the Participant and to the Judicial Board at the first reasonable opportunity.
- 35.5.7 The sanctions that may be imposed by the National Authority are set out in Part F of this Code. When considering sanctions, the National Authority shall have regard to:
- (a) any sanction guidelines published by the Judicial Board prior to the event; and
  - (b) any published decisions under RRS 69 and/or this Regulation which are consistent with relevant sanction guidelines (such decisions will be a guide but are in no sense binding precedents).
- 35.5.8 The time for reaching a decision set out in Regulation 35.5.5 may be extended by the Judicial Board on written application by the National Authority.



- 35.5.9 If a National Authority fails to reach a decision within the time limits prescribed in Regulation 35.5.5 (or any extended time):
- (a) the National Authority shall report that failure to the Judicial Board; and
  - (b) any person with a sufficient connection with the subject matter of the report may bring that failure to the attention of the Judicial Board.
- 35.5.10 If the Judicial Board becomes aware of any failure of a National Authority to reach a decision within the specified time, it may then, in its absolute discretion (in respect of which there is no appeal):
- (a) extend the time for the National Authority to reach its decision; and/or
  - (b) require the National Authority to reach a decision within any such extended time; or
  - (c) take over conduct of the report.
- 35.5.11 In the event that the Judicial Board takes over conduct of the report, such conduct shall be in accordance with Regulations 35.4.8 to 35.4.16, save that the time limits will run from the date that the Judicial Board took over conduct of the report. The National Authority shall provide to the Judicial Board, all recordings of any hearing and all documents or other evidence available to it.

### *Appeals against the decision of the National Authority*

- 35.5.12 There is a right of appeal from the decision of the National Authority to:
- (a) an alternative dispute resolution body appointed by the National Authority if those arrangements have been approved by World Sailing; or
  - (b) if World Sailing has not approved any alternative arrangements, to the Judicial Board if, and only if, the decision of the National Authority imposes a suspension or revocation of more than three months on the Competition Eligibility or World Sailing Eligibility of the Participant.
- 35.5.13 Any appeal shall be made in writing within fourteen days of the decision of the National Authority.
- 35.5.14 If the appeal is made to the Judicial Board, the Board shall, within 14 days of receipt of the appeal appoint an Independent Appeal Panel to hear and determine the appeal.
- 35.5.15 The procedure to be adopted by the Independent Appeal Panel will be governed by Rules of Procedure published by the Judicial Board.
- 35.5.16 World Sailing, National Authorities and Participants hereby agree to be bound by the decision of the Independent Appeal Panel determining the appeal, or by the decision of the alternative dispute resolution body appointed. There will be no appeal from such a decision.

## **35.6 Part E - Any Other Disciplinary Complaints**

- 35.6.1 The provisions of this Part of this Code apply to all complaints of Misconduct received by World Sailing or by National Authorities which cannot be addressed under Parts C or D above. However it does not apply to complaints that could have been brought under any other Part of this Code, but which were excluded by virtue of time limits or decisions made under that Part.
- 35.6.2 All such complaints must be made:

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- (a) in writing to the World Sailing Chief Executive Officer if the complaint relates to Misconduct of any World Sailing committee or commission member, World Sailing officer, World Sailing Race Official or World Sailing Representative whilst acting in that capacity;
- (b) in writing to the equivalent person at a National Authority in all other instances;
- (c) within 14 days of the alleged Misconduct, unless that time is extended by any person empowered by the rules of procedure applicable to grant an extension; and
- (d) by a race official, competitor, technical delegate, National Authority or authorised member of an organising authority or an international class association, Participant or other person or body with sufficient standing or relationship to the alleged Misconduct as is permitted by the person authorised by the rules of procedure to determine standing.

35.6.3 Notwithstanding Regulation 35.6.2, the World Sailing Chief Executive Officer or the equivalent person at a National Authority may initiate a complaint of Misconduct within 14 days of the relevant information becoming available to them.

### *Complaints to National Authorities*

35.6.4 The National Authority shall review the complaint and may conduct an investigation in relation to the matters in the complaint.

35.6.5 The National Authority shall, within three months of receipt of the complaint, issue a decision in writing in which it decides:

- (a) to take no further action; or
- (b) to issue a warning to any person the subject of the complaint, and thereafter take no further action; or
- (c) that a case of Misconduct has been made out and whether any and if so what further sanction within the jurisdiction of the MNA should be imposed.

35.6.6 The decision of the National Authority made under Regulation 35.6.5 of this Part of the Code shall be communicated in writing to the Participant, to the Judicial Board, and the complainant at the first reasonable opportunity.

35.6.7 The sanctions that may be imposed by the National Authority are set out in Part F. When considering sanctions, the National Authority shall have regard to:

- (a) any sanction guidelines published by the Judicial Board prior to the event;
- (b) any published decisions under RRS 69 and/or this Regulation which are consistent with relevant sanction guidelines (such decisions will be a guide but are in no sense binding precedents).

35.6.8 The time for reaching a decision set out in Regulation 35.6.5 may be extended by the Judicial Board on written application by the National Authority.

35.6.9 If a National Authority fails to reach a decision within the time limits prescribed in Regulation 35.6.5 (or any extended time), any person with a sufficient connection with the subject matter of the complaint may bring that failure to the attention of the Judicial Board.

35.6.10 The Judicial Board may then, in its absolute discretion (in respect of which there is no appeal):

- (a) extend the time for the National Authority to reach its decision; and/or

- (b) require the National Authority to reach a decision within any such extended time; or
  - (c) take over conduct of the complaint.
- 35.6.11 In the event that the Judicial Board takes over conduct of the complaint, such conduct shall be in accordance with Regulations 35.4.8 to 35.4.16 of this Code, save that the time limits will run from the date that the Judicial Board took over conduct of the complaint. The National Authority shall provide to the Judicial Board, all recordings of any hearing and all documents or other evidence available to it.

### *Complaints to World Sailing*

- 35.6.12 When a complaint is received by World Sailing under this Part of the Code, it shall be passed by the Chief Executive Officer to the Judicial Board. The Judicial Board shall within fourteen days of the complaint appoint a Disciplinary Investigating Officer to investigate it.
- 35.6.13 Following the review, the Disciplinary Investigating Officer shall in his absolute discretion (against which there is no appeal):
  - (a) take no further action; or
  - (b) issue a warning to any Participant in the report, and thereafter take no further action; or
  - (c) charge any Participant with any act(s) of Misconduct which, in the opinion of the Disciplinary Investigating Officer, may warrant disciplinary action within the jurisdiction of World Sailing.
- 35.6.14 The decision of the Disciplinary Investigating Officer made under Regulation 35.6.13 shall be communicated to the Participant and the complainant at the first reasonable opportunity.
- 35.6.15 In the event that the Disciplinary Investigating Officer has decided to take no further action, within seven days of receipt of the decision, the complainant may make a written request to the Judicial Board that a second Disciplinary Investigating Officer be appointed independently to investigate the complaint. If such a request is made the Judicial Board shall, within 14 days of the request, appoint a second Disciplinary Investigating Officer to investigate and make a decision in accordance with Regulation 35.6.13. This process may only be applied once in relation to any complaint.

### *Procedures relating to Charging by World Sailing*

- 35.6.16 If a charge is issued against a Participant, as soon as practicable a written notice of the charge shall be provided to the Participant and to the Judicial Board, which shall:
  - (a) state briefly the nature of the alleged Misconduct;
  - (b) identify the rule(s) alleged to have been breached; and
  - (c) provide copies of documents or other material referred to in the charge.
- 35.6.17 A single charge may be issued against a Participant in respect of more than one instance of Misconduct, but the charge shall state separately the nature of each alleged Misconduct and the provision(s) alleged to have been breached and shall have effect as separate charges.
- 35.6.18 Where the subject matter of or facts relating to a charge or charges against one or more Participant(s) are sufficiently linked (including, but not limited to, where Misconduct is alleged to have been committed at the same time or place or where there is common evidence), the Disciplinary Investigating Officer may consolidate the

disciplinary proceedings so that they are conducted together and determined at a joint hearing, subject to the Independent Panel appointed deciding upon some alternative procedure.

### *Hearing and Determination of the Charge*

- 35.6.19 Within fourteen days of receipt of a charge of Misconduct, the Judicial Board shall appoint an Independent Panel to determine the charge.
- 35.6.20 Rules of procedure published by the Judicial Board shall apply to the conduct, determination and hearing of the charge by the Independent Panel and to the time limits for any appeal.
- 35.6.21 The penalties that may be imposed by the Independent Panel following a proven charge of Misconduct are set out in Part F. When considering sanctions, the Independent Panel shall have regard to:
  - (a) any sanction guidelines published by the Judicial Board prior to the event;
  - (b) any published decisions under RRS 69 and/or this Regulation which are consistent with relevant sanction guidelines (such decisions will be a guide but are in no sense binding precedents).

### *Appeals against the decision of the National Authority or the Independent Panel*

- 35.6.22 World Sailing and Participants hereby agree to respect and be bound by the decision of any Independent Panel, subject only to the right of appeal set out below.
- 35.6.23 There is a right of appeal from the decision of the National Authority to:
  - (a) an alternative dispute resolution body appointed by the National Authority if those arrangements have been approved by World Sailing; or
  - (b) if World Sailing has not approved any alternative arrangements, to the Judicial Board if, and only if, the decision of the National Authority imposes a suspension or revocation of more than three months on the Competition Eligibility or World Sailing Eligibility of the Participant.
- 35.6.24 There is a right of appeal from the Independent Panel if, and only if, the decision imposes:
  - (a) a suspension or revocation of more than three months on the Competition Eligibility or World Sailing Eligibility in the case of a competitor, boat owner or support person; or
  - (b) any sanction imposed (except a warning, reprimand, admonishment or fine) in the case of World Sailing committee or commission members, World Sailing officers, World Sailing Race Officials or World Sailing Representatives.
- 35.6.25 Any appeal shall be made in writing within fourteen days of the decision appealed against.
- 35.6.26 If the appeal is made to the Judicial Board, the Judicial Board shall, within fourteen days of receipt of the appeal appoint an Independent Panel (the Independent Appeal Panel) to hear and determine the appeal.
- 35.6.27 The procedure to be adopted by the Independent Appeal Panel will be governed by rules of procedure published by the Judicial Board.
- 35.6.28 World Sailing, National Authorities and Participants hereby agree to be bound by the decision of the Independent Appeal Panel, or by the decision of the alternative dispute resolution body appointed. There will be no appeal from such a decision.

## 7. Part F – Penalties

- 35.7.1 The following penalties may be imposed on Participants by World Sailing or National Authorities:
- (a) no sanction;
  - (b) a warning, admonishment or reprimand;
  - (c) a fine not exceeding €1000;
  - (d) a direction not to compete or officiate in a particular event or class (or types of event or classes);
  - (e) suspension or revocation of Competition Eligibility and/or World Sailing Eligibility (or the imposition of conditions on such Eligibility);
  - (f) suspension or removal from office in the case of World Sailing officers or World Sailing Representatives;
  - (g) suspension or removal of a certificate of appointment in the case of World Sailing Race Officials (or the imposition of conditions on such appointment);
  - (h) any sanction set out in the World Sailing Code of Ethics;
  - (i) make a report to a Member National Authority, World Sailing Class, World Sailing (under another Regulation or the Racing Rule of Sailing) or any other appropriate organisation; and/or
  - (j) any other sanction which it is within the powers of World Sailing or the National Authority to impose.

## 35.8 Part G – Appeals and Reviews of Other Decisions made by or within World Sailing

- 35.8.1 The provisions of this Part of this Code apply to any appeal or review of any decision made within or by World Sailing where the World Sailing Constitution or Regulations expressly permit any such appeal or review.
- 35.8.2 Any appeal or request for a review shall be made in writing to the Chief Executive Officer within the relevant time limit specified in the World Sailing Constitution or Regulations, or if no such time limit is specified, within fourteen days of the notification of the decision being appealed or reviewed.
- 35.8.3 The Chief Executive Officer shall pass the appeal or request for review to the Judicial Board, who shall, within fourteen days of receipt, appoint an Independent Panel to hear and determine the appeal or review.
- 35.8.4 Rules of Procedure published by the Judicial Board shall apply to the conduct, determination and hearing of the appeal or review by the Independent Panel.
- 35.8.5 If the decision of the Independent Panel directly concerns the eligibility of a person to participate in a major international event as listed in Regulation 35.4.1 above, there is a right of appeal from the decision of the Independent Panel by way of arbitration brought before the Court of Arbitration for Sport in Lausanne, Switzerland. An appeal may be brought:
- (a) by World Sailing; or

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(b) by a Participant

- 35.8.6 The arbitration shall be conducted in accordance with the Code of Sports-Related Arbitration. The Court of Arbitration for Sport panel will consist of one arbitrator and the language of the arbitration will be English.
- 35.8.7 There will be no right of appeal from any other decision of the Independent Panel.